

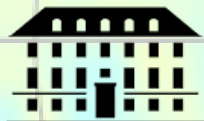
# Local Turbulence and the Dipole Anisotropy of Galactic Cosmic Rays

Yoann Génolini

In collaboration  
with Markus Ahlers



UNIVERSITY OF  
COPENHAGEN



The Niels Bohr  
International Academy

ICRC July 2021

VILLUM FONDEN



# Introduction: dipole anisotropy of cosmic rays

Differential flux:  $\Psi_i = \frac{dN_i}{dE dT d\Omega dS}$

**Energy**      **Composition**      **Direction**

# Introduction: dipole anisotropy of cosmic rays

Differential flux:

$$\Psi_i = \frac{dN_i}{dE dT d\Omega dS}$$

Energy

Composition

Direction

# Introduction: dipole anisotropy of cosmic rays

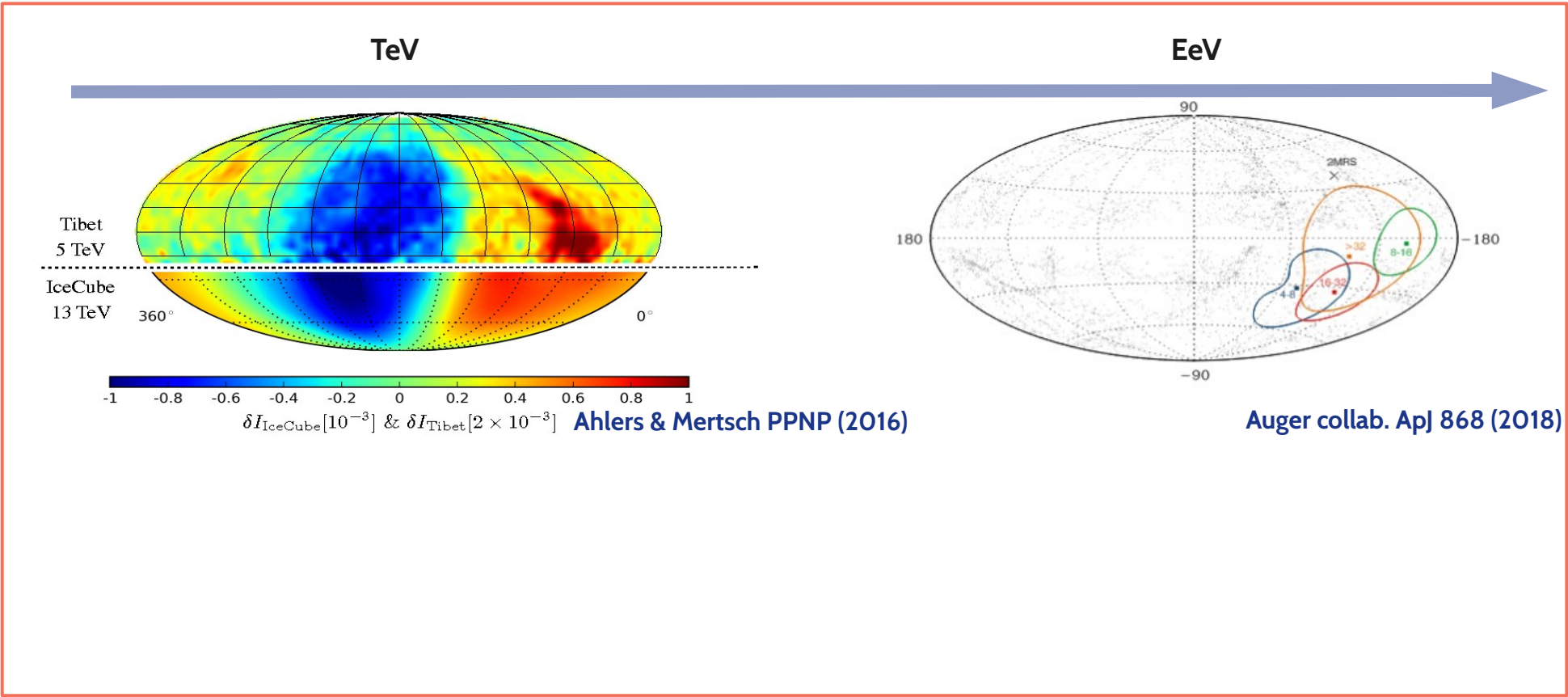
Differential flux:

$$\Psi_i = \frac{dN_i}{dE dT d\Omega dS}$$

Energy

Composition

Direction



# Introduction: dipole anisotropy of cosmic rays

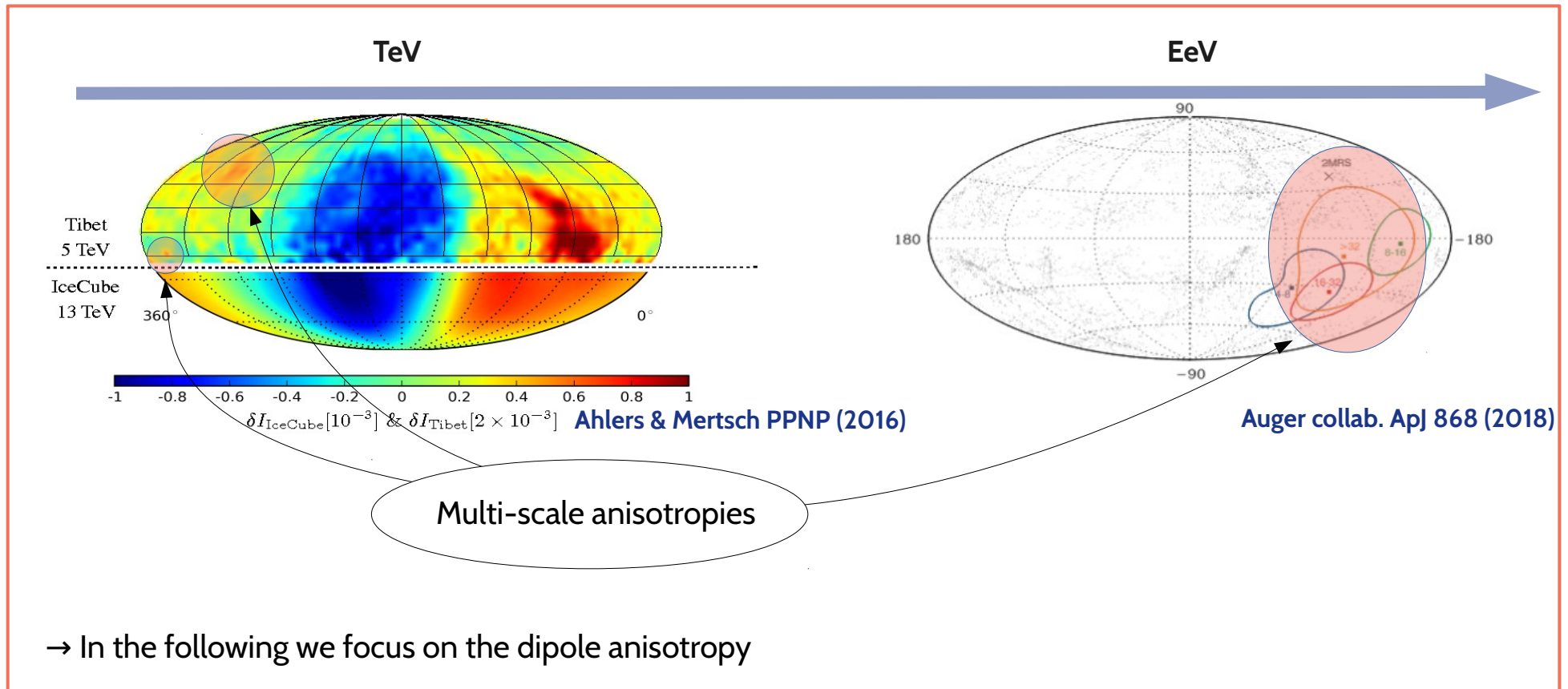
Differential flux:

$$\Psi_i = \frac{dN_i}{dE dT d\Omega dS}$$

Energy

Composition

Direction



# Introduction: dipole anisotropy of cosmic rays

## Data

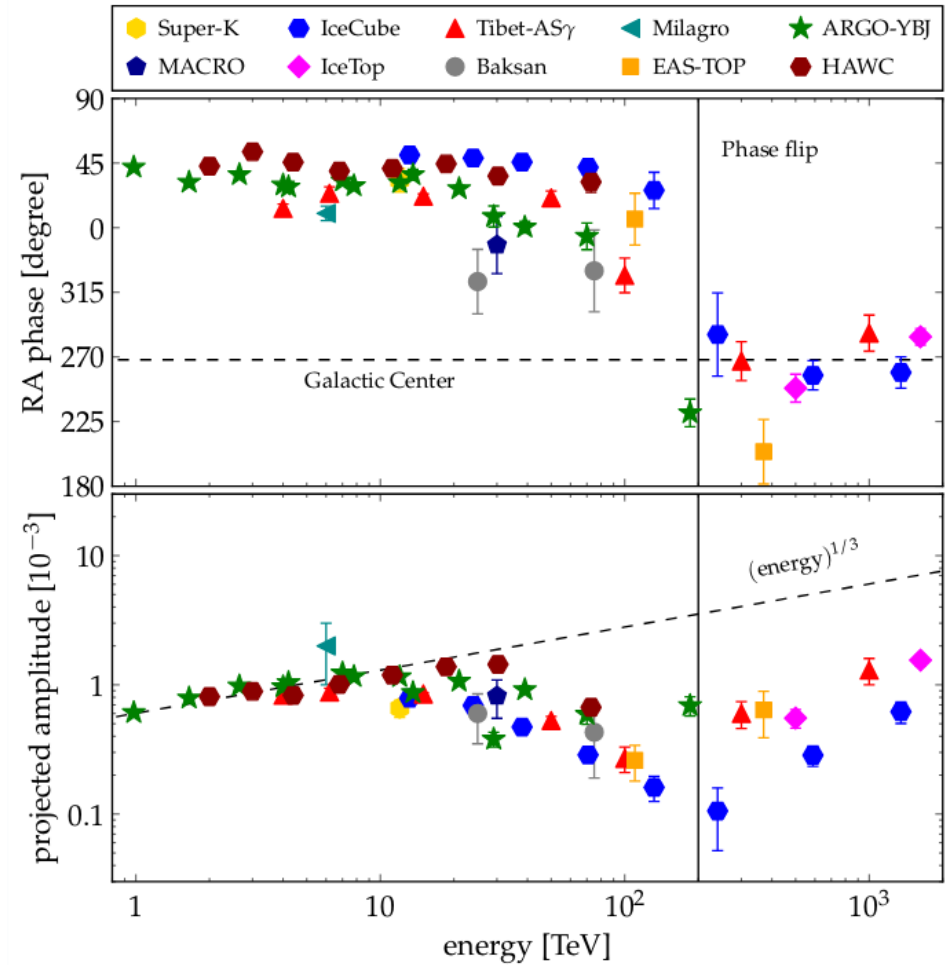
→ Relative intensity can be decomposed as:

$$I(\Omega) = 1 + \delta \cdot \mathbf{n}(\Omega) + \mathcal{O}(Y_{l>1})$$

→ CR observatories sensitive to 2 param.

