



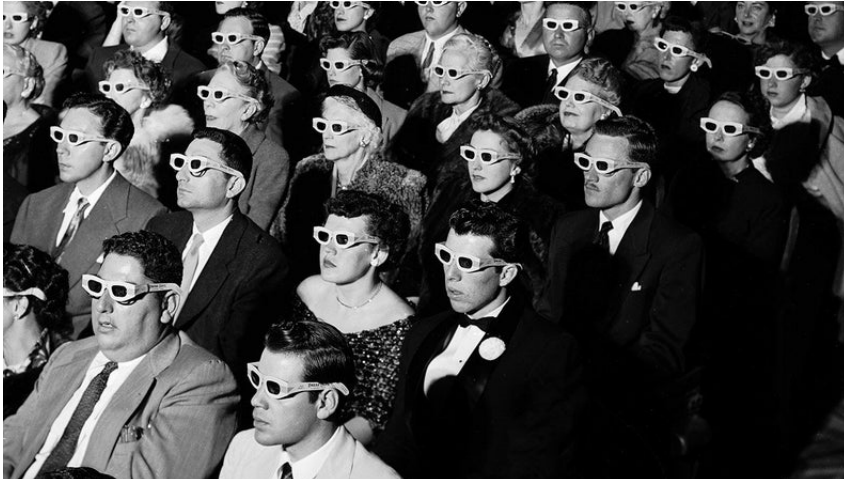
Rapporteur talk Cosmic Ray Direct

Philipp Mertsch

37th International Cosmic Ray Conference

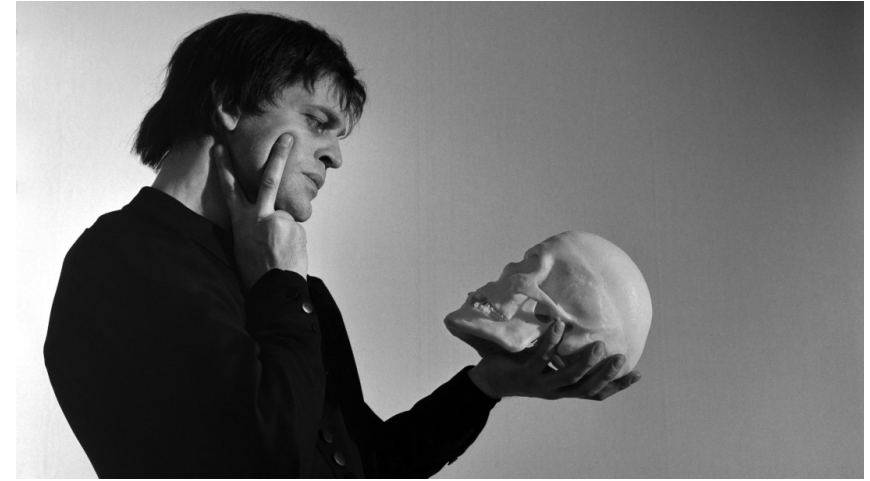
22 July 2021

Why bother?



CRs as spectators

- What are their sources?
- Can we find DM in CRs?
- Is there primordial anti-matter in CRs?



CRs as actors

- CRs produce diffuse emission
- CRs contribute to ionisation, heating
- CRs provide gravitational support
- CRs drive winds
- CRs generate turbulence

Very different demands on models!

**Observational
confirmation**

CR THEORY

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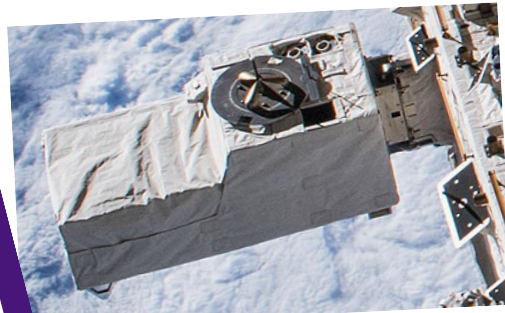
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Where do cosmic rays come from?

A theorist's hand



CALorimetric Electron Telescope

Years in orbit	~ 6
Main subsystems	3
Weight	650 kg
Power consumption	600 W
Contact	No e ⁻ line!

Contributions at ICRC 2021:

P. S. Marrocchesi	#19
K. Kobayashi	#98
P. Brogi	#101
P. Maestro	#93
Y. Akaike	#112
S. Tori	#105
F. Stolzi	#109

Further contributions at ICRC 2021:

³ He, ⁴ He	#96
anisotropies (nuclei)	#108
e ⁻	#111
e ⁺	#122
pbar	#116
anisotropies (e ⁺ , e ⁻)	#120
and various posters...	
F. Giovacchini	#96
M. A. Velasco	#108
D. Krasnopevtsev	#111
Z. Weng	#122
H.-Y. Chou	#116
M. M. Gonzalez	#120



DARK Matter Particle Explorer

Years in orbit	~ 5.5
Main subsystems	4
Weight	1400 kg
Power	400 W
Contact	e ⁻ line?

Contributions at ICRC 2021:

X. Li	#13
F. Alemanno	#117
M. Di Santo	#114
L. Wu	#128
C. Yue	#126
Z. Zu	#115

and posters...



Cosmic Ray Energetics And Mass

Years in orbit	~ 1.5
Main subsystems	4
Weight	1300 kg
Power	400 W

I scream, you scream

Contributions at ICRC 2021:

E.-S. Seo	#95
G. Choi	#94

and posters...

AMS – nuclei



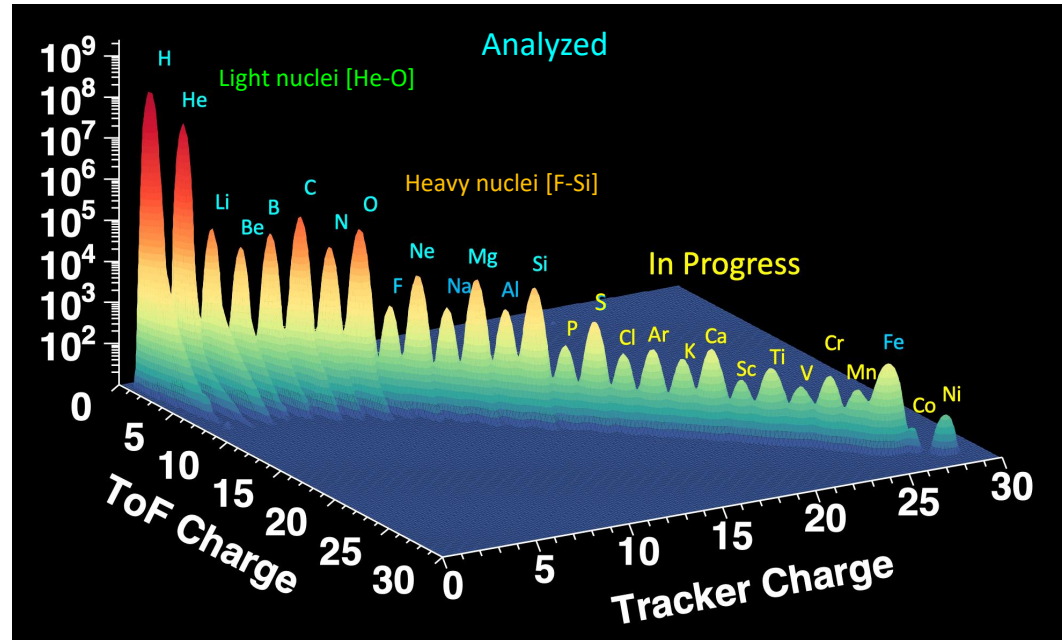
Alpha Magnetic Spectrometer

Years in orbit	~ 10
Main subsystems	5
Weight	7000 kg
Power consumption	2000 W
Fun fact	Anti-helium?

Contributions at ICRC 2021:

He, C, O vs Li, Be, B	H. Gast	#1008
Ne, Mg, Si	A. Oliva	#763
F	Q. Yan	#707
Na	C. Zhang	#743
Fe	Y. Chen	#1145
Li, Be isotopes	L. Derome	#992
deuterons	E. F. Bueno	#113

Please turn...



Importance

- Precision: more statistics → better constraints
- Origin: composition contains clue on sources
- Serendipity: expect the unexpected!

