

ICRC 2021

THE ASTROPARTICLE PHYSICS CONFERENCE

Berlin | Germany

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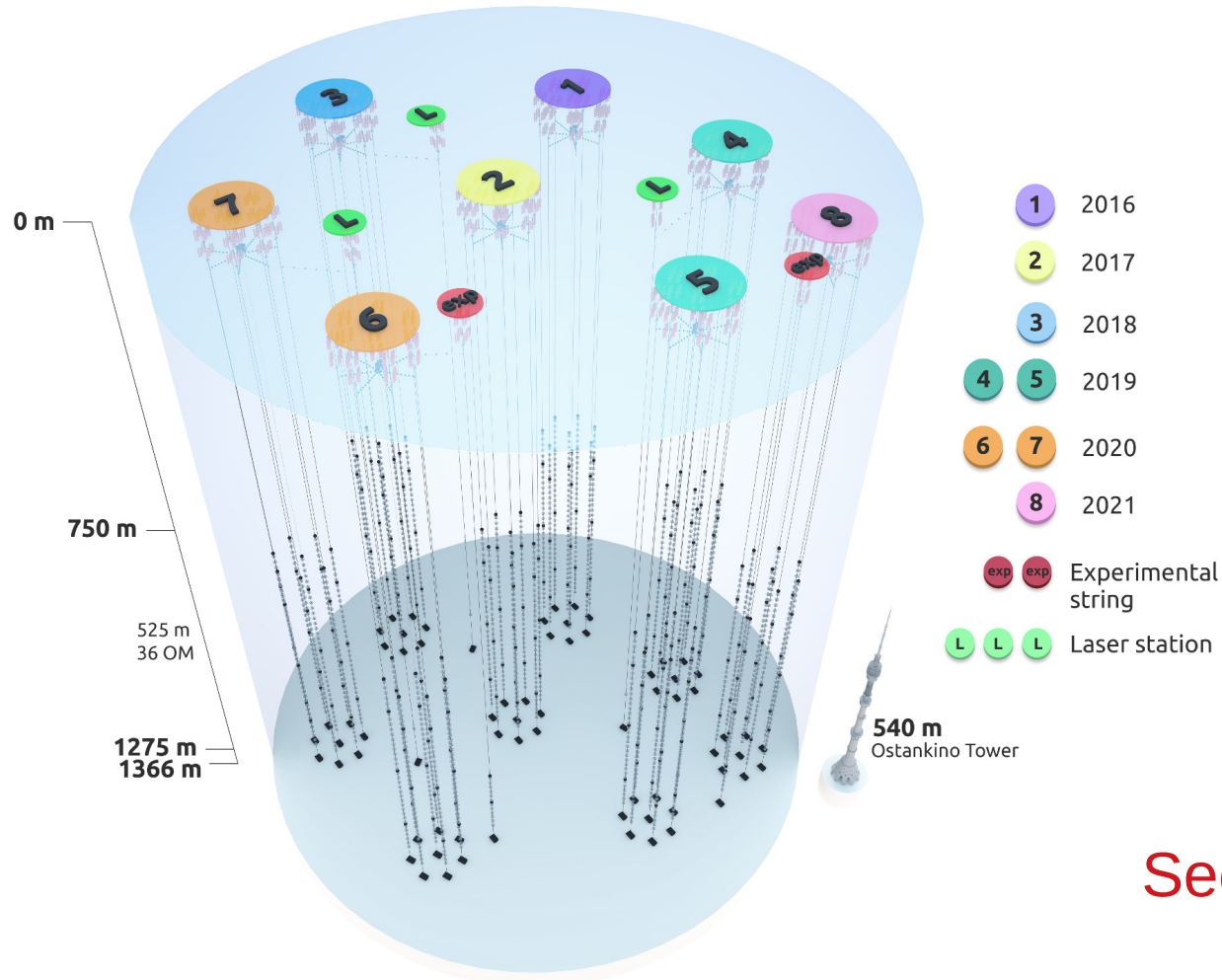
Observations of track-like neutrino events with Baikal-GVD

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for the Baikal-GVD Collaboration

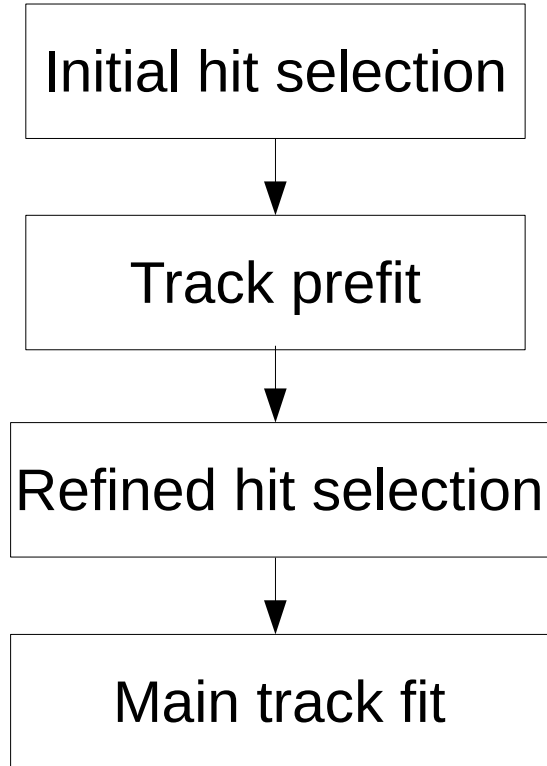
Baikal-GVD



- km³-scale neutrino detector under construction in Lake Baikal
- 8 strings per cluster
- 36 optical modules (OMs) per string
- One 10-inch PMT per OM