→ Small dipole anisotropy of GCRs

→ Rapid change of the phase & amplitude with E



# Introduction: dipole anisotropy of cosmic rays

## Data

→ Relative intensity can be decomposed as:

$$I(\Omega) = 1 + \delta \cdot \mathbf{n}(\Omega) + \mathcal{O}(Y_{l>1})$$

→ CR observatories sensitive to 2 param.

→ Small dipole anisotropy of GCRs

→ Rapid change of the phase & amplitude with E

## Interpretation

$$\delta \propto \dot{j}_{\text{CR}}$$

→ Compton Getting effect?

Small in the local standard of rest

→ Diffusion approximation

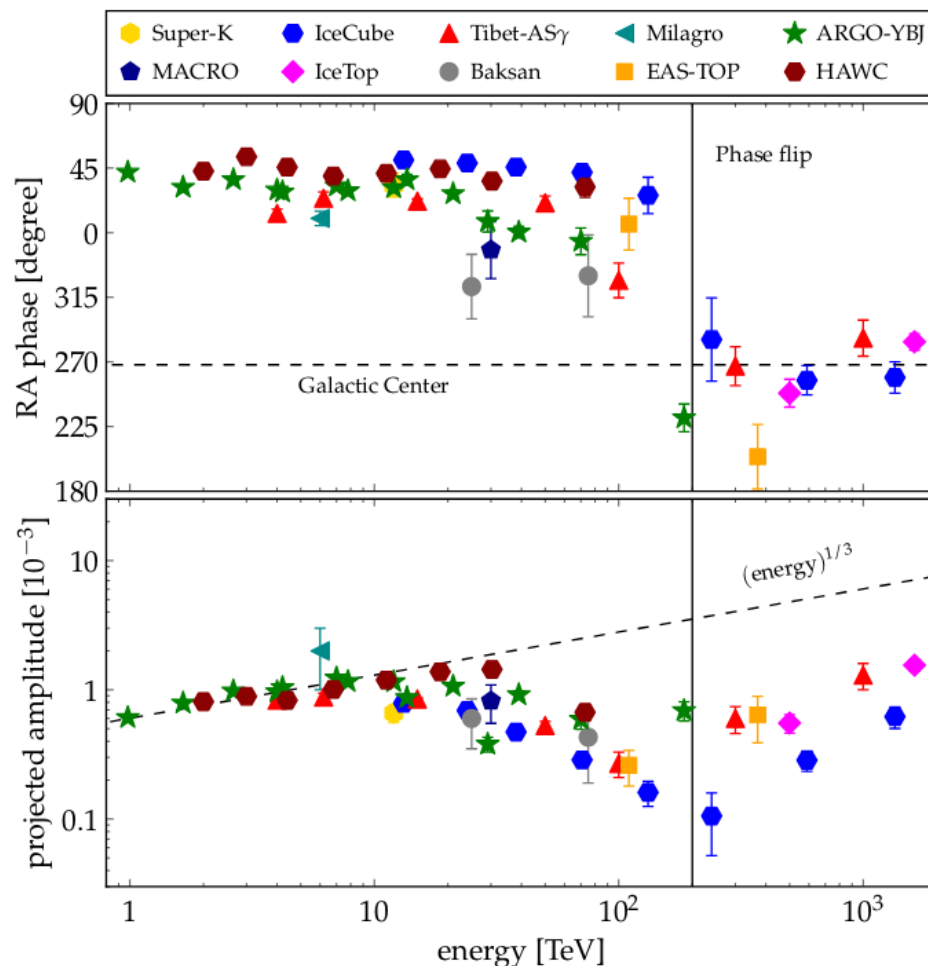
$$\text{Fick's law: } \dot{j}_{\text{CR}} = -\mathbf{K} \cdot \nabla \Psi$$

Energy dependence at odd with diffusion

Depends on:

- Distribution of **sources and halo geometry** halo?
- Structure of **local magnetic field**?

→ **Both!**

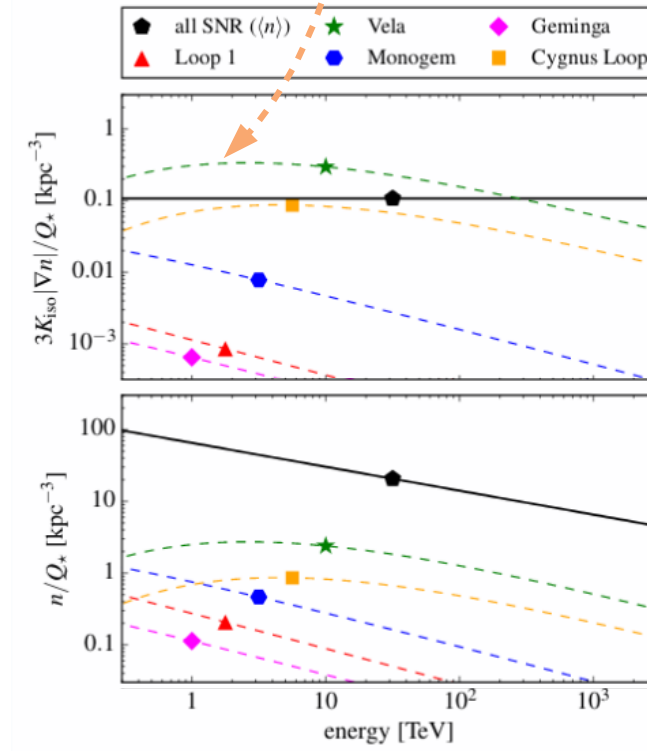


# Introduction: dipole anisotropy of cosmic rays

→ Both!

$$\dot{j}_{\text{CR}} = -\mathbf{K} \cdot \nabla \Psi \quad \text{Ahlers PRL (2016)}$$

Local sources may dominate the dipole



→ Evolution of the dipole direction with E

See also e.g. [Kumar & Eichler APJ \(2014\)](#)  
[Bouyahiaoui+ JCAP \(2019\)](#)



# Introduction: dipole anisotropy of cosmic rays

→ Both!

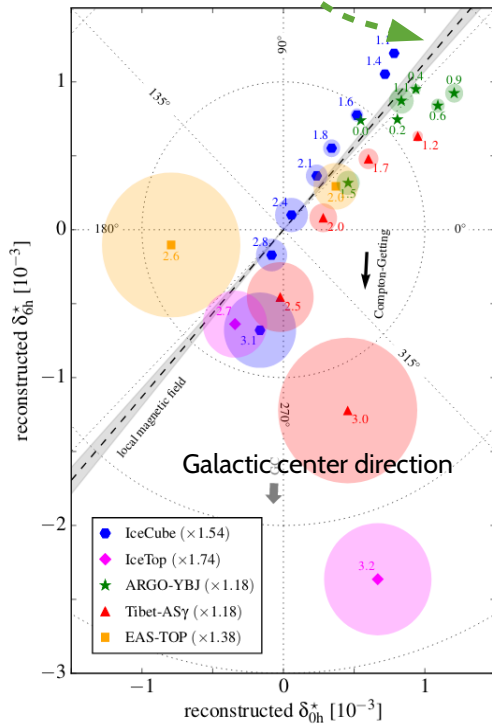
$$\vec{j}_{\text{CR}} = -\mathbf{K} \cdot \nabla \Psi$$

Ahlers PRL (2016)

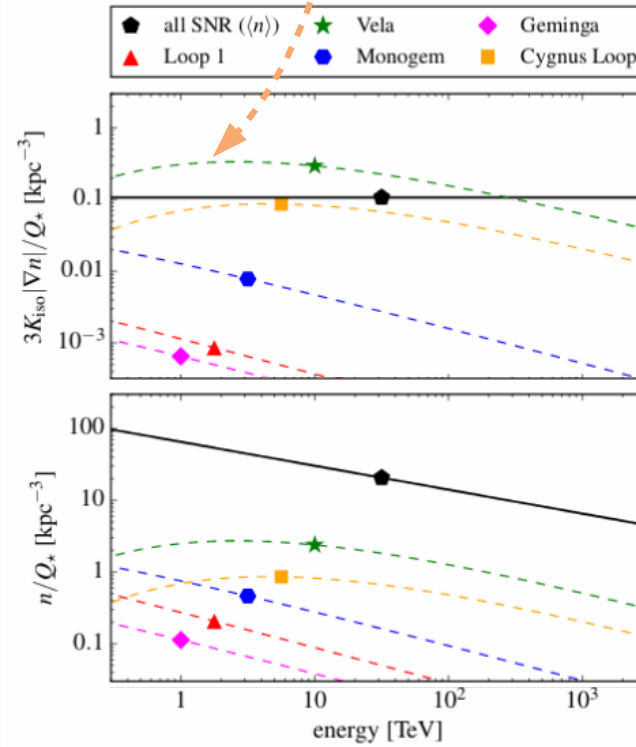
$$K_{ij} = b_i b_j \kappa_{\parallel} + (\delta_{ij} - b_i b_j) \kappa_{\perp}$$

Local magnetic field direction

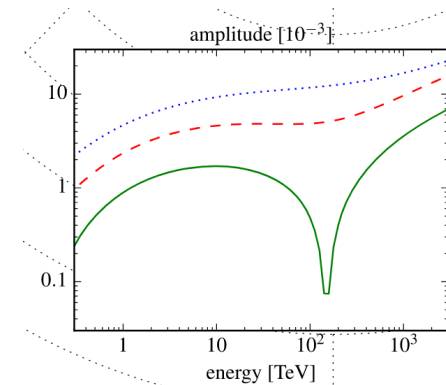
Local sources may dominate the dipole



+



=



→ Projection of the dipole on the local magnetic field

→ Evolution of the dipole direction with E

See also e.g. [Funk & Mertsch PRL \(2013\)](#)

See also e.g. [Kumar & Eichler APJ \(2014\)](#)  
[Bouyahiaoui+ JCAP \(2019\)](#)

# Introduction: dipole anisotropy of cosmic rays

How does behave the CR dipole in **isotropic turbulence**?

$$\delta \propto \dot{j}_{\text{CR}} = -\mathbf{K} \cdot \nabla \Psi$$

→ We naively write:  $K_{ij} = \delta_{ij} \kappa_{\text{iso}}$      $\kappa_{\text{iso}} = \lim_{\tau \rightarrow \infty} \langle \Delta \mathbf{r}^2(\tau) \rangle_{\text{B}} / 6\tau$

*Does it mean that the anisotropy follow the gradient direction?*

# Introduction: dipole anisotropy of cosmic rays

How does behave the CR dipole in **isotropic turbulence**?