Composition and CR origin

V. Tatischeff #153

Source abundances depend on

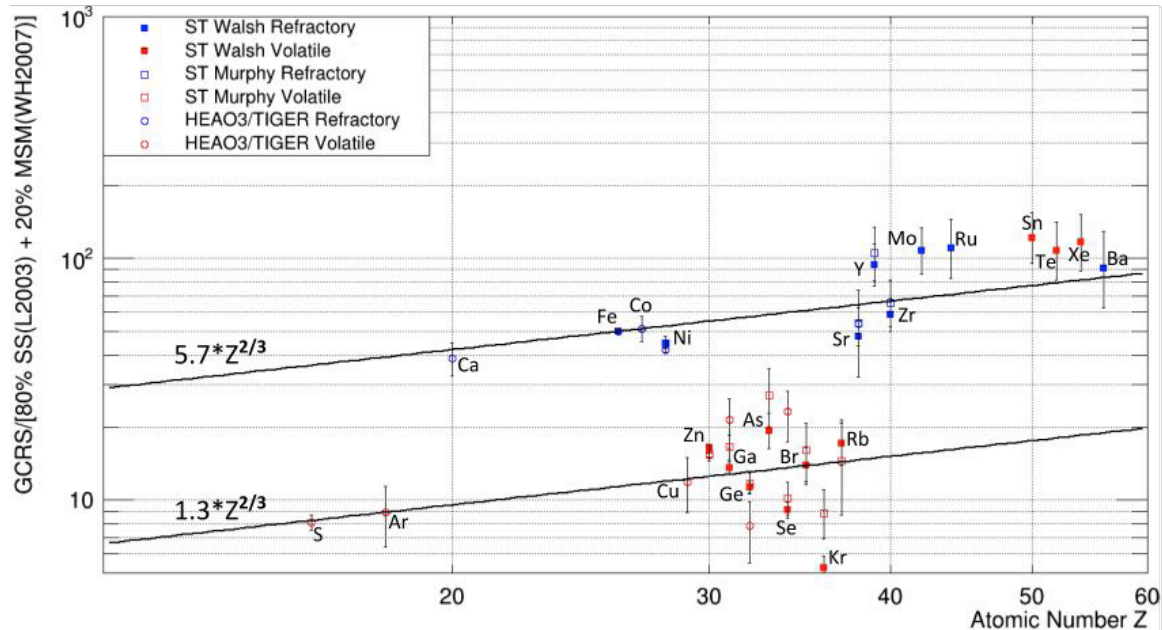
1. composition of source reservoir
2. ISM phase (ionisation state)
3. dust content

Use *measured* chemical composition
to infer the environments
for CR acceleration

1. Volatiles mainly from superbubbles, SNRs in warm ISM contribute <30%
2. ^{22}Ne overabundance due to wind termination shocks of massive stars
3. Refractories can also be from superbubbles, requires continuous replenishing of dust

Composition and CR origin

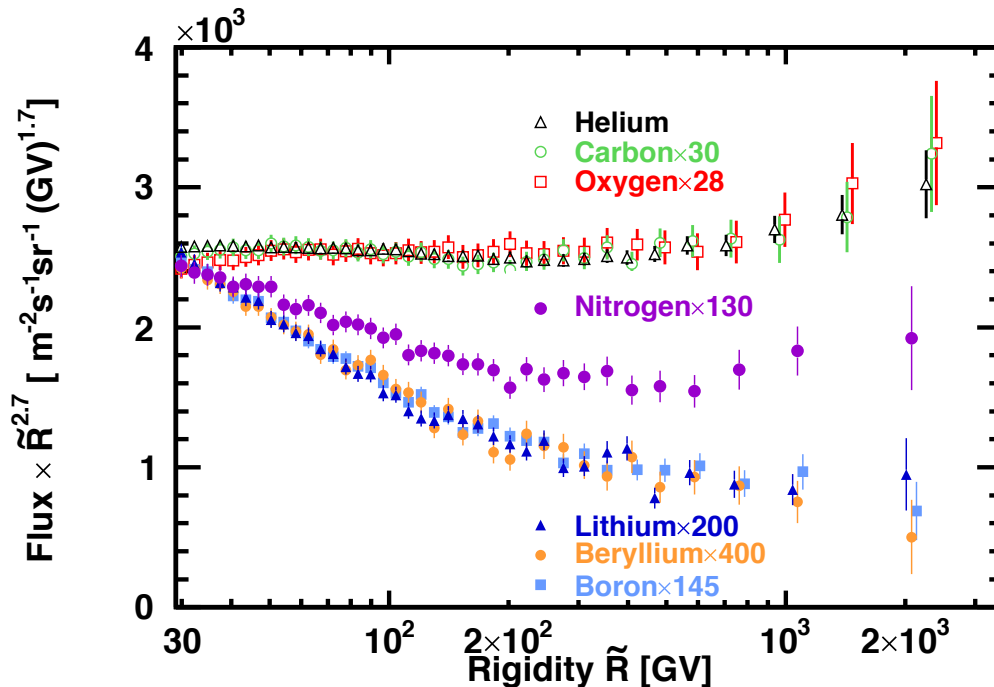
- N. Walsh (Super-TIGER) #118



- Up to Z=40: Charge-dependence and preference of refractory over volatiles
- Only if choosing the right mix: 80% solar system, 20% massive (OB) stars
- Beyond Z=40: volatiles not disfavoured anymore
→ r-process elements, NS binary mergers?

AMS – status ca. 2019

H. Gast #1008



He, C, O

- dominantly primary
- agree in shape > 50 GV
- break at ~ 300 GV

Li, Be, B

- dominantly secondary
- agree very well in shape
- also break at ~ 300 GV

The break in secondaries
is ~ twice as big
→ propagation effect

N

sec. and prim. contributions

Ne, Mg, Si

Ne, Mg, Si

- dominantly primary
- agree in shape > 100 GV
- differ from He, C, O

→ “two different classes”

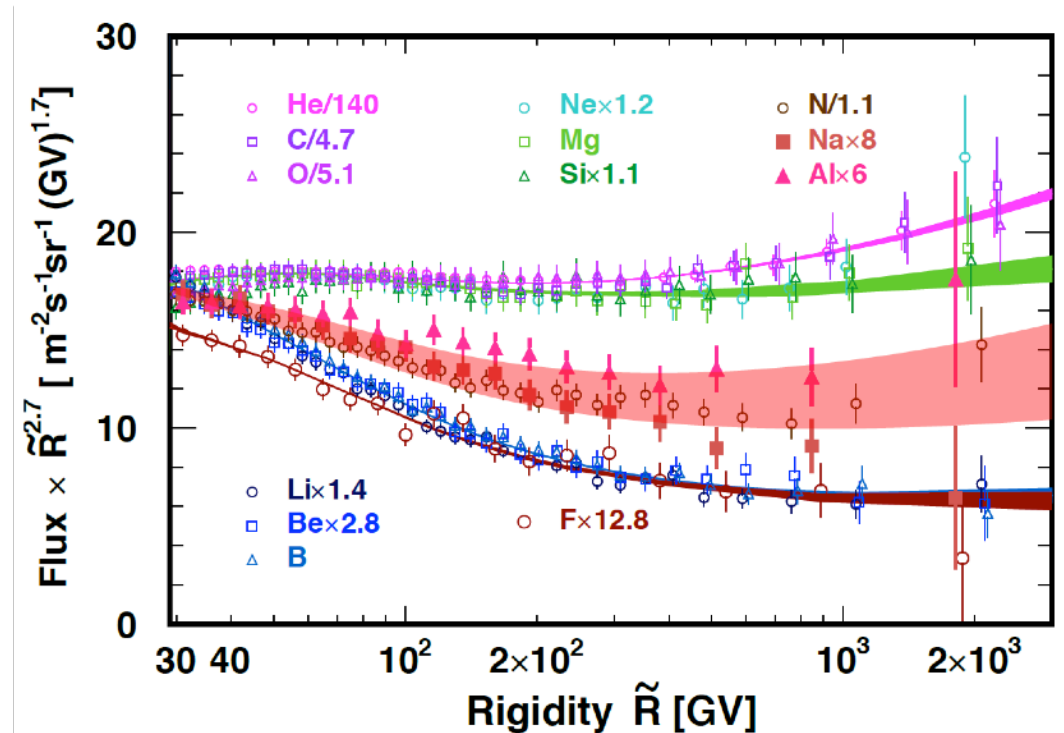
- but what does this mean?