See talk by I. Belolaptikov

χ^2 -like track reconstruction



See poster by
G. Safronov

Using vector sum

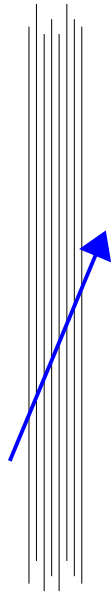
Minimize quality function

$$Q = \chi^2(t) + f(q, r)$$

Time residuals

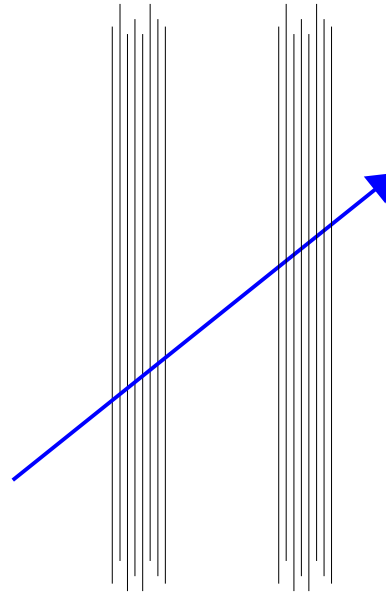
Hit charge and distance

Two event types: single-cluster and multi-cluster



Single-cluster events:

- ✓ Low energy threshold
- ✓ Optimal sensitivity to nearly vertical tracks
- ✓ 90% of the recorded event sample



Multi-cluster events:

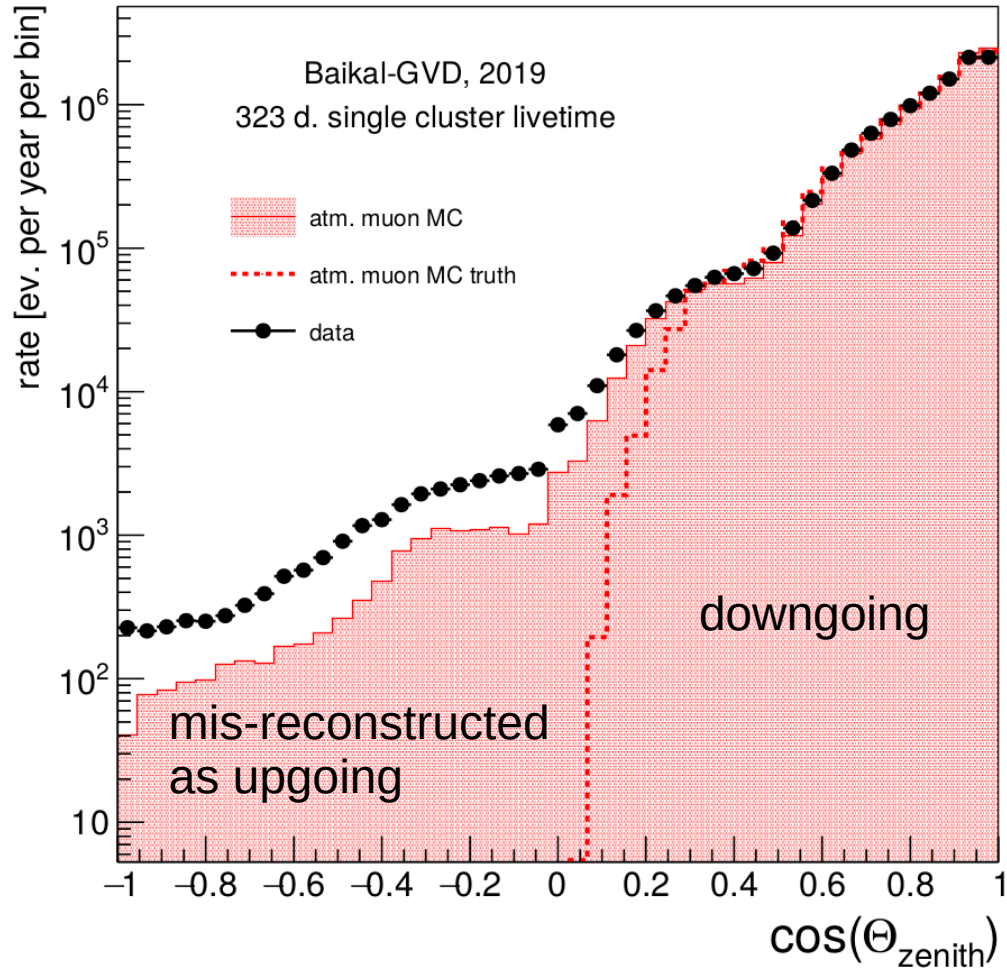
- ✓ Higher energy threshold
- ✓ Optimal sensitivity to inclined tracks
- ✓ 10% of the recorded event sample

Dataset used in this work

April 1 – June 30, 2019

GVD cluster	Number of active OMs	Dataset duration, days
1	270	68
2	273	72
3	288	74
4	288	61
5	288	47
1–5 combined single-cluster	1407	323