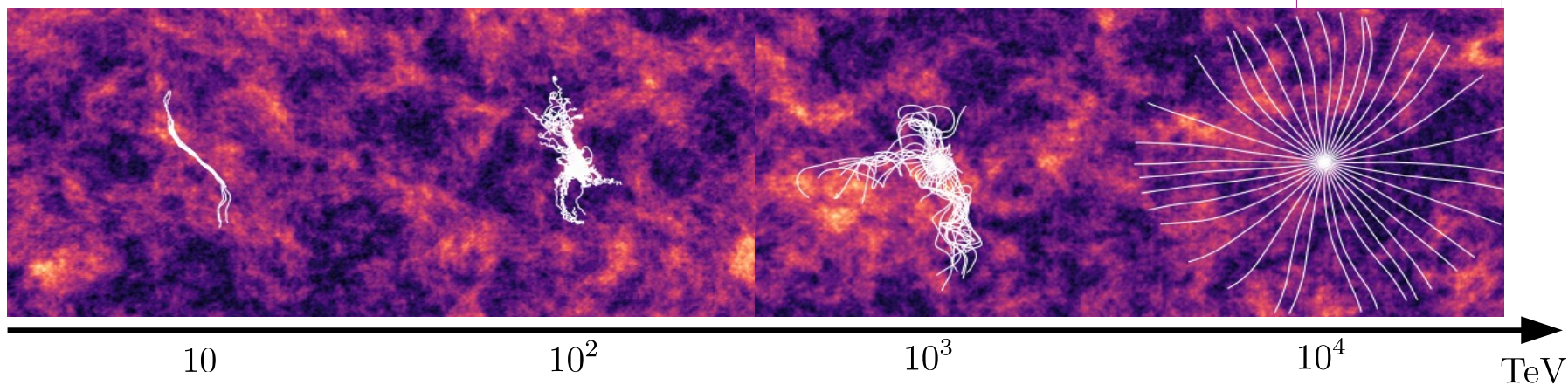
$$\delta \propto \dot{j}_{\text{CR}} = -\mathbf{K} \cdot \nabla \Psi$$

→ We naively write:  $K_{ij} = \delta_{ij} \kappa_{\text{iso}}$      $\kappa_{\text{iso}} = \lim_{\tau \rightarrow \infty} \langle \Delta \mathbf{r}^2(\tau) \rangle_{\text{B}} / 6\tau$

*Does it mean that the anisotropy follow the gradient direction?*

**Test-particle simulations: backtracking in isotropic turbulence:**

$$l_c = 2 \text{ pc} \\ B_{\text{rms}} = 4 \mu\text{G}$$



# Introduction: dipole anisotropy of cosmic rays

How does behave the CR dipole in isotropic turbulence?

$$\delta \propto \mathbf{j}_{\text{CR}} = -\mathbf{K} \cdot \nabla \Psi$$

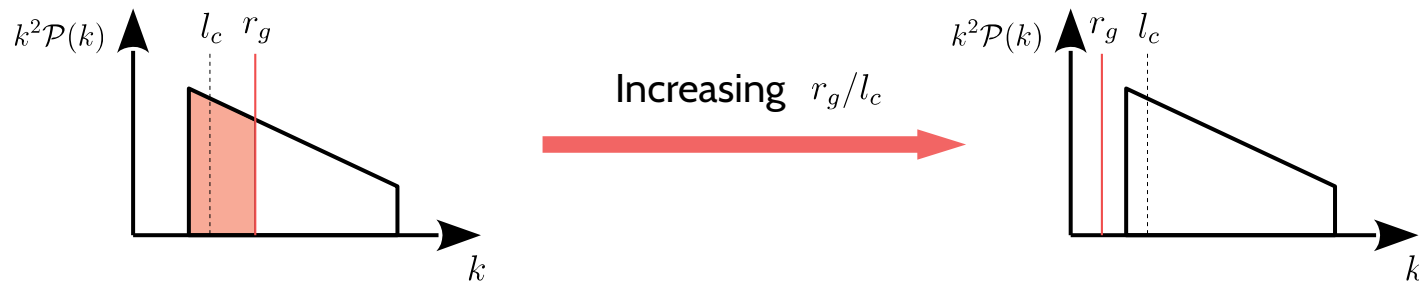
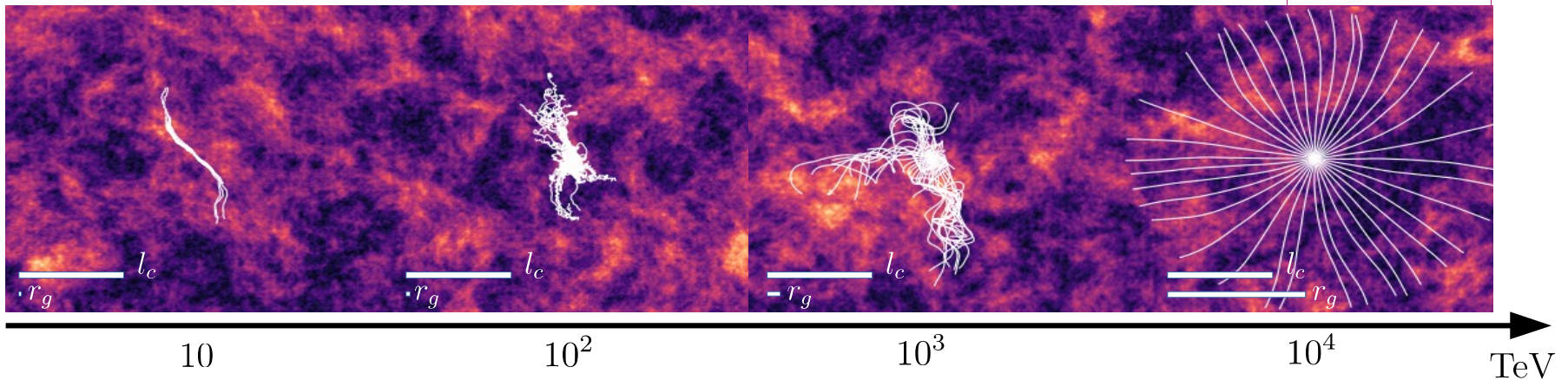
→ We naively write:  $K_{ij} = \delta_{ij} \kappa_{\text{iso}}$      $\kappa_{\text{iso}} = \lim_{\tau \rightarrow \infty} \langle \Delta \mathbf{r}^2(\tau) \rangle_{\text{B}} / 6\tau$

*Does it mean that the anisotropy follow the gradient direction?*

**Test-particle simulations: backtracking in isotropic turbulence:**

$$l_c = 2 \text{ pc}$$

$$B_{\text{rms}} = 4 \mu\text{G}$$



→ At low energies particles stream along the local magnetic field

## Formalism

Angular power spectrum of CR arrival directions:

$$\frac{C_\ell}{4\pi} \simeq \int \frac{d\hat{\mathbf{p}}_1}{4\pi} \int \frac{d\hat{\mathbf{p}}_2}{4\pi} P_\ell(\hat{\mathbf{p}}_1\hat{\mathbf{p}}_2) \lim_{\tau \rightarrow \infty} (\Delta r_{1i}(-\tau)\Delta r_{2j}(-\tau)) \frac{\partial_i n \partial_j n}{n^2}$$

Ahlers & Mertsch AJL (2015)

CR dipole power:

$$\frac{C_1}{4\pi} \simeq S_{ij} \frac{\partial_i n \partial_j n}{n^2}$$

Dipole depends on the eigen values of S

with

$$\mathbf{S} \equiv \mathcal{K}^T \mathcal{K}$$

and

$$\mathcal{K}_{ij} \equiv \lim_{\tau \rightarrow \infty} \langle \hat{p}_i(0) \Delta r_j(-\tau) \rangle_\Omega$$

Coincides with the TKG definition if:

$$\Omega \leftrightarrow \text{Ensemble B}$$

→ Study of the diffusion tensor with test-particle simulations

## Simulation set up

## Formalism

Angular power spectrum of CR arrival directions:

$$\frac{C_\ell}{4\pi} \simeq \int \frac{d\hat{\mathbf{p}}_1}{4\pi} \int \frac{d\hat{\mathbf{p}}_2}{4\pi} P_\ell(\hat{\mathbf{p}}_1\hat{\mathbf{p}}_2) \lim_{\tau \rightarrow \infty} (\Delta r_{1i}(-\tau)\Delta r_{2j}(-\tau)) \frac{\partial_i n \partial_j n}{n^2}$$

Ahlers & Mertsch AJL (2015)

CR dipole power:

$$\frac{C_1}{4\pi} \simeq S_{ij} \frac{\partial_i n \partial_j n}{n^2}$$

Dipole depends on the eigen values of S

with

$$\mathbf{S} \equiv \mathcal{K}^T \mathcal{K}$$

and

$$\mathcal{K}_{ij} \equiv \lim_{\tau \rightarrow \infty} \langle \hat{p}_i(0) \Delta r_j(-\tau) \rangle_\Omega$$

Coincides with the TKG definition if:

$$\Omega \leftrightarrow \text{Ensemble B}$$

→ Study of the diffusion tensor with test-particle simulations

## Simulation set up

### 3D isotropic magnetic turbulence

- No helicity
- Kolmogorov turbulence
- Renormalisation of Brms

### Nested grid method Giacinti et al. PRL (2012)

- Large dynamical range  $> 10^5$

### Customized CRpropa version

- Integration with Boris-Push algorithm

## Formalism

Angular power spectrum of CR arrival directions:

$$\frac{C_\ell}{4\pi} \simeq \int \frac{d\hat{\mathbf{p}}_1}{4\pi} \int \frac{d\hat{\mathbf{p}}_2}{4\pi} P_\ell(\hat{\mathbf{p}}_1\hat{\mathbf{p}}_2) \lim_{\tau \rightarrow \infty} (\Delta r_{1i}(-\tau)\Delta r_{2j}(-\tau)) \frac{\partial_i n \partial_j n}{n^2}$$