F

- purely secondary

Na, Al

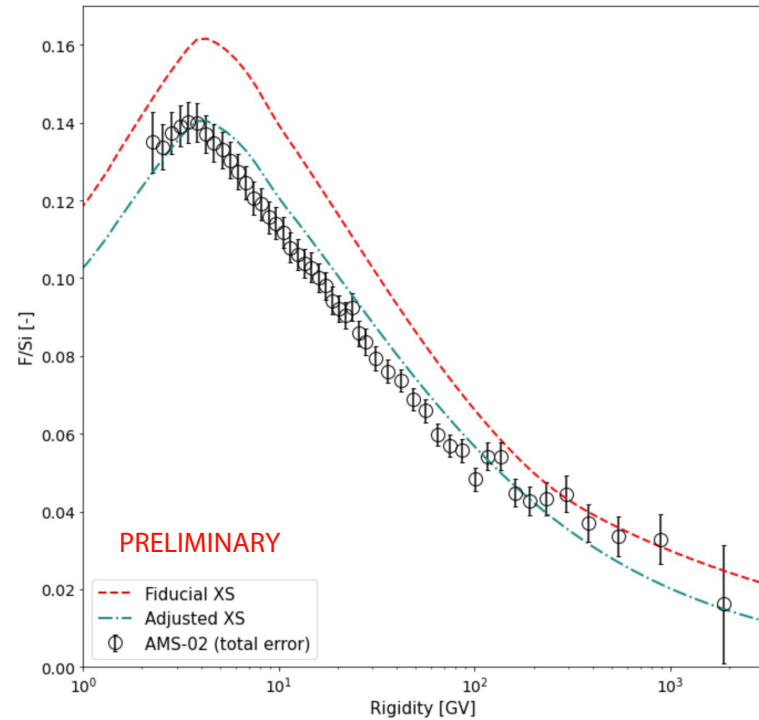
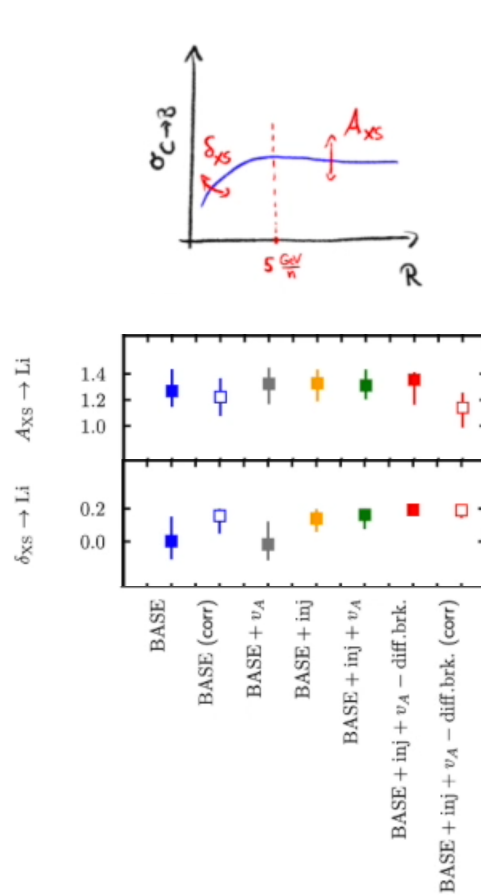
- sec. and prim. (see N)



Cross-section uncertainties

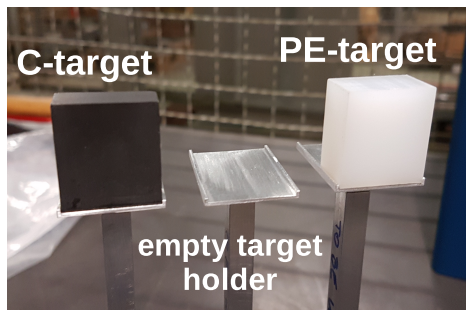
M. Korsmeier #176

M. Vecchi #174



- Parametrise deviations by nuisance parameters and fit to CR data
- parameters as fitted to Li, Be, B, He, C, O
- F/Si overproduced by 20%
- Fixed by modifying $Si \rightarrow F$ cross-section

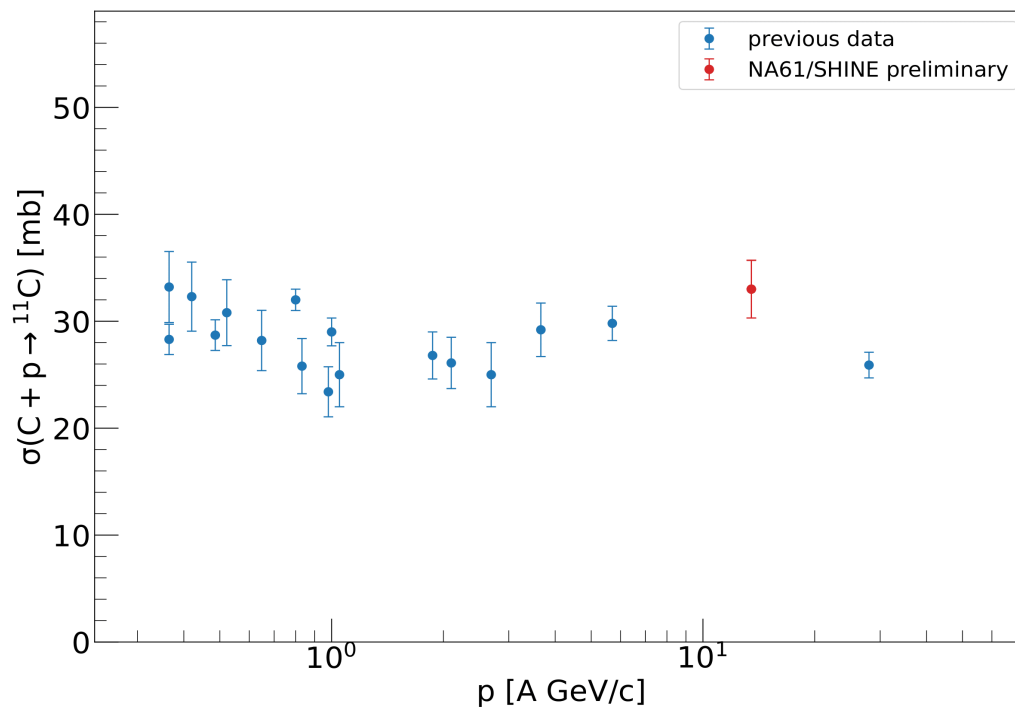
NA61/SHINE



$$\sigma(^{12}\text{C} \rightarrow ^{11}\text{C}) = (33 \pm 3) \text{ mb}$$

Pilot run in 2018

- Beam energy ~ 14 A GeV
- C + p reaction on polyethylene and graphite targets

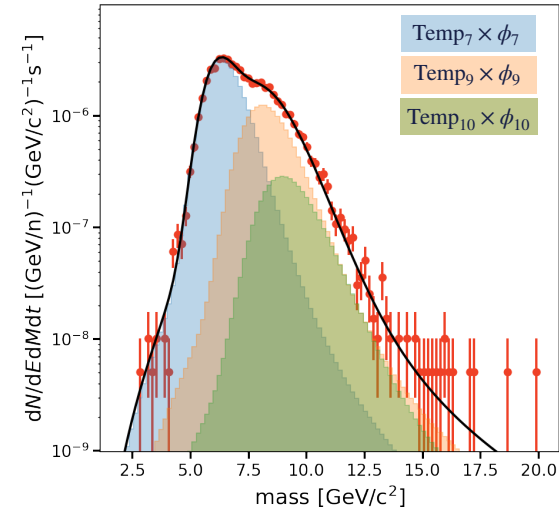


Data taking for light secondary (B, Li, Be) production on light primaries (C, N, O) planned for 2022.

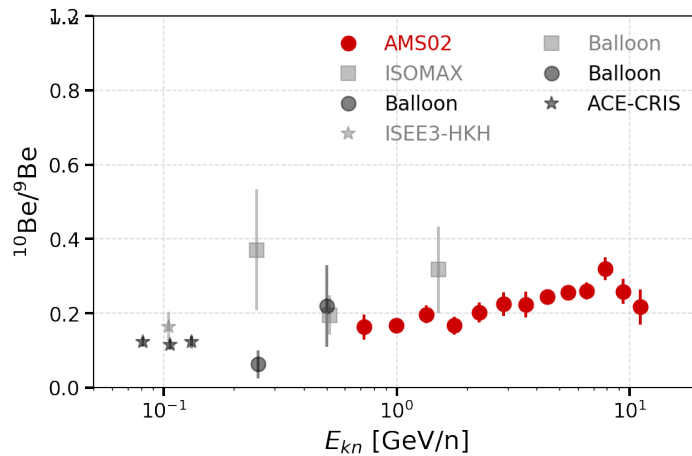
Isotopes

L. Derome #992

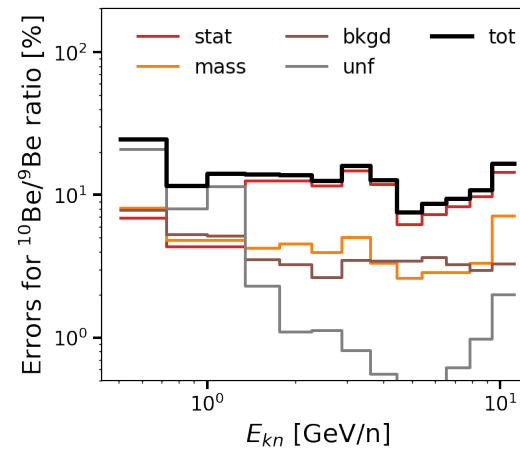
- $\Delta M \sim 1$ a.u. \Rightarrow no event-by-event analysis, but use shape of mass distribution