Single-cluster analysis: zenith distribution before quality cuts



~ 9 800 000 events reconstructed with at least 8 hits on at least 2 strings

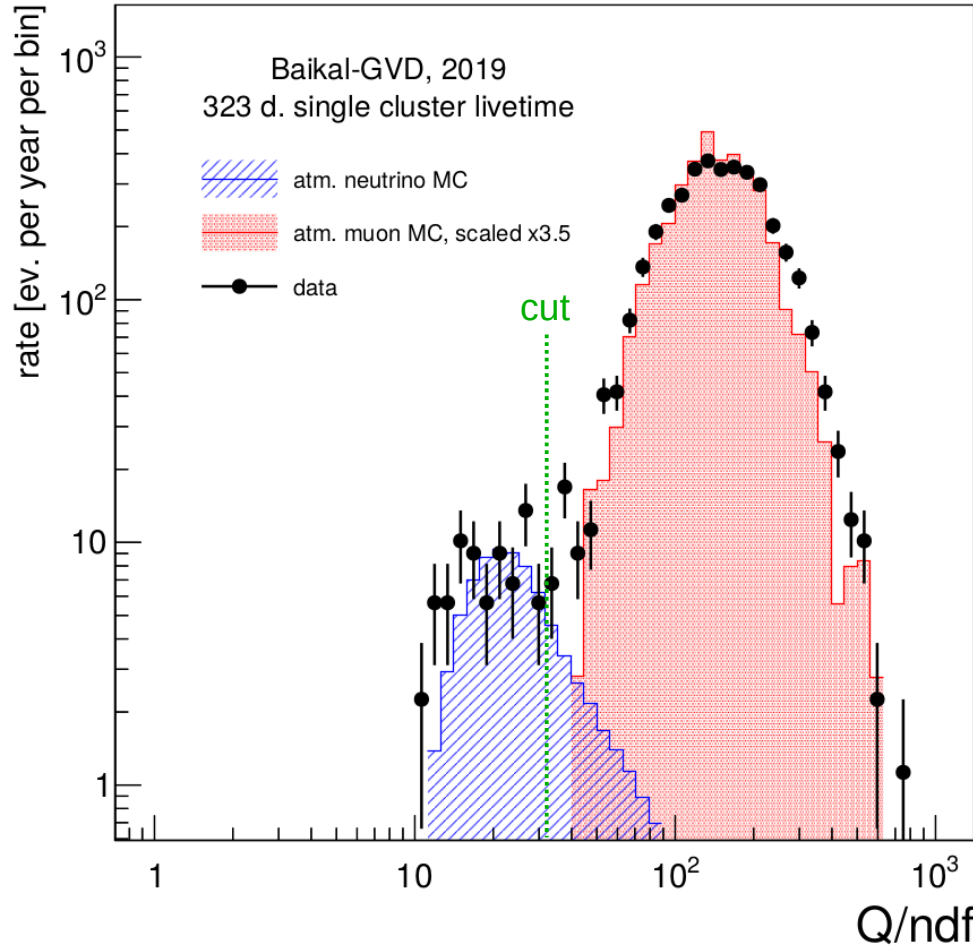
Good agreement for $\cos(\text{zenith}) > 0.2$

MC underpredicts the rate of misreconstructed events in the upgoing region by a factor of 3.5

NB: most of these events are muon bundles (average multiplicity ~ 10)

Single-cluster analysis: fit quality parameter

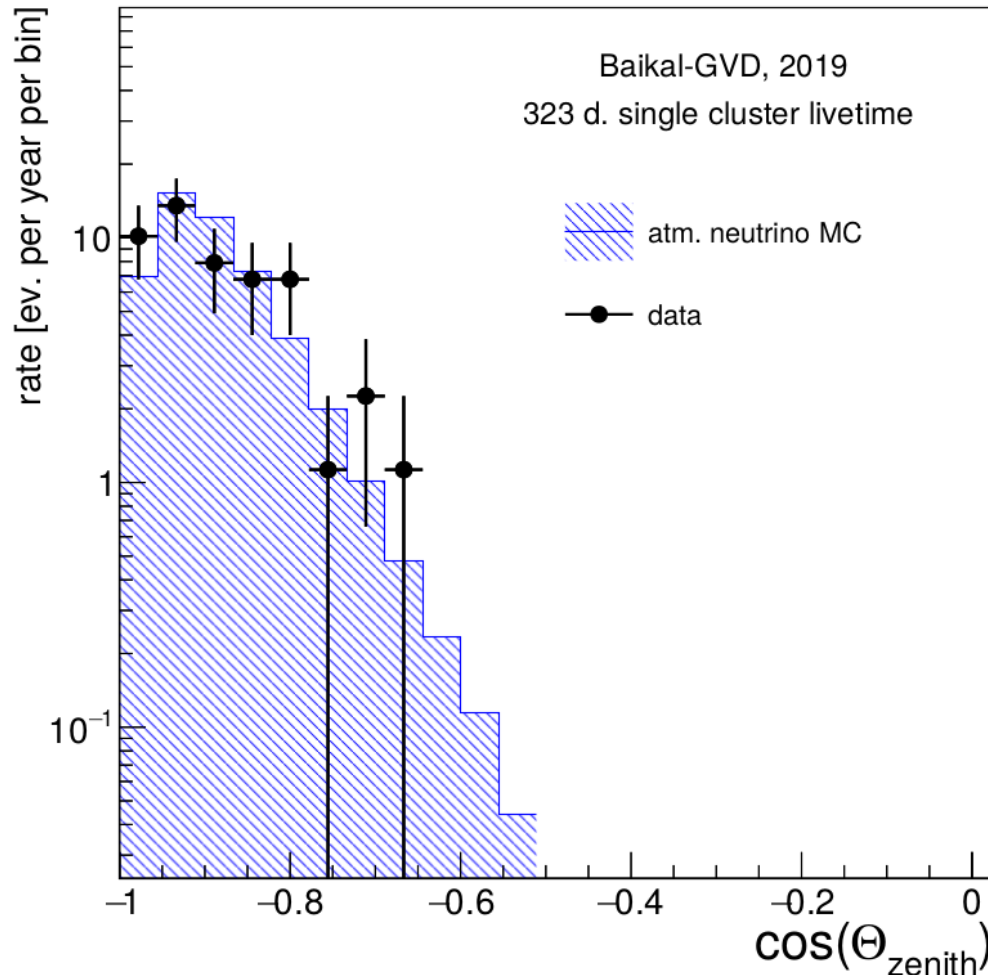
upgoing: $\theta > 120^\circ$



Shown is the fit quality distribution for events reconstructed as upgoing with $\cos(\text{zenith}) < -0.5$