Ahlers & Mertsch AJL (2015)

CR dipole power:

$$\frac{C_1}{4\pi} \simeq S_{ij} \frac{\partial_i n \partial_j n}{n^2}$$

Dipole depends on the eigen values of S

with

$$\mathbf{S} \equiv \mathcal{K}^T \mathcal{K}$$

and

$$\mathcal{K}_{ij} \equiv \lim_{\tau \rightarrow \infty} \langle \hat{p}_i(0) \Delta r_j(-\tau) \rangle_\Omega$$

Coincides with the TKG definition if:

$$\Omega \leftrightarrow \text{Ensemble B}$$

→ Study of the diffusion tensor with test-particle simulations

## Simulation set up

3D isotropic magnetic turbulence

- No helicity
- Kolmogorov turbulence
- Renormalisation of Brms

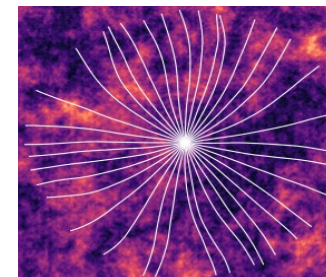
Nested grid method Giacinti et al. PRL (2012)

- Large dynamical range  $> 10^5$

Customized CRpropa version

- Integration with Boris-Push algorithm

- Backtrack particles with unif. distributed orientations
- 150 random B-field realizations





## Formalism

Angular power spectrum of CR arrival directions:

$$\frac{C_\ell}{4\pi} \simeq \int \frac{d\hat{\mathbf{p}}_1}{4\pi} \int \frac{d\hat{\mathbf{p}}_2}{4\pi} P_\ell(\hat{\mathbf{p}}_1\hat{\mathbf{p}}_2) \lim_{\tau \rightarrow \infty} (\Delta r_{1i}(-\tau)\Delta r_{2j}(-\tau)) \frac{\partial_i n \partial_j n}{n^2}$$

Ahlers & Mertsch AJL (2015)

CR dipole power:

$$\frac{C_1}{4\pi} \simeq S_{ij} \frac{\partial_i n \partial_j n}{n^2}$$

Dipole depends on the eigen values of S

with  $\mathbf{S} \equiv \mathcal{K}^T \mathcal{K}$  and

$$\mathcal{K}_{ij} \equiv \lim_{\tau \rightarrow \infty} \langle \hat{p}_i(0) \Delta r_j(-\tau) \rangle_\Omega$$

Coincides with the TKG definition if:

$\Omega \leftrightarrow$  Ensemble B

→ Study of the diffusion tensor with test-particle simulations

## Simulation set up

3D isotropic magnetic turbulence

- No helicity
- Kolmogorov turbulence
- Renormalisation of Brms

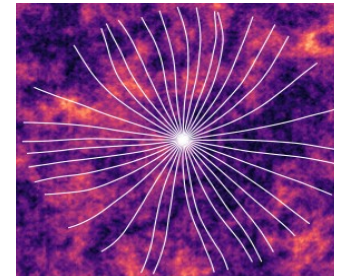
Nested grid method Giacinti et al. PRL (2012)

- Large dynamical range  $> 10^5$

Customized CRpropa version

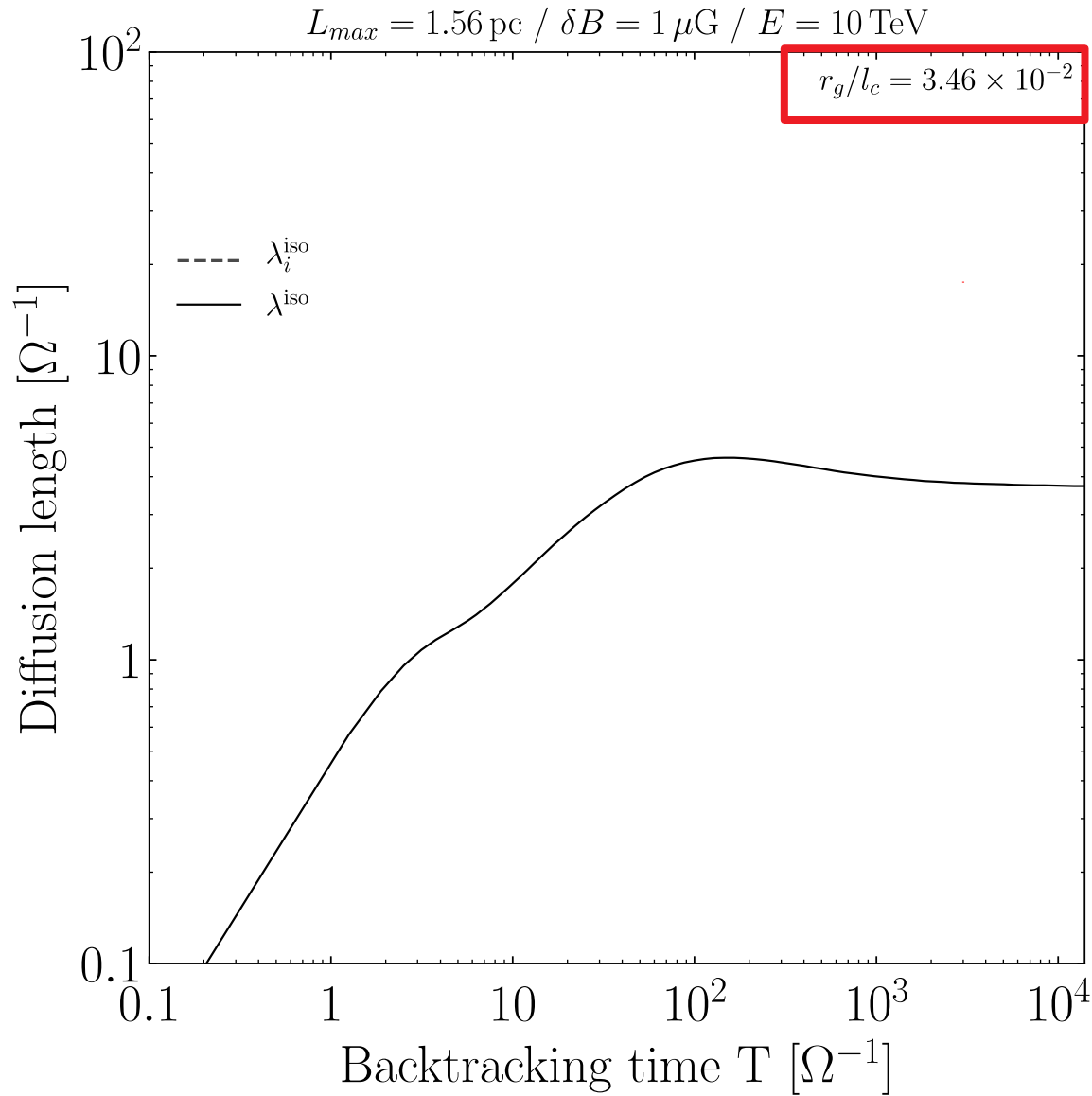
- Integration with Boris-Push algorithm

- Backtrack particles with unif. distributed orientations
- 150 random B-field realizations



Compute  $\mathcal{K}$  and  $\mathbf{S} \equiv \mathcal{K}^T \mathcal{K}$   
Extract the three eigen values



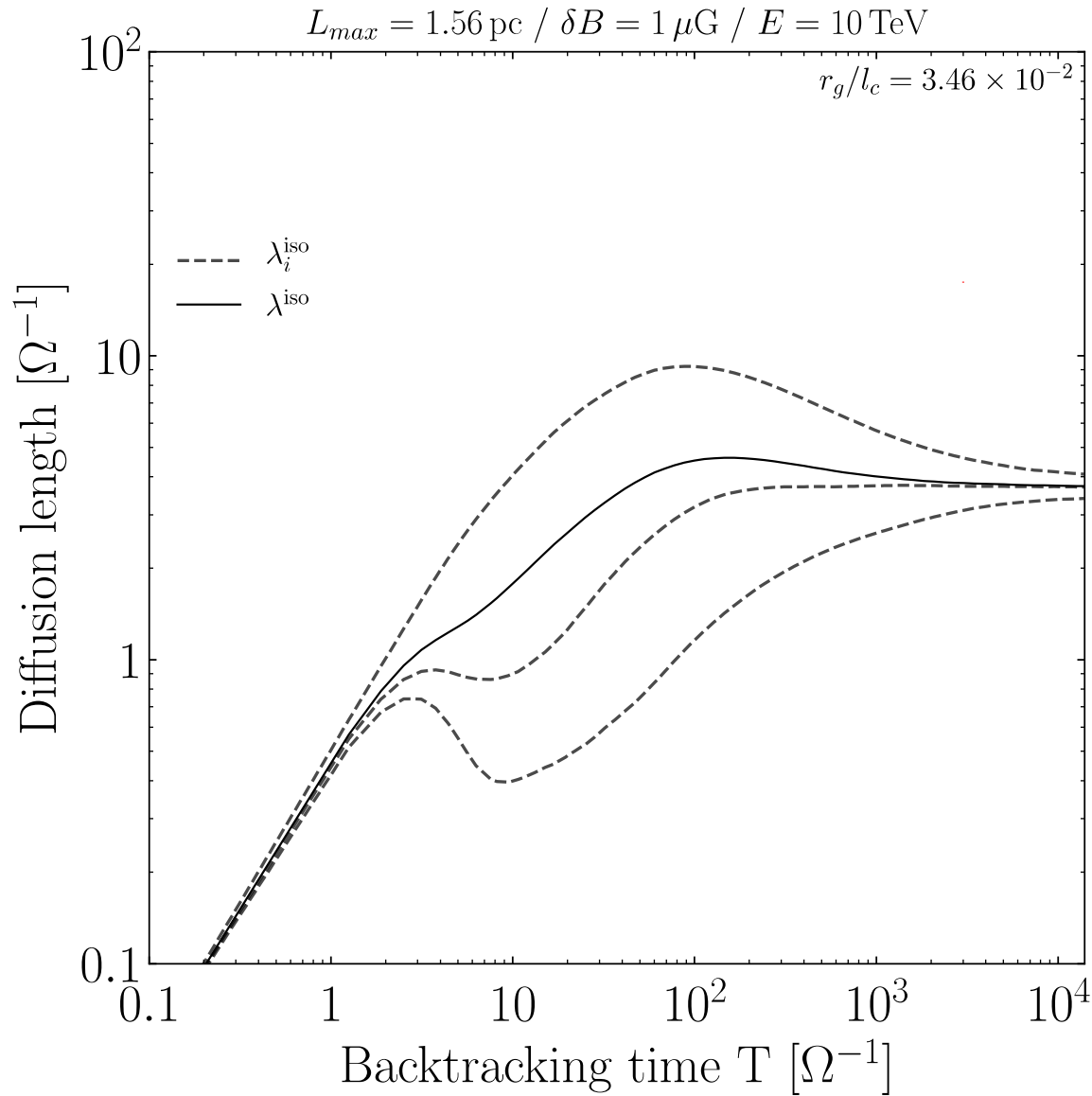


## Conventional diffusion tensor

$$\kappa_{lm}(T) = \langle \Delta r_l(-T) \Delta r_m(-T) \rangle_{\Omega} / 2T$$

→ Isotropic diffusion length

$$\lambda^{\text{iso}} = \frac{1}{3} \langle \text{Tr}(\kappa_{lm}(T)) \rangle_{\text{B}}$$