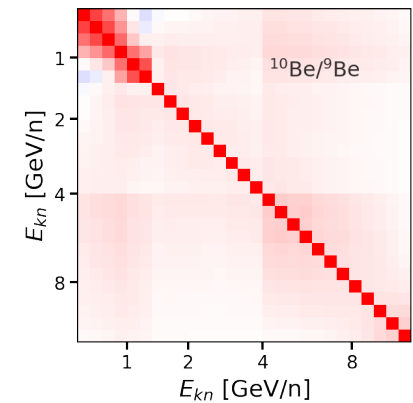
Flux ratios



Errors

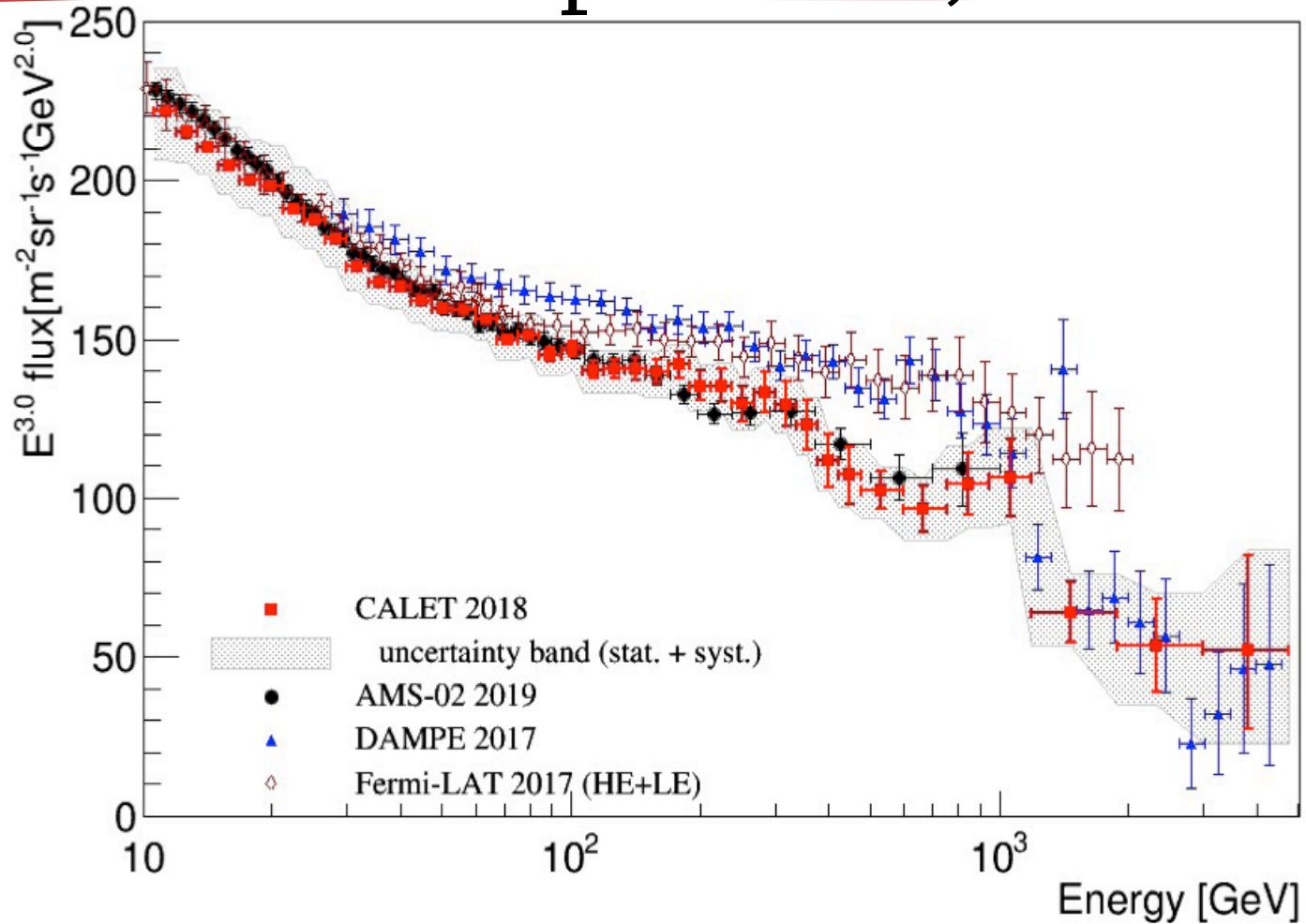


Total correlation matrices



Also $^2\text{H}/^1\text{H}$ (E. F. Bueno #113) and $^3\text{He}/^4\text{He}$ (F. Giovacchini #96)

Electron + positron, ca. 2019

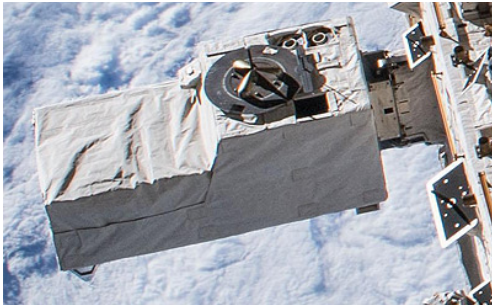


Between ~ 50 GeV and 1 TeV, two groups:

- Fermi-LAT and DAMPE
- AMS-02 and CALET

CALET

S. Torii #105



CALorimetric Electron Telescope

Years in orbit ~ 6

Main subsystems 3

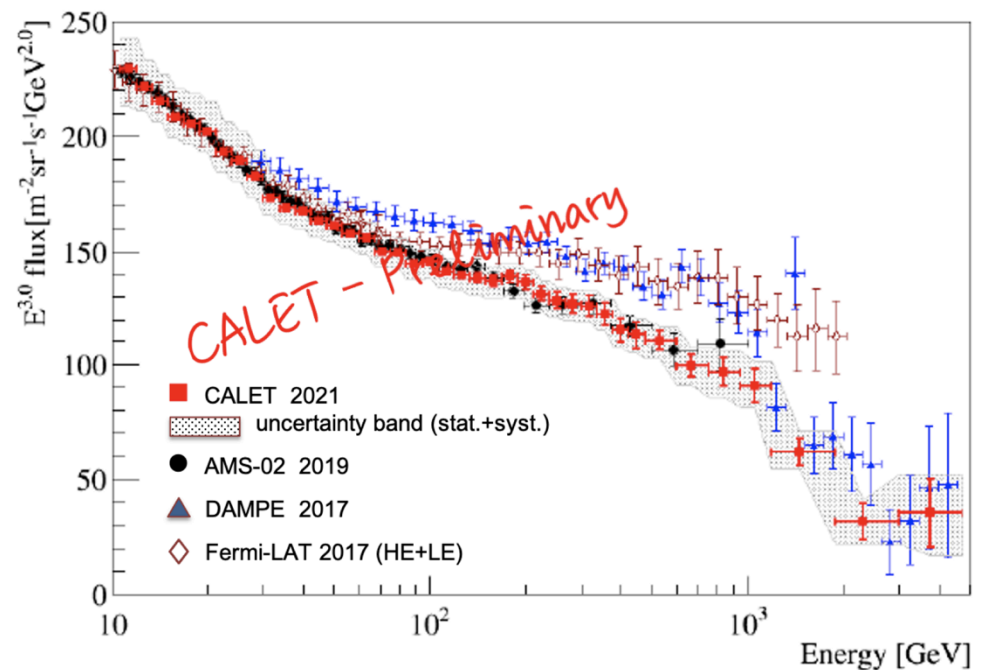
Weight 650 kg

Power consumption 600 W

Fun fact No e^- line!

Contributions at ICRC 2021:

Overview	P. S. Marrocchesi	#19
p	K. Kobayashi	#98
He	P. Brogi	#101
C, O	P. Maestro	#93
B, B/C	Y. Akaike	#112
e^+e^-	S. Torii	#105
Fe	F. Stolzi	#109
and various posters...		