The atmospheric muon MC has been re-scaled by a factor of 3.5

Single-cluster analysis: upgoing neutrino search



Neutrino selection based on

- ✓ zenith angle
- ✓ fit quality
- ✓ additional cuts

MC expected: 43.6

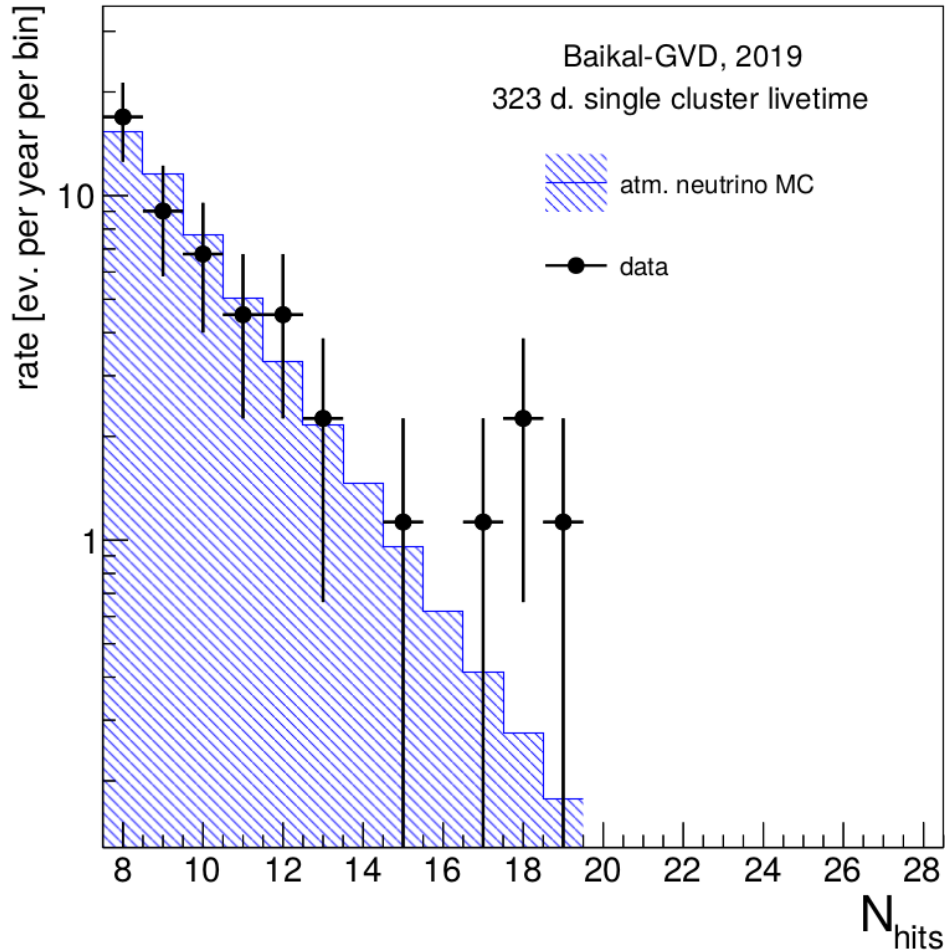
- atm. neutrino : 43.6
- atm. muons: $< \sim 1$