## Conventional diffusion tensor

$$\kappa_{lm}(T) = \langle \Delta r_l(-T) \Delta r_m(-T) \rangle_{\Omega} / 2T$$

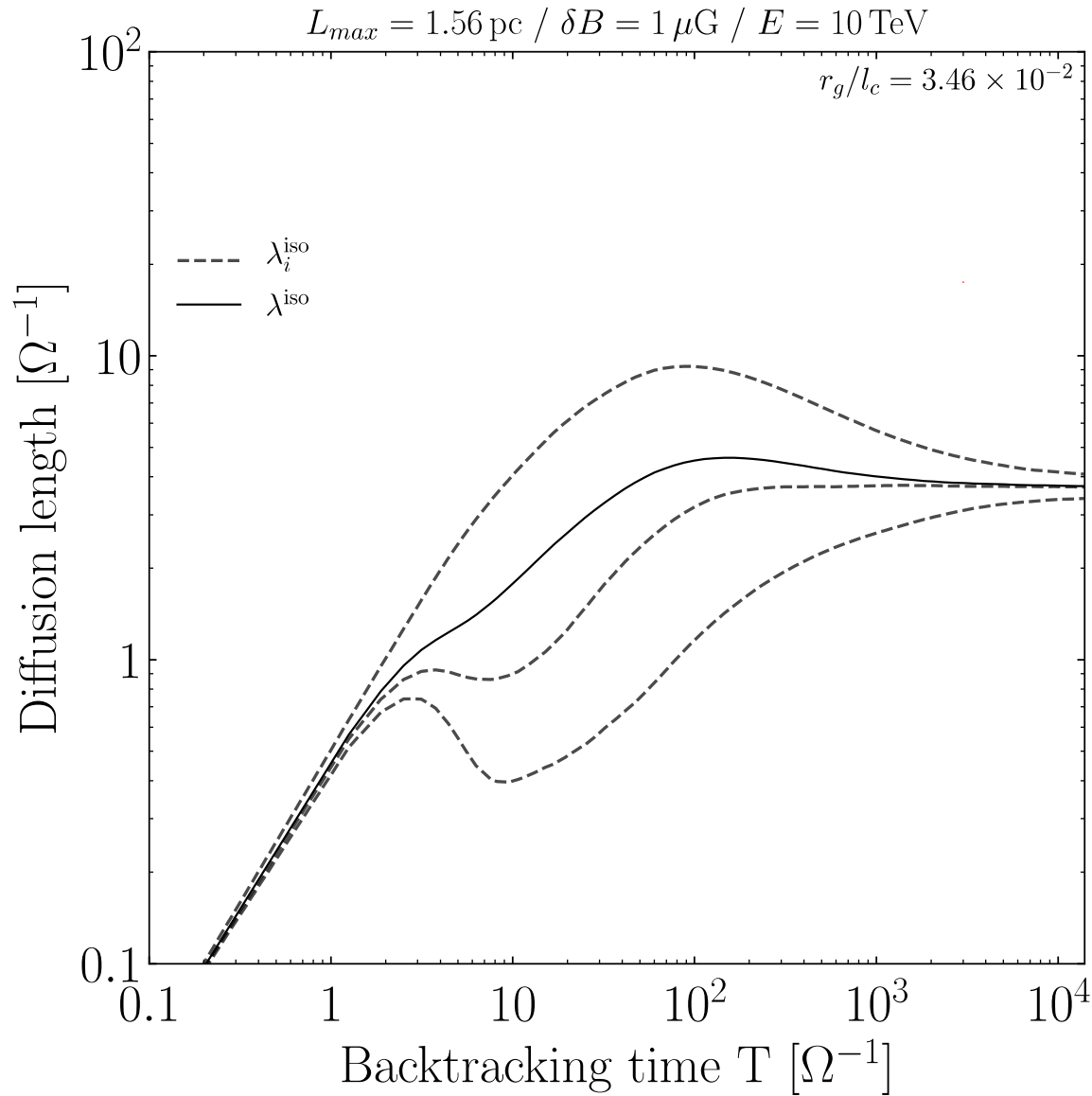
→ Isotropic diffusion length

$$\lambda^{\text{iso}} = \frac{1}{3} \langle \text{Tr}(\kappa_{lm}(T)) \rangle_{\text{B}}$$

→ Convergence of the eigen values:

$$\lambda_i^{\text{iso}} = \langle \text{EigenValue}_i[\kappa_{lm}] \rangle_{\text{B}} \rightarrow \lambda^{\text{iso}}$$

In agreement with [Giacinti et al. PRL \(2012\)](#)



## Conventional diffusion tensor

$$\kappa_{lm}(T) = \langle \Delta r_l(-T) \Delta r_m(-T) \rangle_{\Omega} / 2T$$

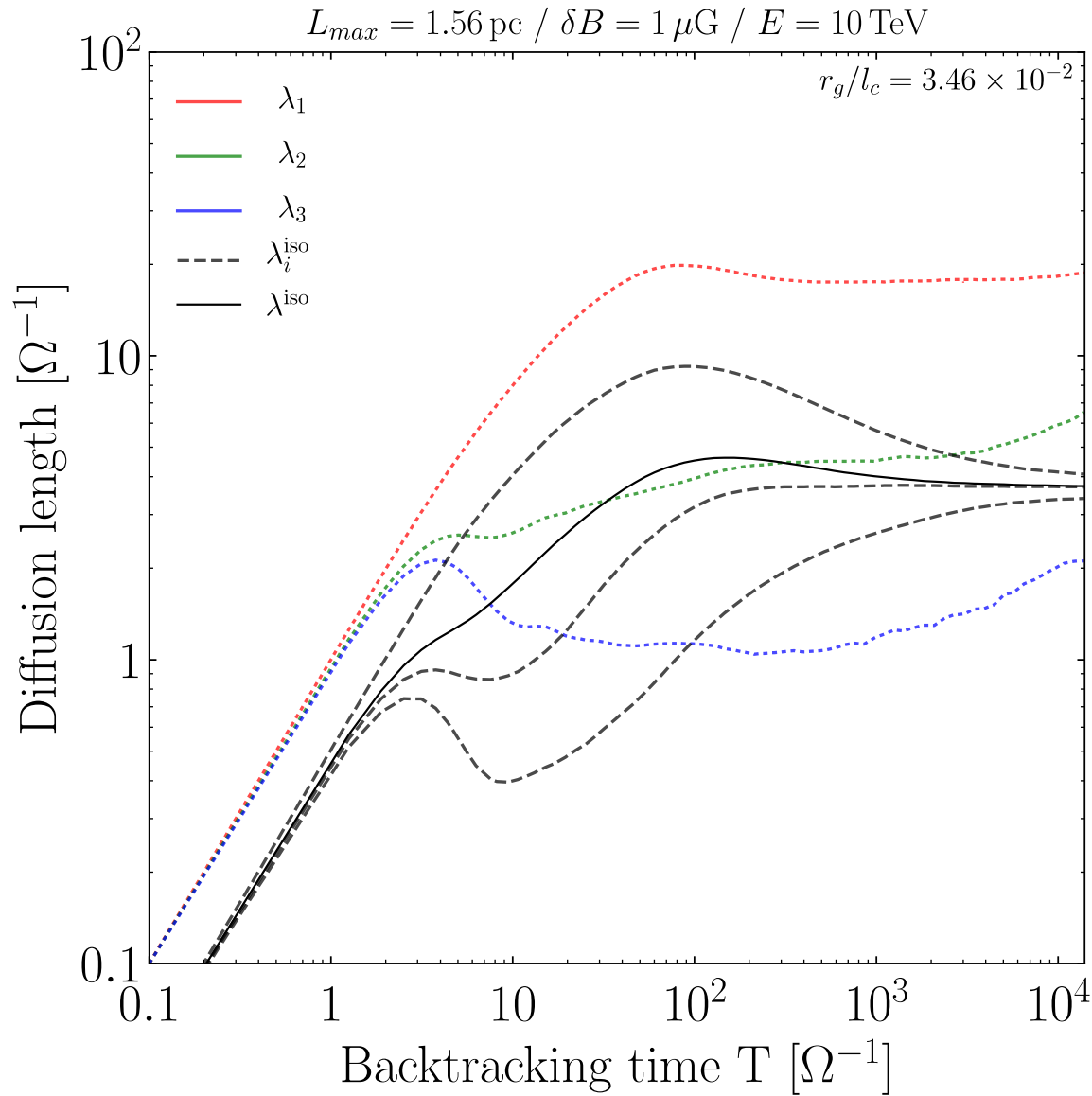
→ Isotropic diffusion length

$$\lambda^{\text{iso}} = \frac{1}{3} \langle \text{Tr}(\kappa_{lm}(T)) \rangle_{\text{B}}$$

→ Convergence of the eigen values:

$$\lambda_i^{\text{iso}} = \langle \text{EigenValue}_i[\kappa_{lm}] \rangle_{\text{B}} \rightarrow \lambda^{\text{iso}}$$

In agreement with [Giacinti et al. PRL \(2012\)](#)



## Conventional diffusion tensor

$$\kappa_{lm}(T) = \langle \Delta r_l(-T) \Delta r_m(-T) \rangle_{\Omega} / 2T$$

→ Isotropic diffusion length

$$\lambda^{\text{iso}} = \frac{1}{3} \langle \text{Tr}(\kappa_{lm}(T)) \rangle_{\text{B}}$$