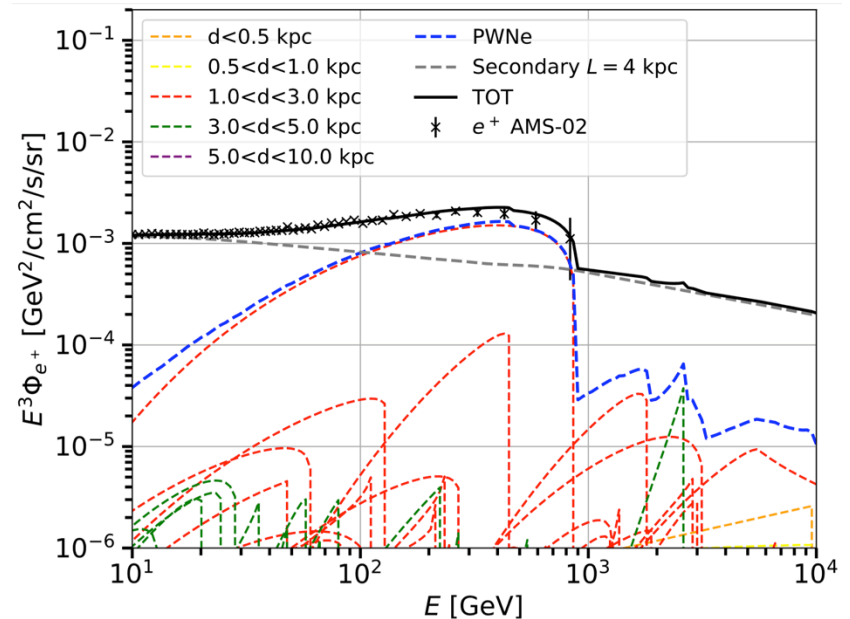
- Statistics improved by factor 2.3
- 6.5 sigma suppression above $\sim 1\text{TeV}$
- No preference broken PL or PL with exp. cut-off

Interpretation: electrons & positrons

- Most interpretation in framework of conventional model¹:
- Positrons produced by spallation in ISM fall short of measurements
- Additional source of positrons required

- PWNe (T. Linden #931, L. Orusa #149, F. Donato #154)
- Vela SNR (H. Motz #100)
- intrabinary shocks of compact binary millisecond pulsars (M. Linares #177)
- Unknown nearby source (S. Recchia #168, D. Gaggero #173)
- Old supernova remnants (PM #144)

L. Orusa #149



Single source vs population

¹Conventional diffusion model:

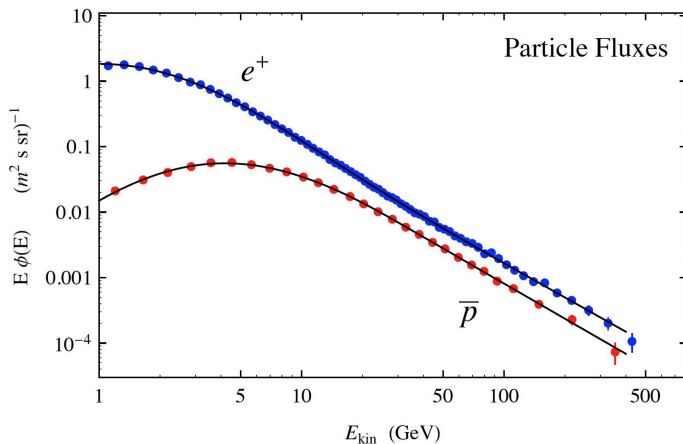
- one-zone diffusion model
- typical residence time $O(10)$ Myr at GeV
- radiative losses in μG B-fields and radiation fields with eV/cm^3

Alternative scenarios

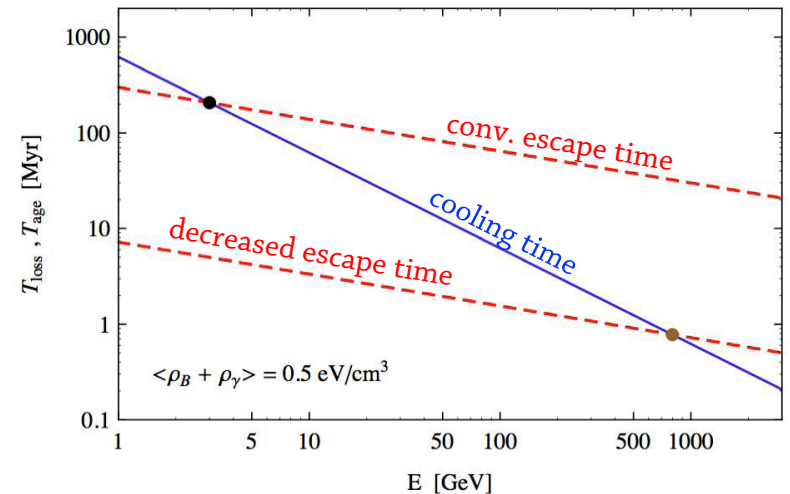
P. Lipari #169

Problems with conventional models:

1. Need additional e^+ source
2. Anti-protons harder than expected
3. Energy loss signature in $(e^+ + e^-)$?
4. Individual srcs. in > 1 TeV $(e^+ + e^-)$
5. Issue with ${}^9\text{Be}/{}^{10}\text{Be}$



Similarity shapes and ratios
as prod. cross-sec.
Coincidence?



Would need to reduce escape time

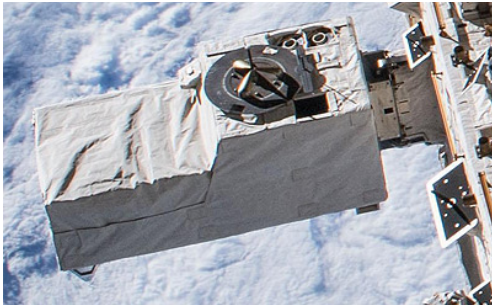
Problems of alternative scenarios:

1. Different src. spectra for e^- and p
2. Same softening for e^+ and e^- @ 1TeV
3. Sec. nuclei?

R. Dising #29

CALET – proton

K. Kobayashi #98

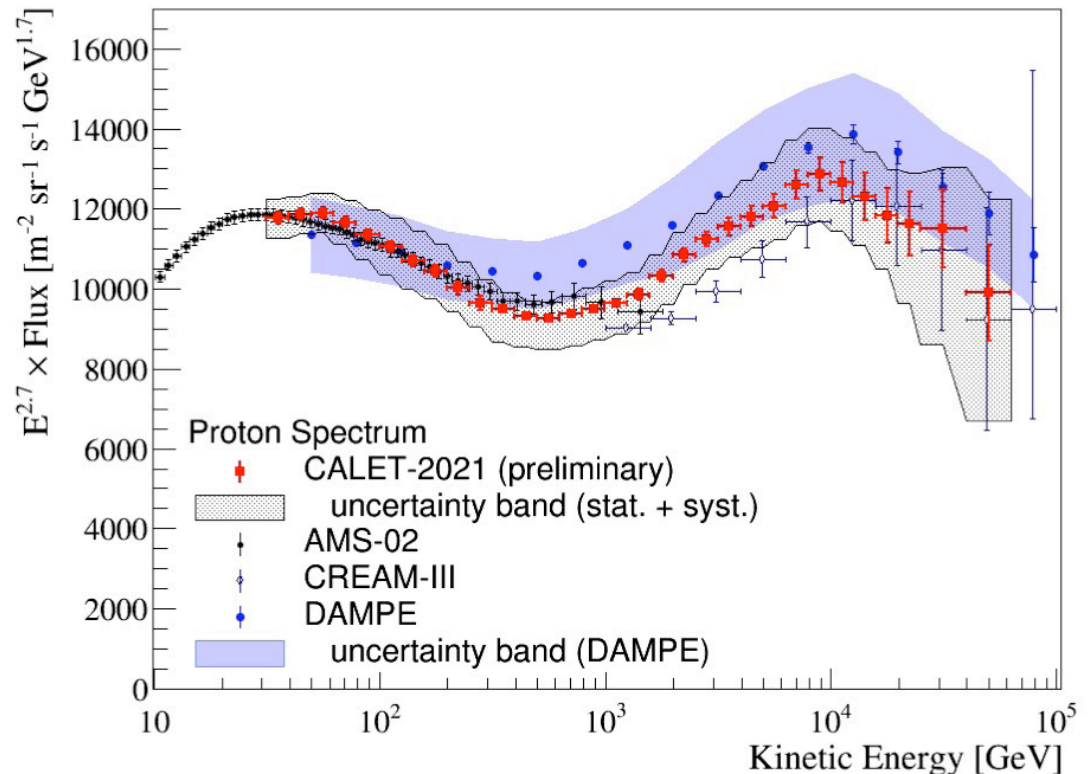


CALorimetric Electron Telescope

Years in orbit	~ 6
Main subsystems	3
Weight	650 kg
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Fun fact	No e^- line!

Contributions at ICRC 2021:

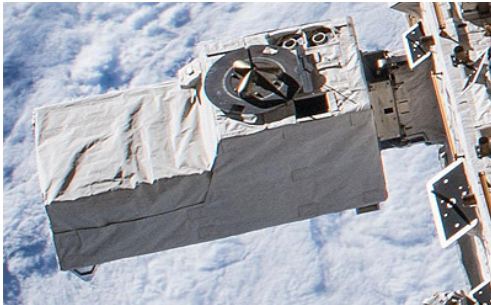
Overview	P. S. Marrocchesi	#19
p	K. Kobayashi	#98
He	P. Brogi	#101
C, O	P. Maestro	#93
e^+e^-	S. Tori	#105
Fe	F. Stolzi	#109
and various posters...		