Observed events: 44

Good agreement with
MC for atmospheric neutrino

Median energy of this sample
 ≈ 500 GeV

Single-cluster analysis: Nhit distribution

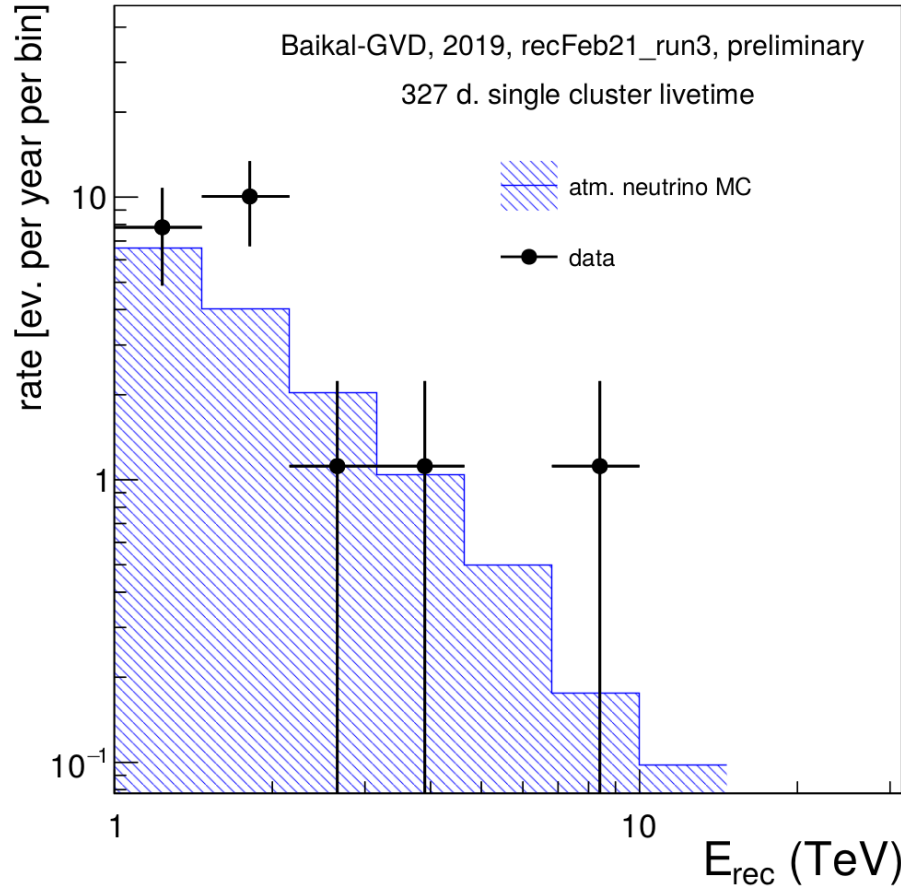


Good agreement between data and MC

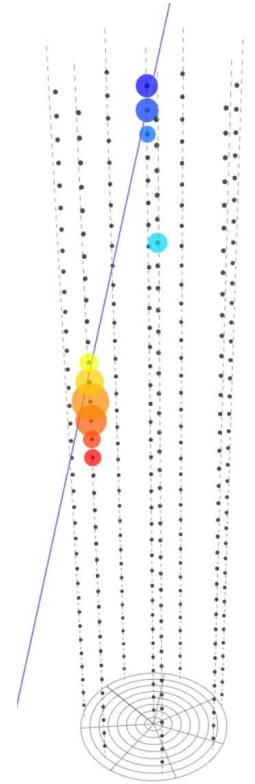
Apparent excess for $N_{\text{hits}} = 17, 18 \text{ \& } 19$
has a p-value ~ 0.05

Reconstructed energy

Example plot for a set of neutrino candidate events

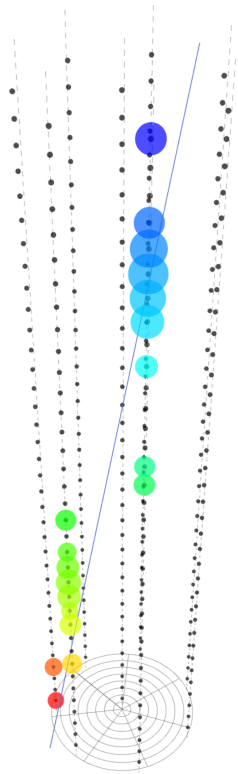


- dE/dx energy estimator -
[see poster by G. Safronov](#)
- Works for $E > 1$ TeV
- Largest measured energy in cut-based low-energy neutrino candidate sample: 9.3 TeV



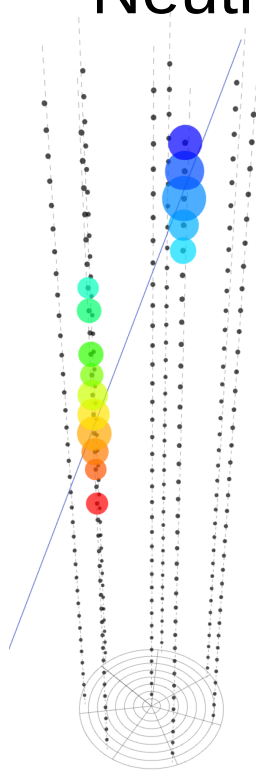
cluster 1, run 84
evt. 473478
 $\theta = 165.5^\circ$
 $N_{\text{strings}} = 3$
 $N_{\text{hits}} = 10$
Slide 10 of 14

Neutrino candidate events



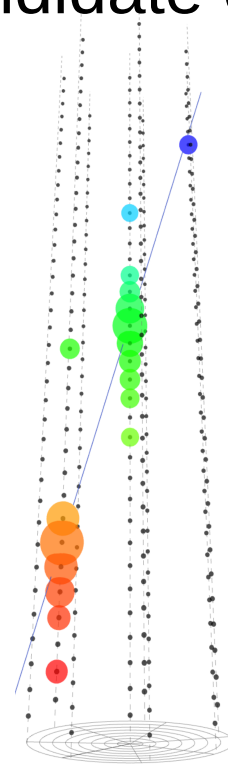
cluster 3, run 122
evt. 1549343
 $\theta_{\text{zenith}} = 169.78^\circ$
 $N_{\text{strings}} = 3$
 $N_{\text{hits}} = 19$

July 16, 2021

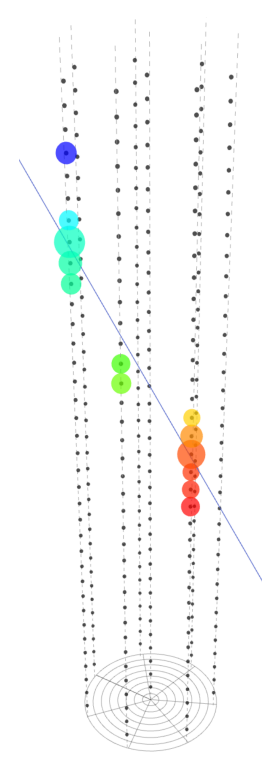


cluster 1, run 157
evt. 1414137
 $\theta_{\text{zenith}} = 161.78^\circ$
 $N_{\text{strings}} = 2$
 $N_{\text{hits}} = 15$