→ Convergence of the eigen values:

$$\lambda_i^{\text{iso}} = \langle \text{EigenValue}_i[\kappa_{lm}] \rangle_{\text{B}} \rightarrow \lambda^{\text{iso}}$$

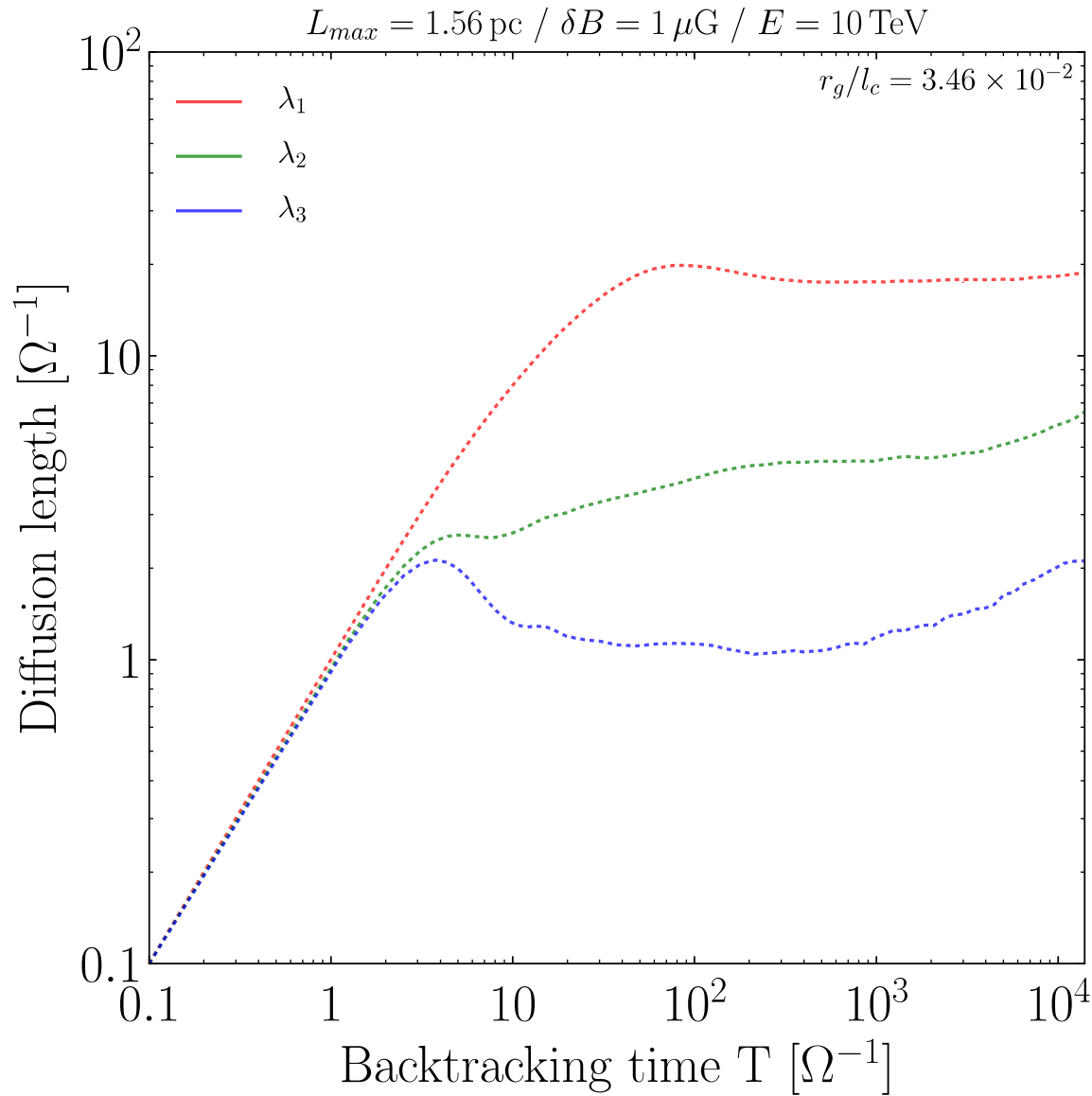
In agreement with [Giacinti et al. PRL \(2012\)](#)

## Local diffusion tensor

$$\mathcal{K}_{lm}(T) \equiv \langle \hat{p}_l(0) \Delta r_m(-T) \rangle_{\Omega}$$

→ Hierarchy between the eigen values:

$$\lambda_i = \langle \text{EigenValue}_i[\mathcal{K}^T \mathcal{K}] \rangle_{\text{B}}^{1/2}$$



## Conventional diffusion tensor

$$\kappa_{lm}(T) = \langle \Delta r_l(-T) \Delta r_m(-T) \rangle_{\Omega} / 2T$$

→ Isotropic diffusion length

$$\lambda^{\text{iso}} = \frac{1}{3} \langle \text{Tr}(\kappa_{lm}(T)) \rangle_{\text{B}}$$

→ Convergence of the eigen values:

$$\lambda_i^{\text{iso}} = \langle \text{EigenValue}_i[\kappa_{lm}] \rangle_{\text{B}} \rightarrow \lambda^{\text{iso}}$$

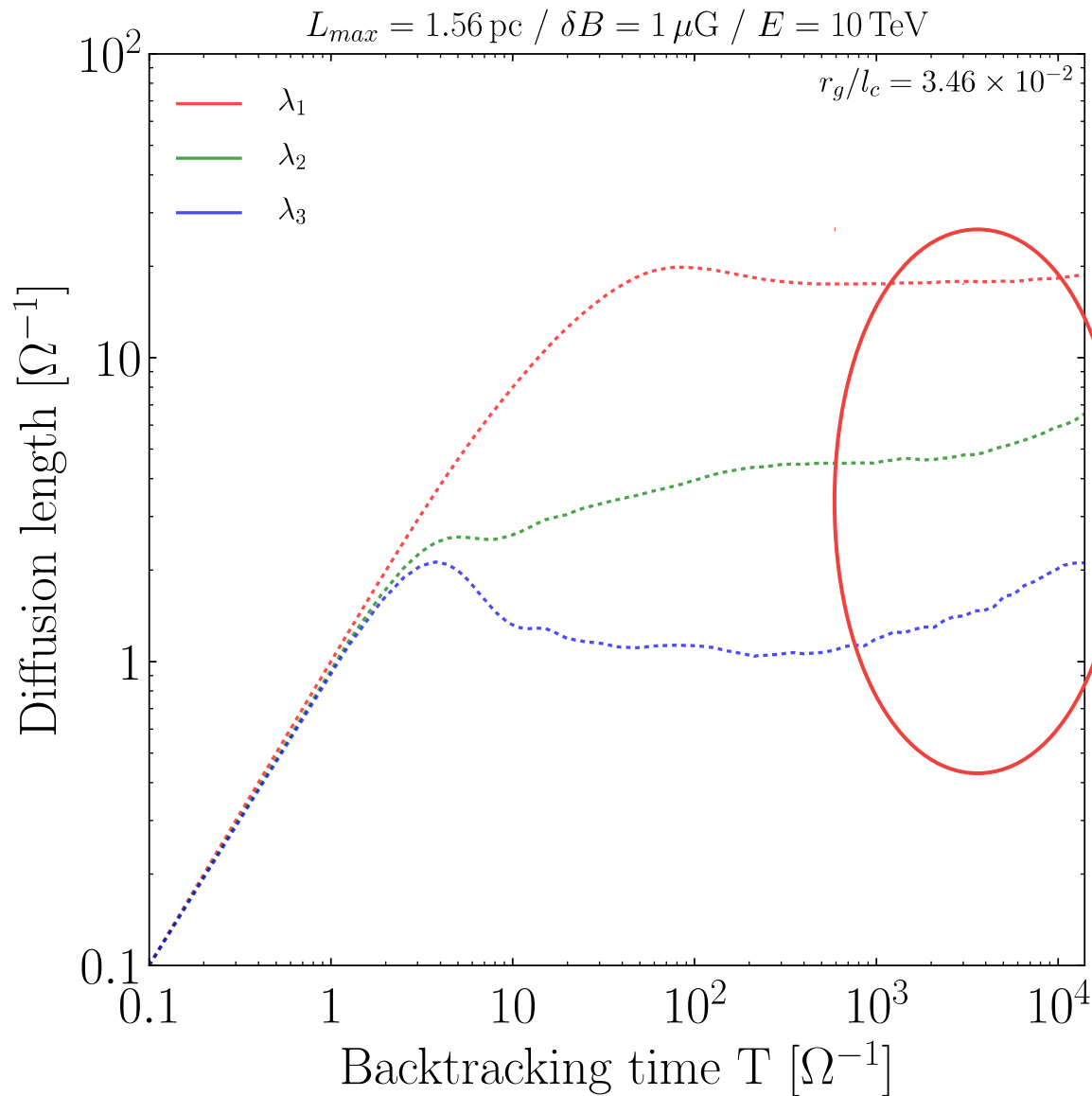
In agreement with [Giacinti et al. PRL \(2012\)](#)

## Local diffusion tensor

$$\mathcal{K}_{lm}(T) \equiv \langle \hat{p}_l(0) \Delta r_m(-T) \rangle_{\Omega}$$

→ Hierarchy between the eigen values:

$$\lambda_i = \langle \text{EigenValue}_i[\mathcal{K}^T \mathcal{K}] \rangle_{\text{B}}^{1/2}$$



## Conventional diffusion tensor

$$\kappa_{lm}(T) = \langle \Delta r_l(-T) \Delta r_m(-T) \rangle_{\Omega} / 2T$$

→ Isotropic diffusion length

$$\lambda^{\text{iso}} = \frac{1}{3} \langle \text{Tr}(\kappa_{lm}(T)) \rangle_{\text{B}}$$

→ Convergence of the eigen values:

$$\lambda_i^{\text{iso}} = \langle \text{EigenValue}_i[\kappa_{lm}] \rangle_{\text{B}} \rightarrow \lambda^{\text{iso}}$$

In agreement with [Giacinti et al. PRL \(2012\)](#)