- energy reach extended from ~10 to 60 TeV
- hardening at 550 GeV, softening at 11 TeV
- in agreement with DAMPE and CREAM balloon

CALET – helium

P. Brogi #101

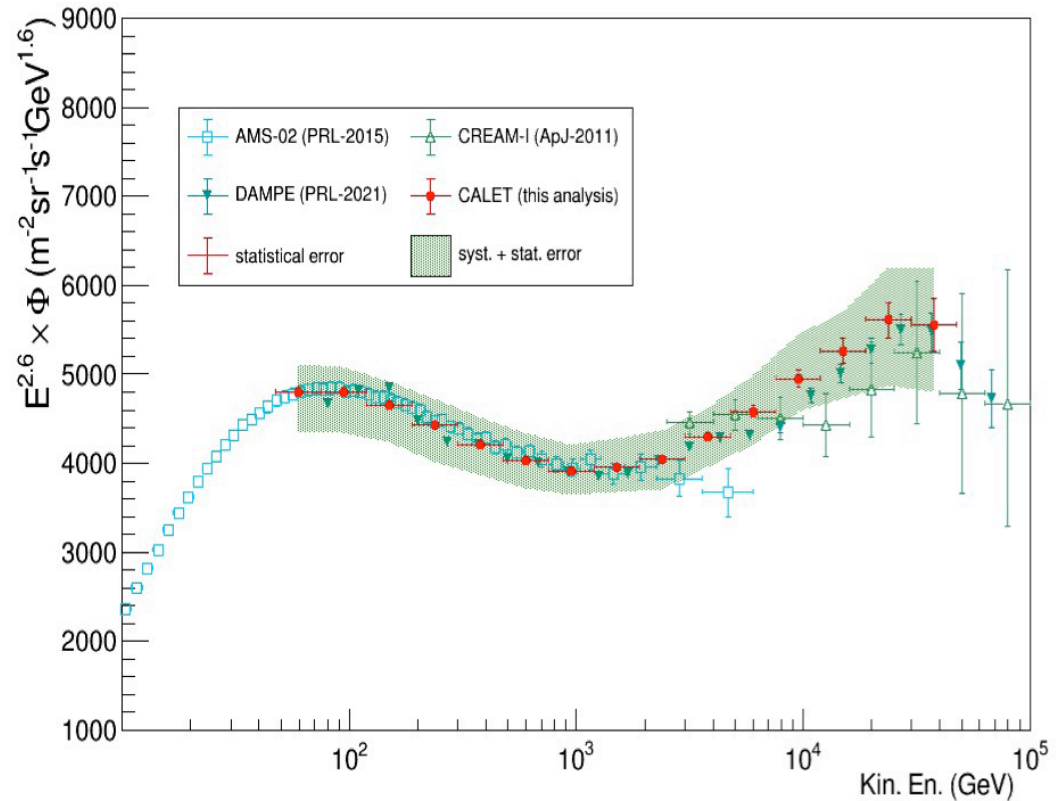


CALorimetric Electron Telescope

Years in orbit	~ 6
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Weight	650 kg
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Contributions at ICRC 2021:

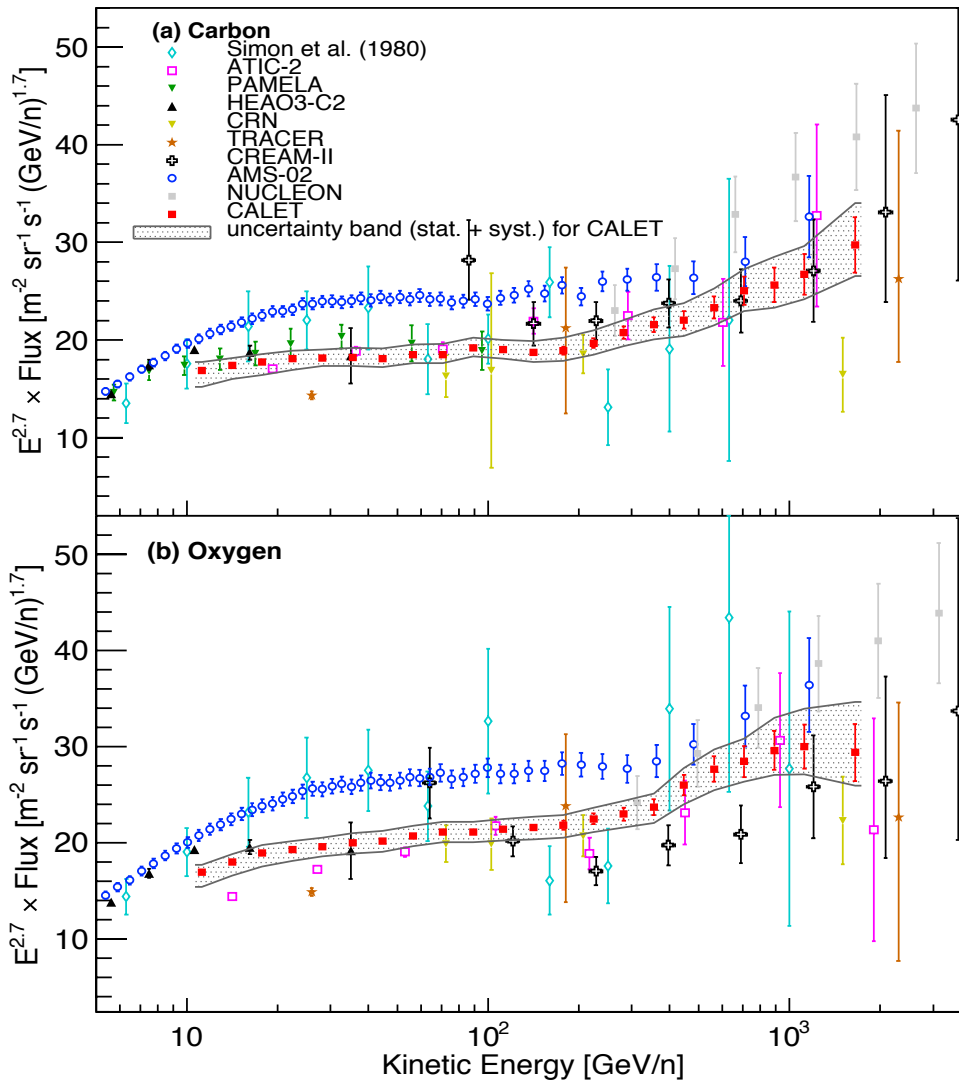
Overview	P. S. Marrocchesi	#19
p	K. Kobayashi	#98
He	P. Brogi	#101
C, O	P. Maestro	#93
e^+e^-	S. Tori	#105
Fe	F. Stolzi	#109
and various posters...		



- hardening at 1.3 TeV
- in agreement with DAMPE and CREAM

CALET – carbon, oxygen

P. Maestro #93



- CALET carbon and oxygen lower than AMS-02 by 27%
- Shapes agree though
- Agreement with PAMELA
- C/O flat above 25 GeV/n and agrees with AMS-02 and PAMELA
- N.B.: CALET boron similarly lower than AMS, but B/C agree

DAMPE – proton

X. Li #13



Dark Matter Particle Explorer

Years in orbit ~ 5.5

Main subsystems 4

Weight 1400 kg

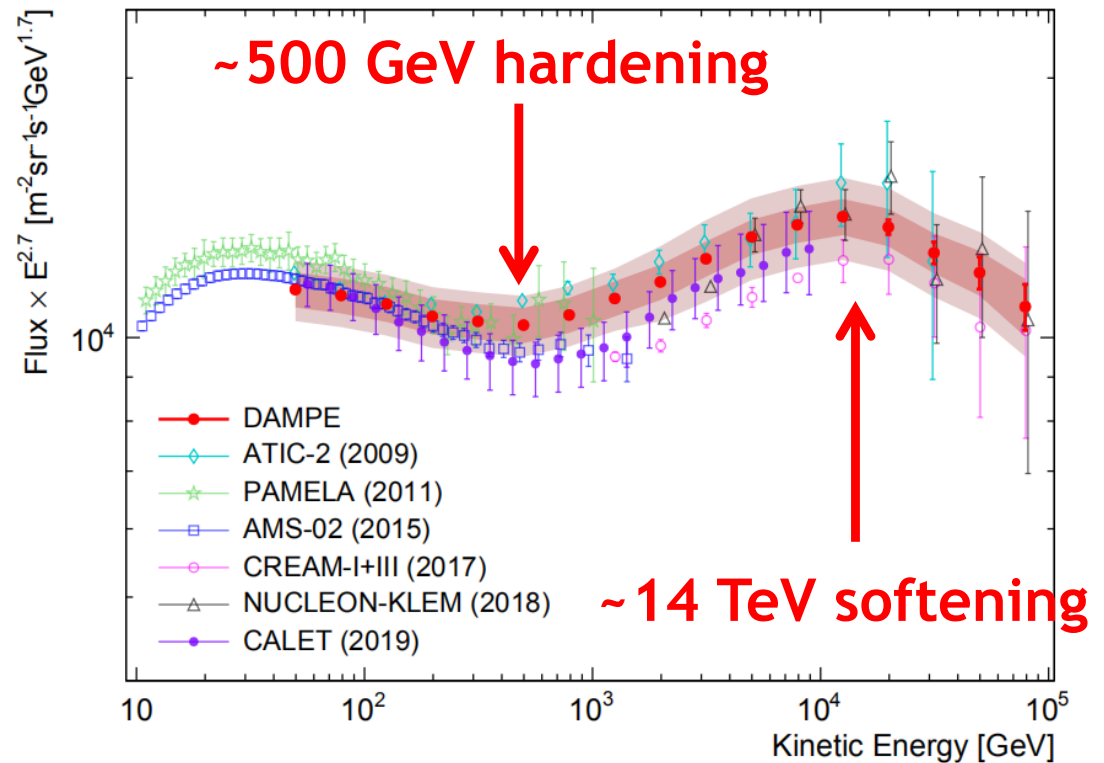
Power 400 W

Fun fact e^- line?