D. Zaborov, Observations of track-like neutrino events with Baikal-GVD



cluster 4, run 99
evt. 438088
 $\theta_{\text{zenith}} = 162.22^\circ$
 $N_{\text{strings}} = 3$
 $N_{\text{hits}} = 18$



cluster 5, run 162
evt. 1939721
 $\theta_{\text{zenith}} = 148.07^\circ$
 $N_{\text{strings}} = 3$
 $N_{\text{hits}} = 13$

late



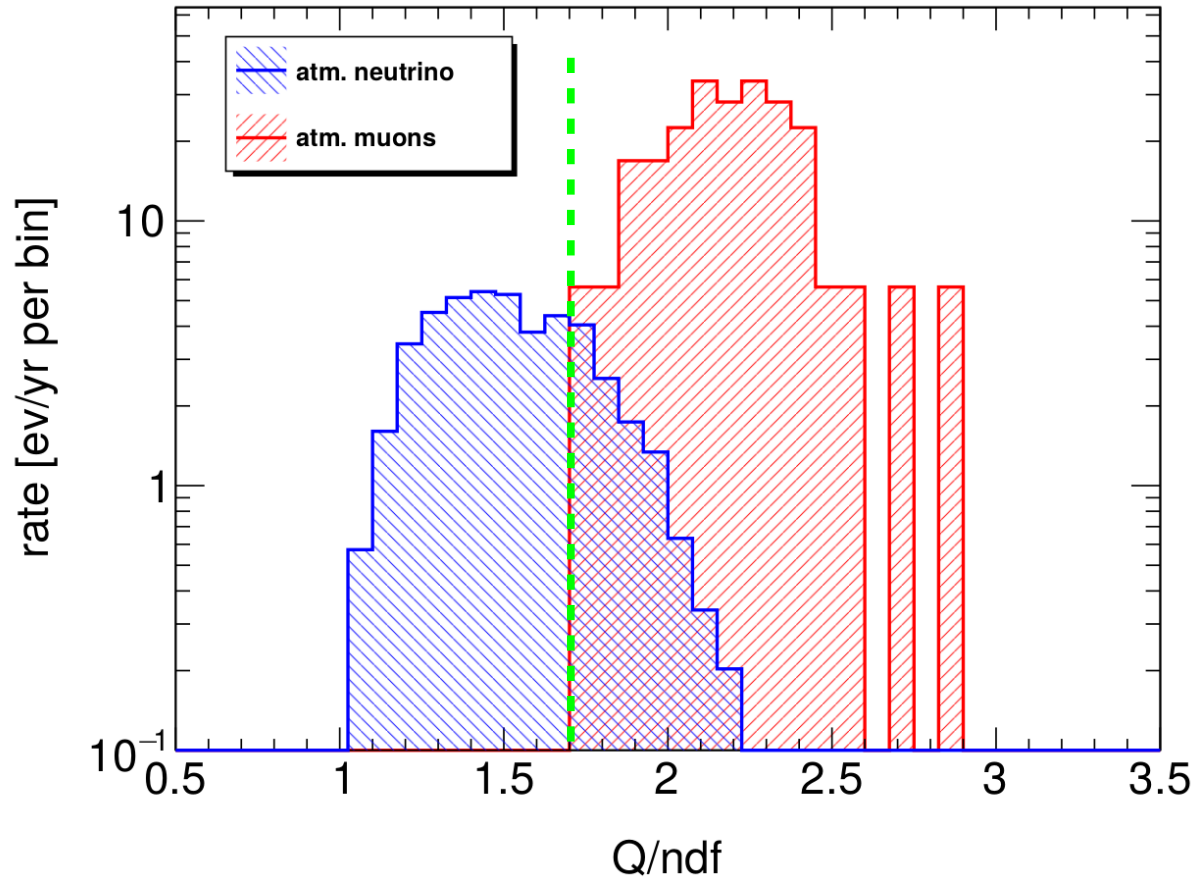
early

Notes

- The reconstruction and analysis were optimized for low-energy atmospheric neutrinos (~ 1 TeV)
- Sub-optimal neutrino efficiency due to conservative cuts
- A factor 2 improvement in analysis efficiency is possible (see poster by G. Safronov)