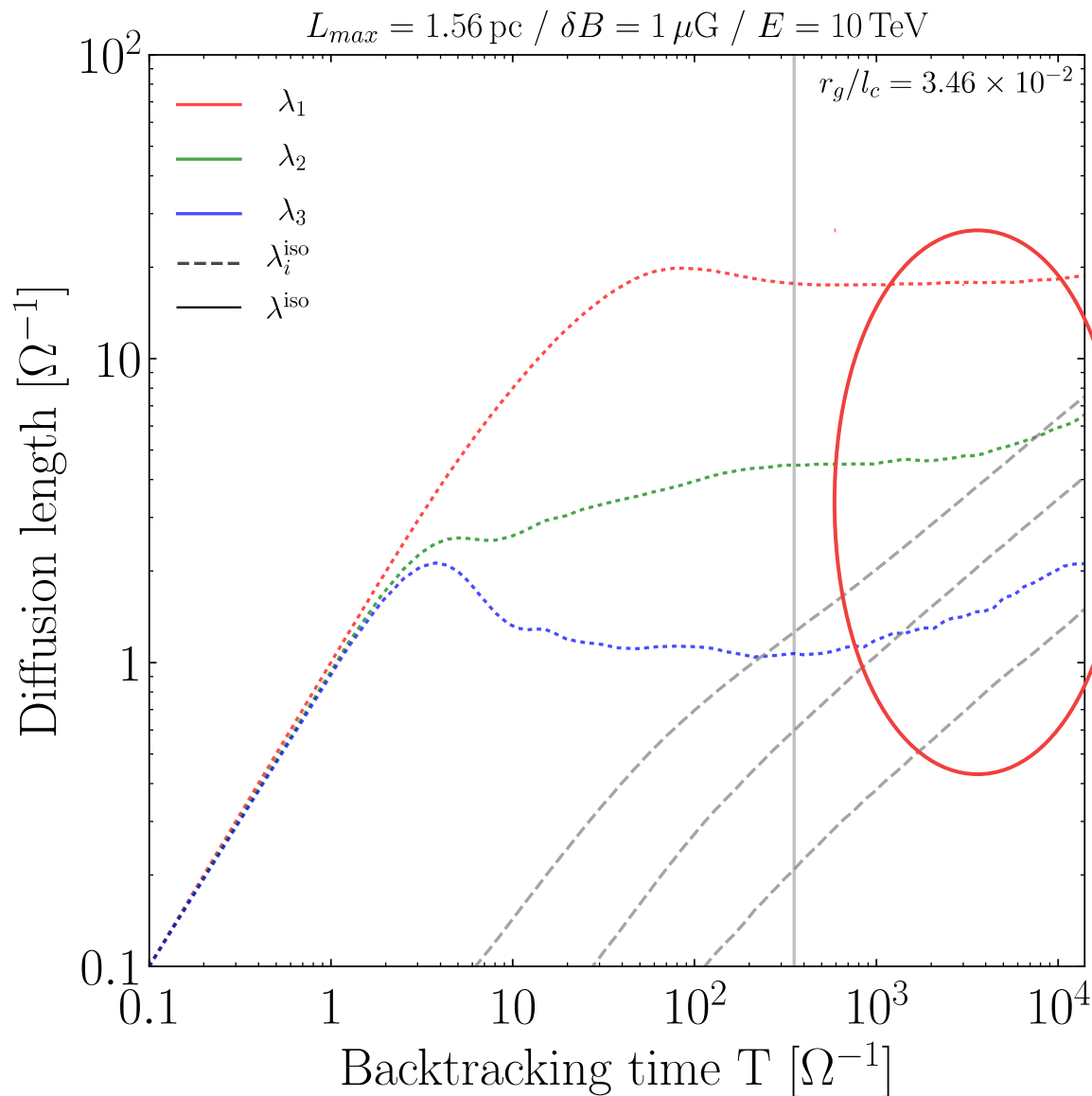
## Local diffusion tensor

$$\mathcal{K}_{lm}(T) \equiv \langle \hat{p}_l(0) \Delta r_m(-T) \rangle_{\Omega}$$

→ Hierarchy between the eigen values:

$$\lambda_i = \langle \text{EigenValue}_i[\mathcal{K}^T \mathcal{K}] \rangle_{\text{B}}^{1/2}$$

→ Numerical noise from finite directions sampling



## Conventional diffusion tensor

$$\kappa_{lm}(T) = \langle \Delta r_l(-T) \Delta r_m(-T) \rangle_{\Omega} / 2T$$

→ Isotropic diffusion length

$$\lambda^{\text{iso}} = \frac{1}{3} \langle \text{Tr}(\kappa_{lm}(T)) \rangle_{\text{B}}$$

→ Convergence of the eigen values:

$$\lambda_i^{\text{iso}} = \langle \text{EigenValue}_i[\kappa_{lm}] \rangle_{\text{B}} \rightarrow \lambda^{\text{iso}}$$

In agreement with [Giacinti et al. PRL \(2012\)](#)

## Local diffusion tensor

$$\mathcal{K}_{lm}(T) \equiv \langle \hat{p}_l(0) \Delta r_m(-T) \rangle_{\Omega}$$

→ Hierarchy between the eigen values:

$$\lambda_i = \langle \text{EigenValue}_i[\mathcal{K}^T \mathcal{K}] \rangle_{\text{B}}^{1/2}$$

→ **Numerical noise from finite directions sampling**

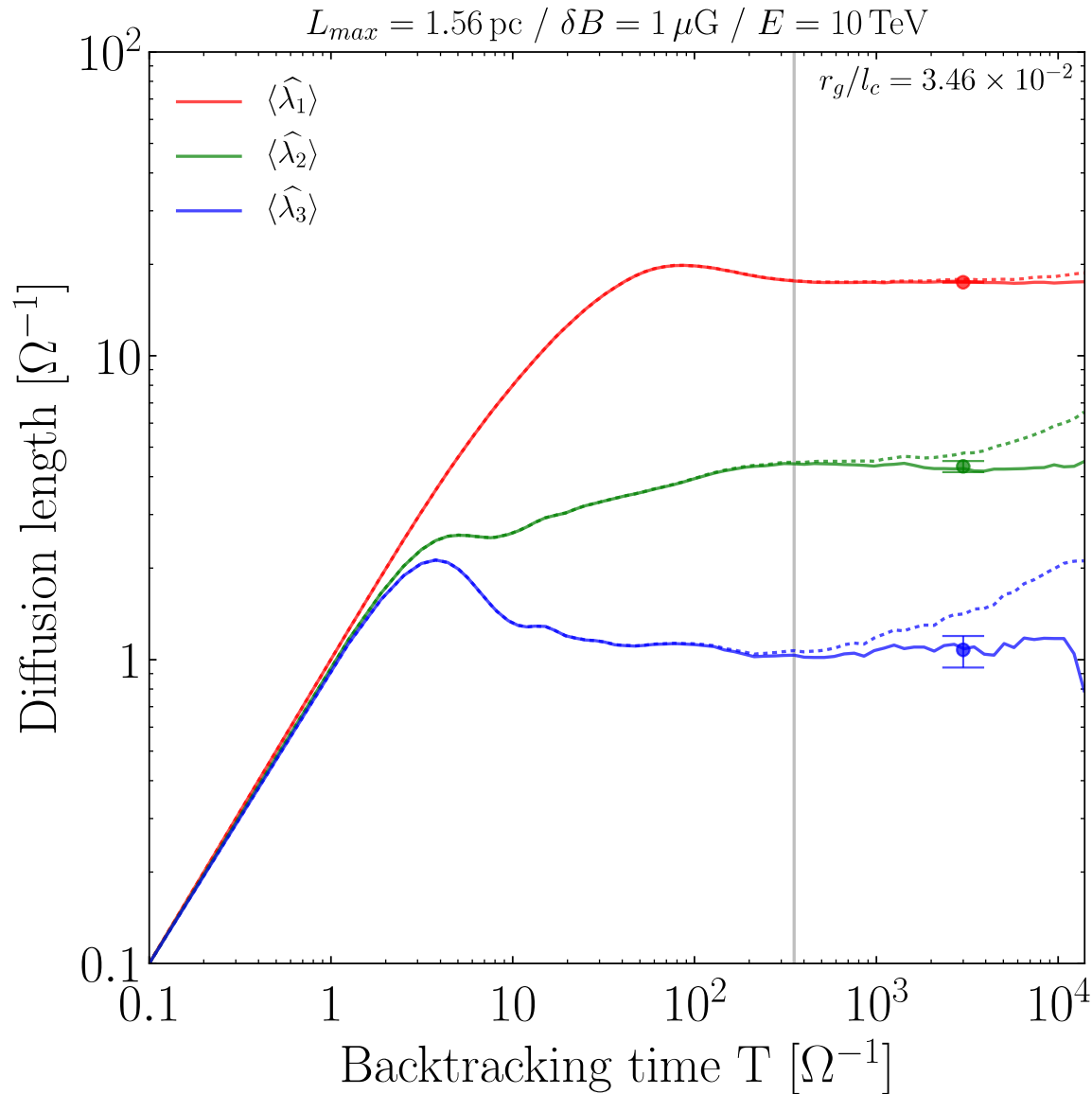
Estimated via 
$$\tilde{\mathcal{K}}_{ij}(\xi) = \frac{1}{N_{\text{pix}}} \sum_{n=1}^{N_{\text{pix}}} \hat{p}_{\xi ni}(0) \Delta r_{nj}(-\tau)$$

→ Total noise well under control

But noise on each eigen value not known

→ Rescaling of the noise via a fit with constraints

$$\lambda_i \rightarrow \hat{\lambda}_i$$



## Conventional diffusion tensor

$$\kappa_{lm}(T) = \langle \Delta r_l(-T) \Delta r_m(-T) \rangle_{\Omega} / 2T$$

→ Isotropic diffusion length

$$\lambda^{\text{iso}} = \frac{1}{3} \langle \text{Tr}(\kappa_{lm}(T)) \rangle_{\text{B}}$$

→ Convergence of the eigen values:

$$\lambda_i^{\text{iso}} = \langle \text{EigenValue}_i[\kappa_{lm}] \rangle_{\text{B}} \rightarrow \lambda^{\text{iso}}$$

In agreement with [Giacinti et al. PRL \(2012\)](#)

## Local diffusion tensor

$$\mathcal{K}_{lm}(T) \equiv \langle \hat{p}_l(0) \Delta r_m(-T) \rangle_{\Omega}$$

→ Hierarchy between the eigen values:

$$\lambda_i = \langle \text{EigenValue}_i[\mathcal{K}^T \mathcal{K}] \rangle_{\text{B}}^{1/2}$$

→ **Numerical noise from finite directions sampling**

Estimated via 
$$\tilde{\mathcal{K}}_{ij}(\xi) = \frac{1}{N_{\text{pix}}} \sum_{n=1}^{N_{\text{pix}}} \hat{p}_{\xi ni}(0) \Delta r_{nj}(-\tau)$$