Contributions at ICRC 2021:

Overview	X. Li	#13
p + He	F. Alemanno	#117
He	M. Di Santo	#114
C, O	L. Wu	#128
B/C	C. Yue	#126
Fe	Z. Zu	#115

and various posters...



- hardening at ~500 GV, softening at ~14 TeV
- in agreement with CALET and CREAM balloon

DAMPE – helium

M. Di Santo #114



Dark Matter Particle Explorer

Years in orbit ~ 5.5

Main subsystems 4

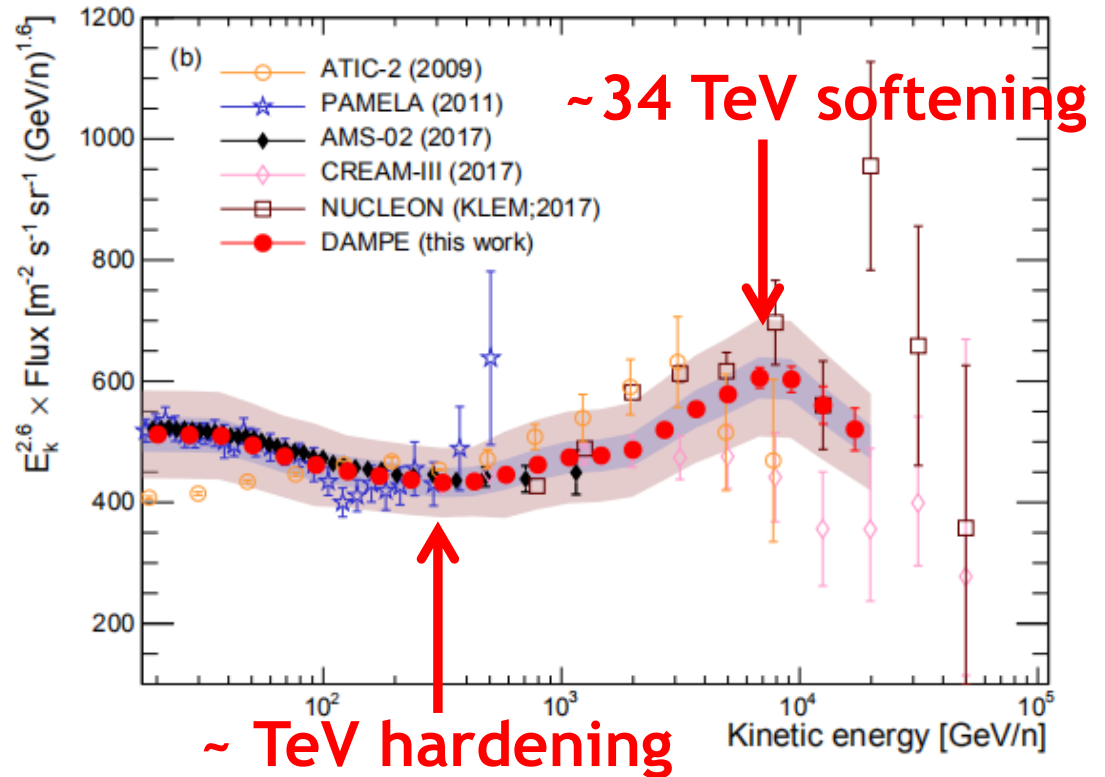
Weight 1400 kg

Power 400 W

Fun fact e⁻ line?

Contributions at ICRC 2021:

Overview	X. Li	#13
p + He	F. Alemanno	#117
He	M. Di Santo	#114
C, O	L. Wu	#128
B/C	C. Yue	#126
Fe	Z. Zu	#115
and various posters...		



- hardening at ~1 TV
- softening at ~34 TeV (sig.: 4.3 sigma)
- in agreement with CALET

DAMPE – proton + helium

F. Alemanno #117



Dark Matter Particle Explorer

Years in orbit ~ 5.5

Main subsystems 4

Weight 1400 kg

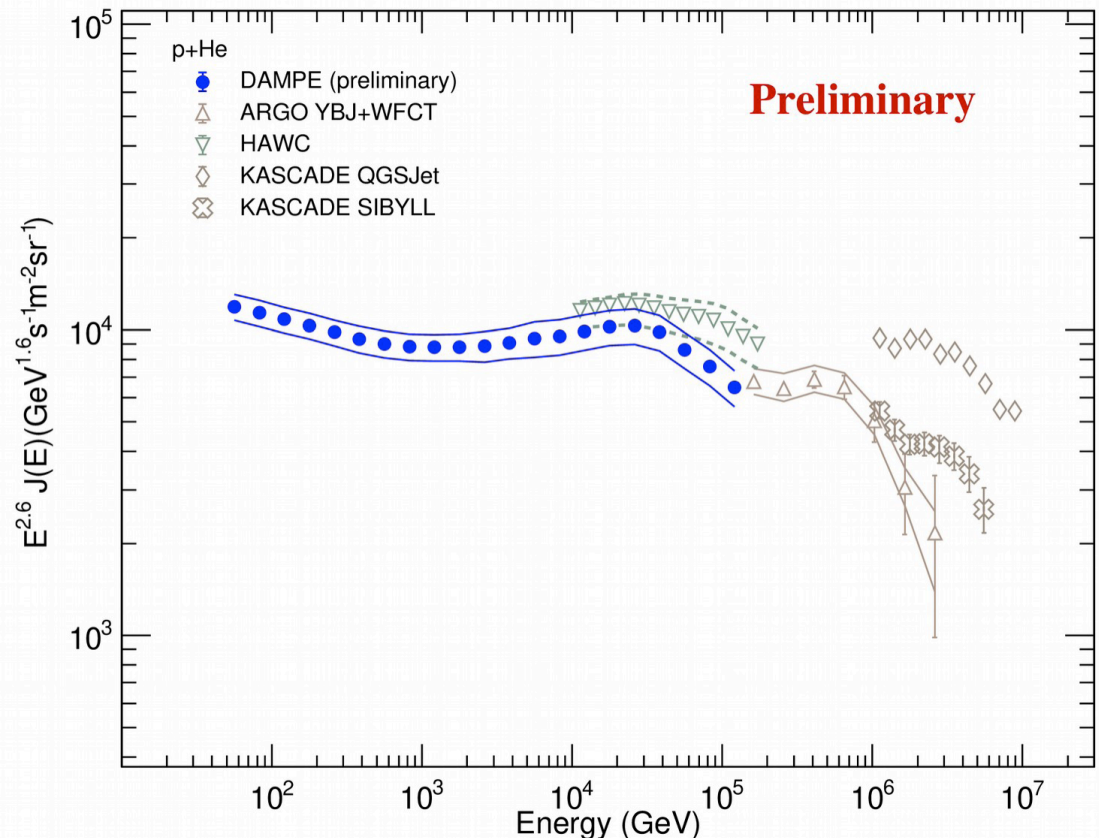
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B/C	C. Yue	#126
Fe	Z. Zu	#115

and various posters...



- allows pushing past 100 TeV
- not the end of the line yet!