Multi-cluster analysis : MC expectations

Fit quality distribution after zenith angle cut



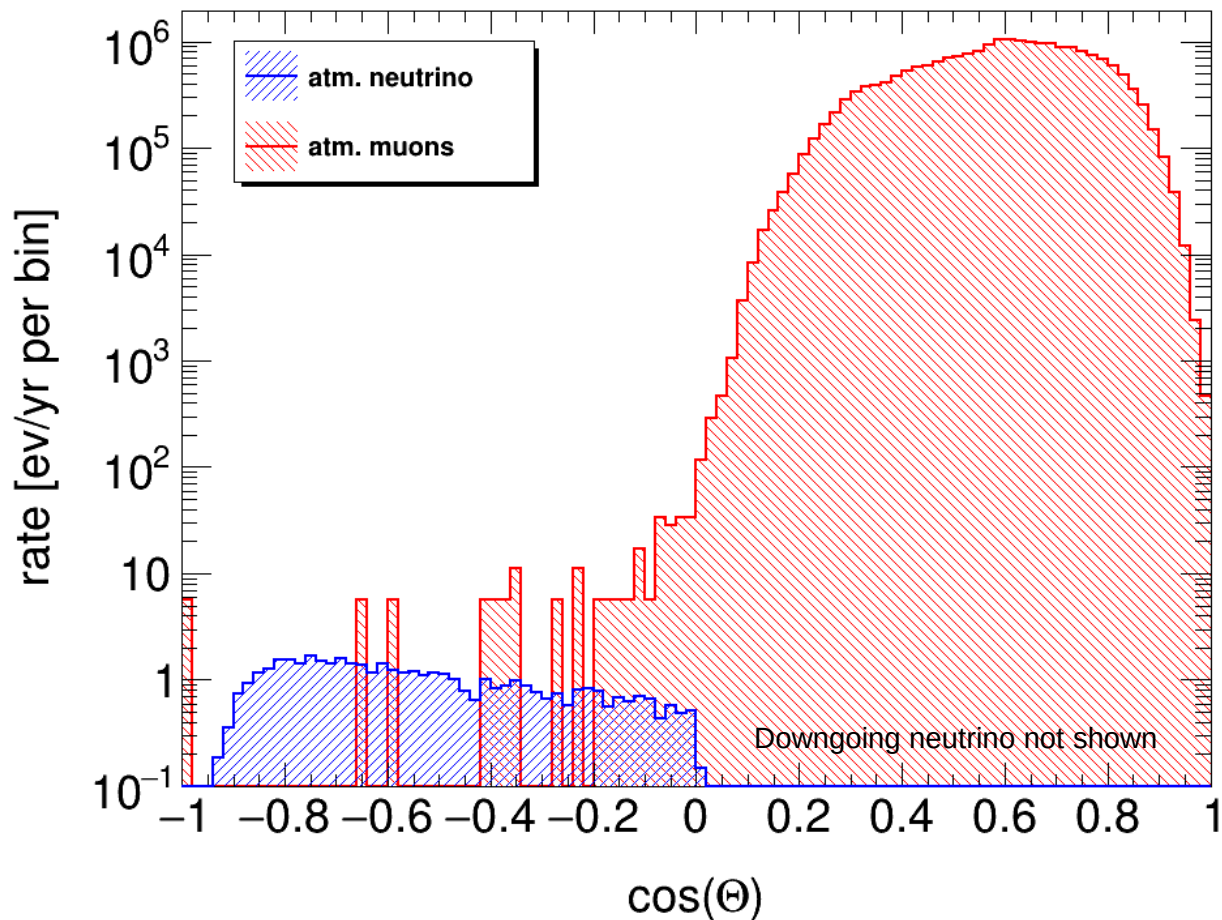
- In the 5-cluster detector, after cuts on the fit quality and other variables, we **expect 29.4 multi-cluster events per year** due to atmospheric neutrinos
- Median energy
~ 4 TeV
- The analysis will be applied to real data as soon as the multi-cluster calibration is fully validated

Conclusion

- Using a simple χ^2 -based track reconstruction algorithm, we observe atmospheric neutrinos
- The observed rate, zenith distribution and energy distribution are in good agreement with MC predictions
- Shown here is only a small fraction of the collected data; further data analysis is imminent

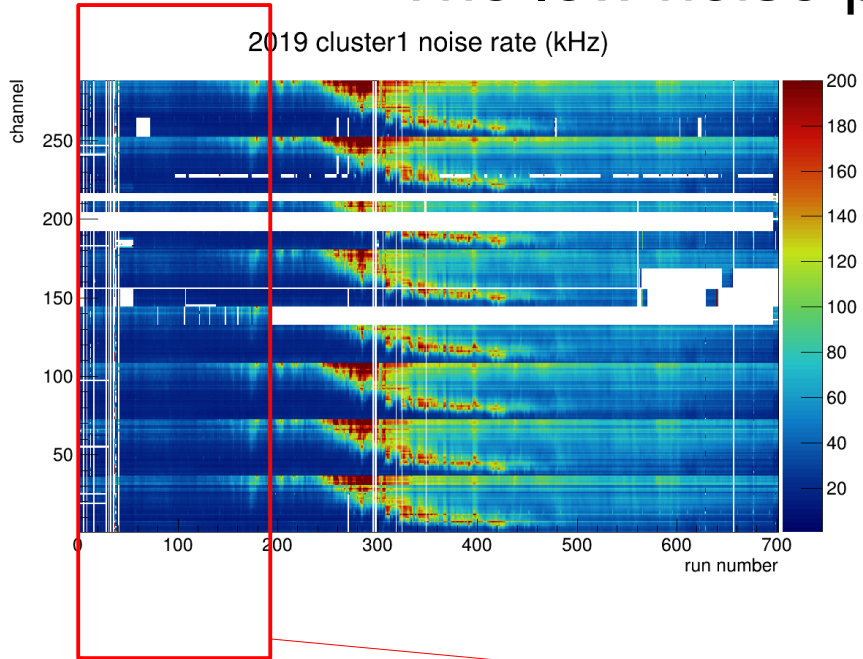
Backup slides

Multi-cluster analysis: reconstructed zenith angle distribution after cut on reconstructed track length

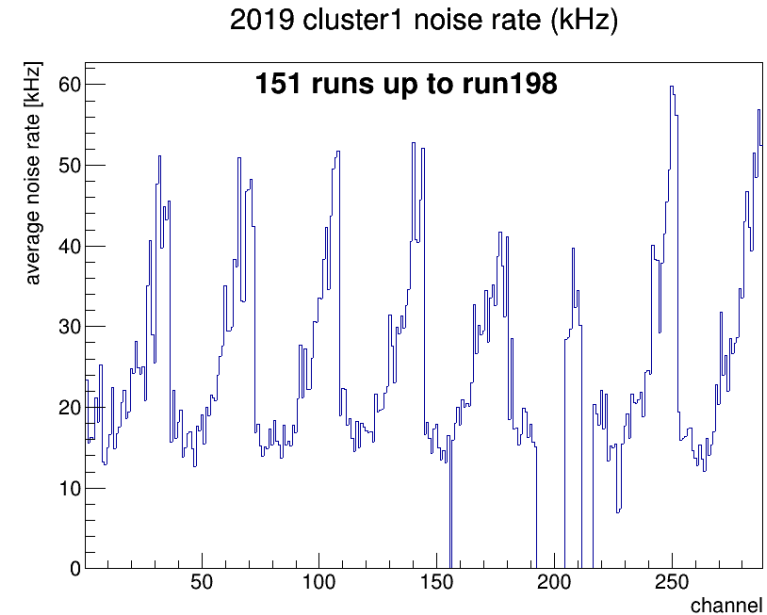


- The multi-cluster event selection and the track length cut are very effective at suppressing misreconstructed atmospheric muon events