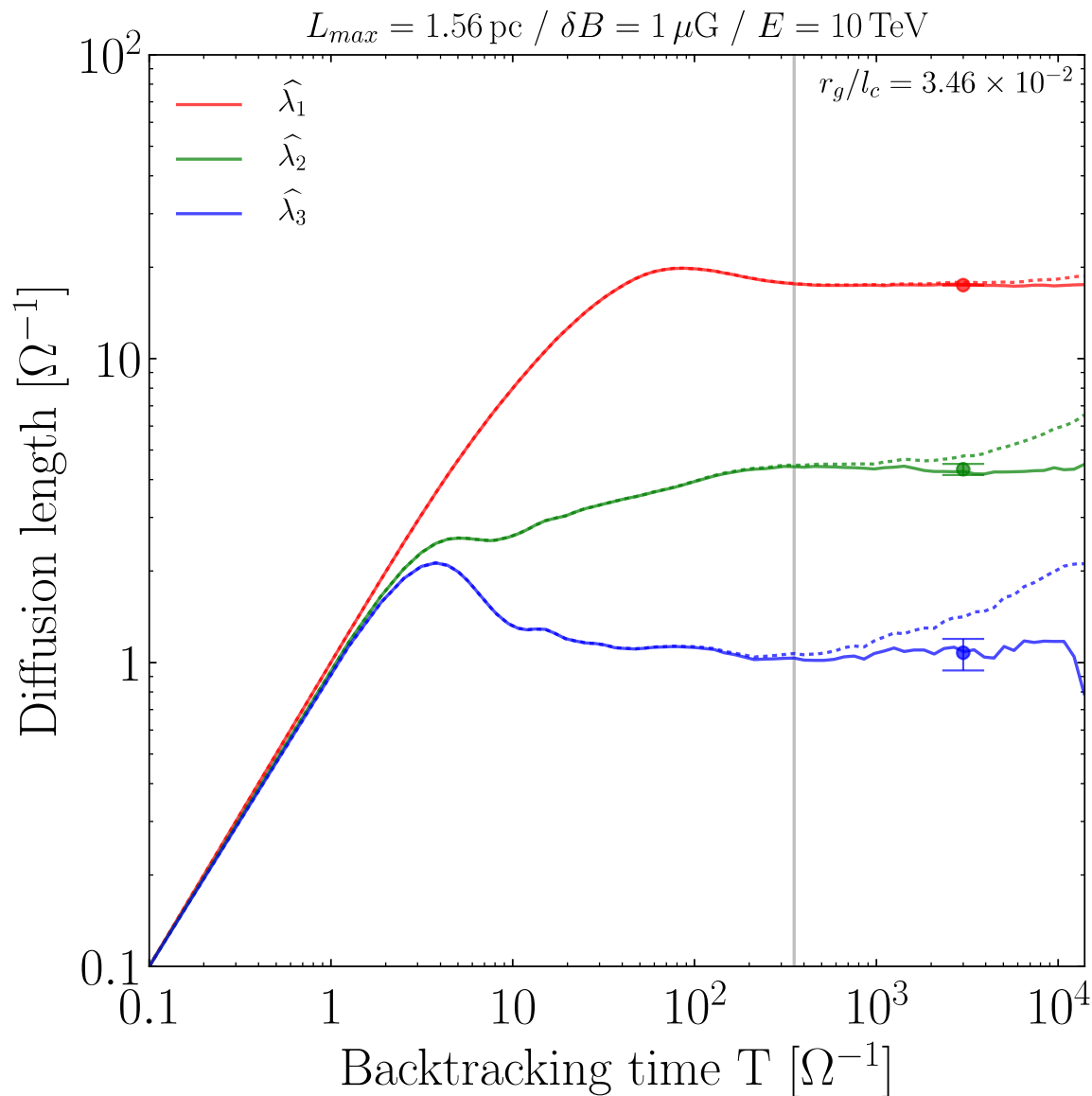
→ Total noise well under control

But noise on each eigen value not known

→ Rescaling of the noise via a fit with constraints

$$\lambda_i \rightarrow \hat{\lambda}_i$$





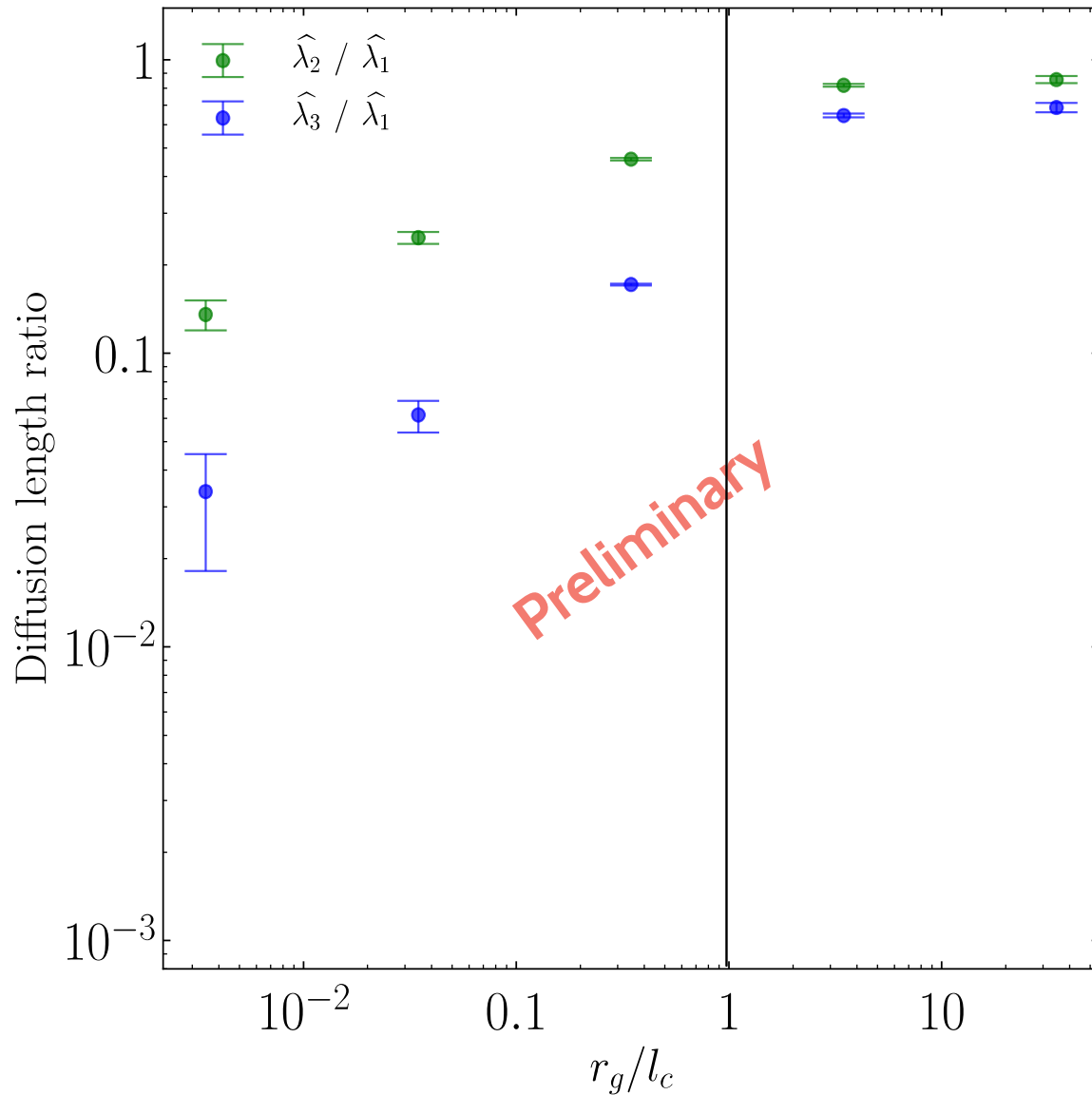
**Local diffusion tensor strongly anisotropic**  
 $O(10)$  in isotropic turbulence for  $r_g/l_c \sim 10^{-2}$

**Main consequence**

$$\frac{C_1}{4\pi} \simeq S_{ij} \frac{\partial_i n \partial_j n}{n^2}$$

→ **Large projection effect of cosmic-ray gradient**

$$\frac{C_1}{4\pi} \propto \hat{\lambda}_1^2 \frac{(\nabla_1 n)^2}{n^2} + \hat{\lambda}_2^2 \frac{(\nabla_2 n)^2}{n^2} + \hat{\lambda}_3^2 \frac{(\nabla_3 n)^2}{n^2}$$



**Local diffusion tensor strongly anisotropic**  
 $O(10)$  in isotropic turbulence for  $r_g/l_c \sim 10^{-2}$

**Main consequence**

$$\frac{C_1}{4\pi} \simeq S_{ij} \frac{\partial_i n \partial_j n}{n^2}$$

→ **Large projection effect** of cosmic-ray gradient

$$\frac{C_1}{4\pi} \propto \hat{\lambda}_1^2 \frac{(\nabla_1 n)^2}{n^2} + \hat{\lambda}_2^2 \frac{(\nabla_2 n)^2}{n^2} + \hat{\lambda}_3^2 \frac{(\nabla_3 n)^2}{n^2}$$

**Evolution of the tensor with  $r_g/l_c$**

→ Increasing projection effect for small  $r_g/l_c$

→ Convergence to isotropic diffusion  $r_g/l_c > 1$

## CR dipole observations

→ Rapid phase flip and reduced dipole in the TeV-PeV range

## Investigating local diffusion in isotropic turbulence

→ New methodology to study local diffusion (Nested grid & Backtracking)

→ In isotropic turbulence local diffusion is strongly anisotropic for  $r_g/l_c < 1$

→ Evolution with particle rigidity towards isotropy for  $r_g/l_c > 1$

## Prospects

→ Challenges to remove the numerical noise for smaller  $r_g/l_c$

→ Other magnetic configurations to probe

## CR dipole observations

→ Rapid phase flip and reduced dipole in the TeV-PeV range

## Investigating local diffusion in isotropic turbulence

→ New methodology to study local diffusion (Nested grid & Backtracking)

→ In isotropic turbulence local diffusion is strongly anisotropic for  $r_g/l_c < 1$

→ Evolution with particle rigidity towards isotropy for  $r_g/l_c > 1$

## Prospects

→ Challenges to remove the numerical noise for smaller  $r_g/l_c$

→ Other magnetic configurations to probe

Thank you! Questions? → [yoann.genolini@nbi.ku.dk](mailto:yoann.genolini@nbi.ku.dk)