The low noise period of the 2019 season



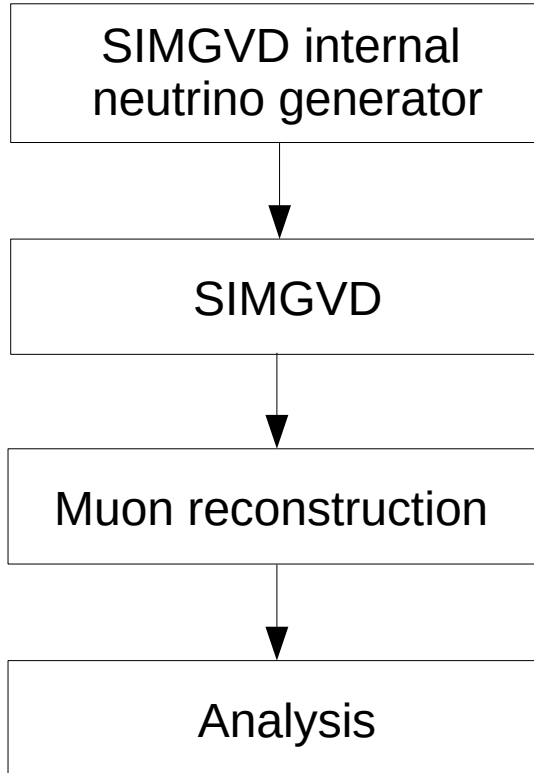
April 1 – June 30, 2019



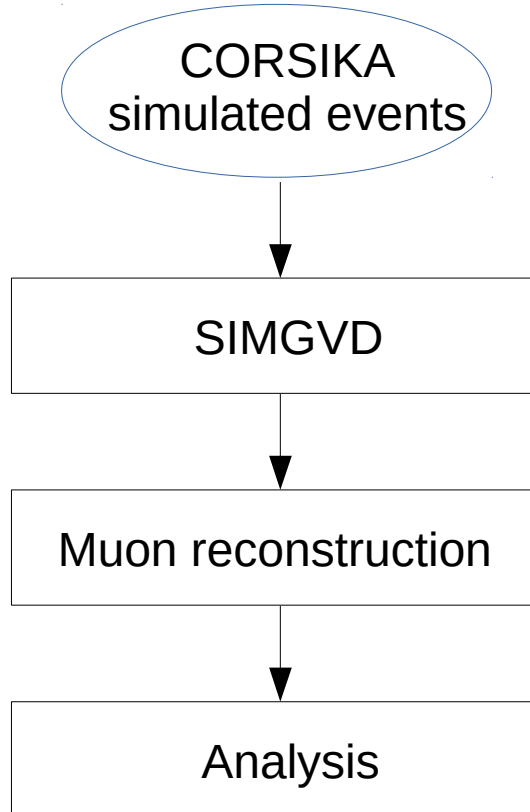
Similar for clusters 2-5

The processing chain(s)

Simulation: nu_mu CC

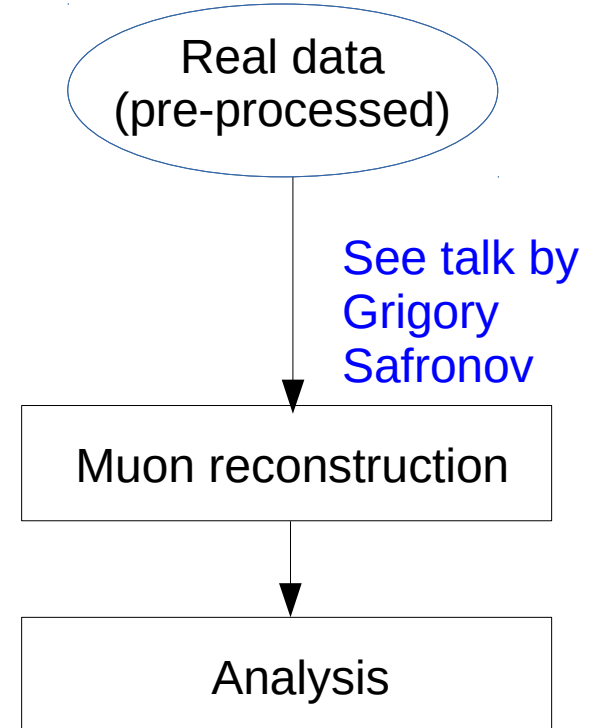


Simulation: atmospheric muons



Real data

See talk by
Bair Shaibonov



See talk by
Grigory
Safronov

Simulations

- Atmospheric muons
 - CORSIKA 5.7 + QGSJET
 - 1 yr effective livetime
- Atmospheric neutrinos
 - ν_μ CC and $\bar{\nu}_\mu$ CC only
 - Bartol flux (Phys. Rev. D53 (1996) 1314)
 - Neutrino oscillations ignored
- Detector simulations
 - Muons propagated with MUM
 - Simplistic parameterized shower model

Additional cuts for neutrino selection

- Sum of hit amplitudes (> 18 p.e.)
- Visible track length (> 75 m)
- Hit density along the track length ($> 1/42$ m⁻¹)
- Combined hit likelihood ($P_{\text{hit}} > 0.05$)
- Combined likelihood for non-hit OMs ($P_{\text{nonhit}} > 0.1$)
- Effective width of time residual distribution
- Zenith angle error estimate ($< 2^\circ$)
- Average track – hit distance (< 18 m; this acts as a containment cut; may suppress high energy neutrinos)