- indirect measurements imply another hardening

ISS-CREAM

G. Choi #94



ISS - Cosmic Ray Energetics And Mass

Years in orbit ~ 1.5

Main subsystems 4

Weight 1300 kg

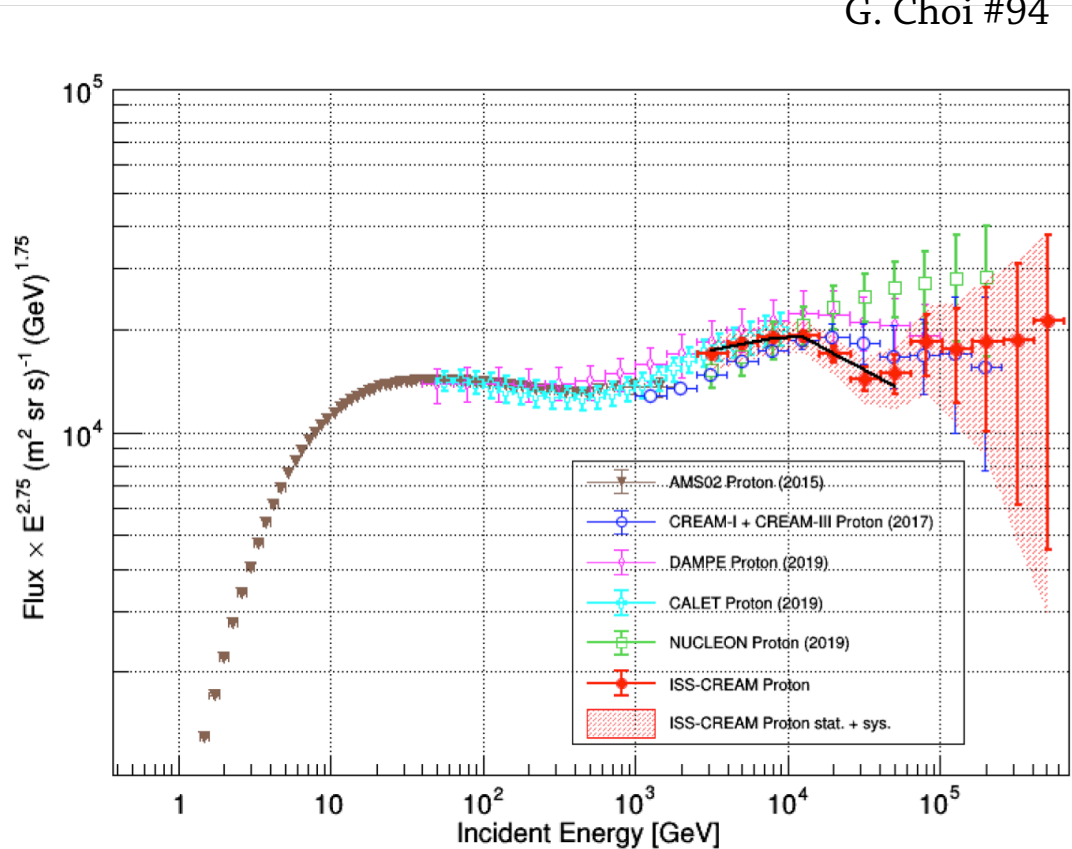
Power 400 W

Fun fact I scream, you scream

Contributions at ICRC 2021:

Overview	E.-S. Seo	#95
p	G. Choi	#94
heavy nuclei	S. Kang	#97

and various posters...



- spectrum from 2.5 to 655 TeV
- softening at ~ 12 TeV (sig.: 4.62 sigma)
- agreement with DAMPE above break?
- above 65 TeV, large errors

Bump hunting?

Bump can be parametrised: broken power law, log-parabola, but what does it mean?

Individual source

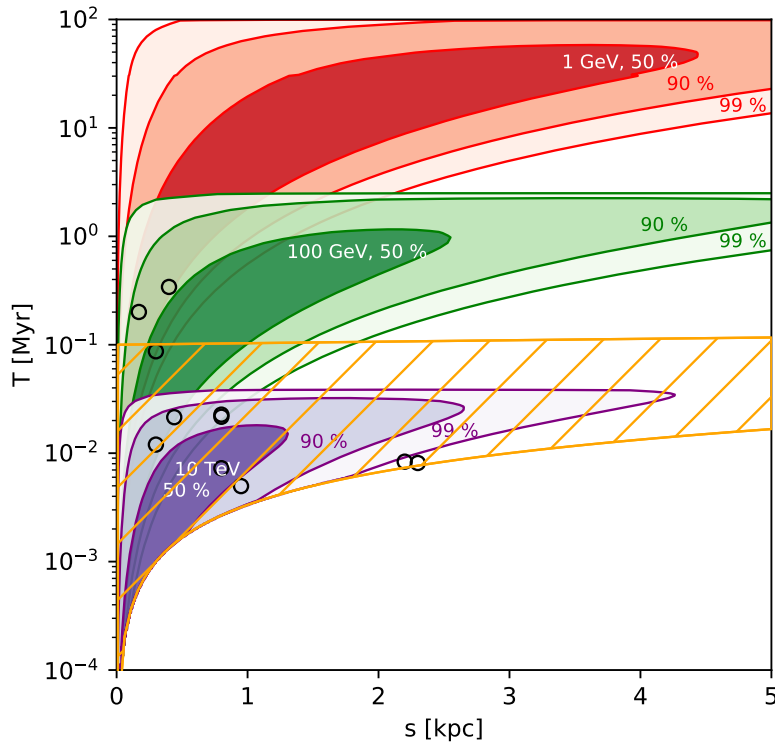
- Shape determined by
 - source spectrum
 - age
 - distance of source
- Power law source spectra and diffusion coefficient, impulsive injection → broad bumps
- Statistical interpretation?!

New population

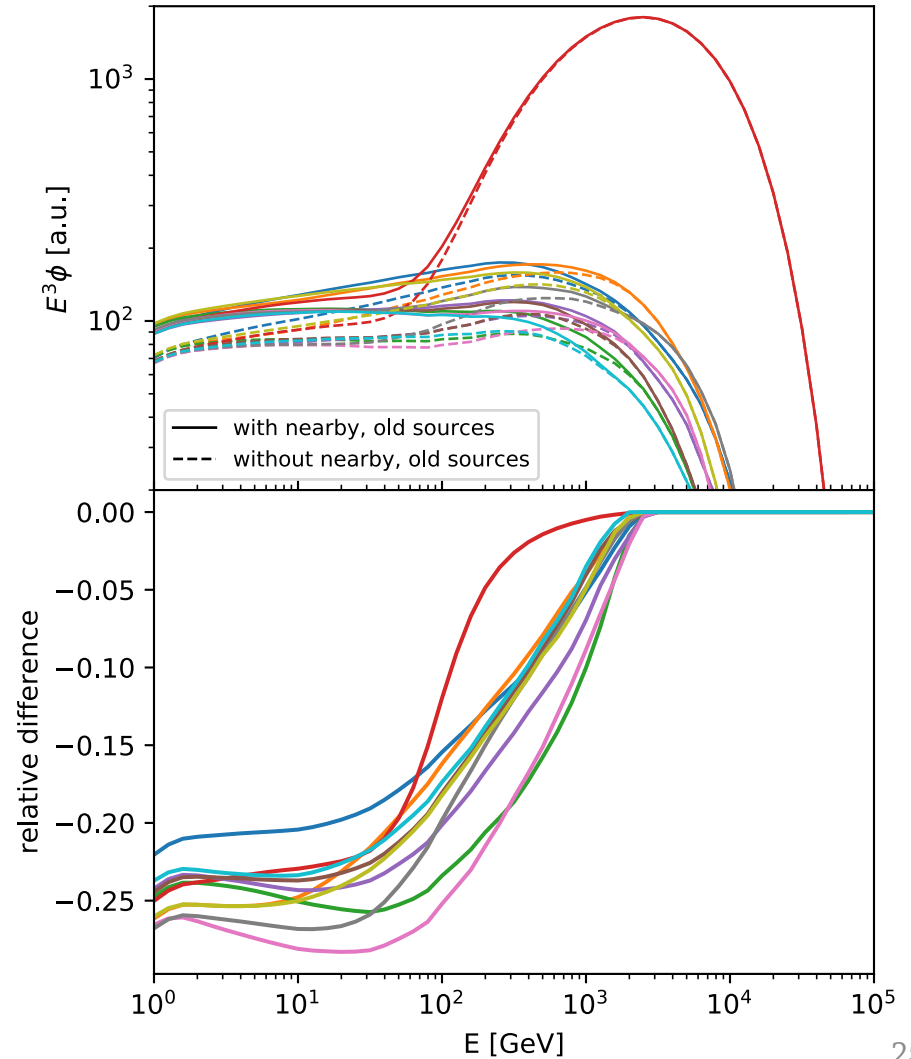
- Position in energy of spectral feature related to environmental parameters
- How much variance expected?

A cautionary tale

Mertsch (2018)



Nearby, but old sources matter!



Softening

- CR spectrum depends on shock compression ratio r :

$$r = \frac{\text{upstream speed}}{\text{downstream speed}} = \frac{u_-}{u_+} \Rightarrow \frac{dN}{dE} \propto E^{-\gamma} \quad \text{with} \quad \gamma = \frac{3r}{r-1}$$

- In test particle DSA, the hydrodynamical shock has $r = 4 \Rightarrow \frac{dN}{dE} \propto E^{-2}$
- Can infer source dN/dE from locally observed spectra ($\phi(E) \propto E^{-2.8}$) and diffusion coefficient ($\kappa(E) \propto E^{-0.5 \dots -0.3}$):

DSA must explain softer spectra:

$$\frac{dN}{dE} \propto E^{-2.5 \dots -2.3}$$

- Aggravated in CR modified shocks with efficient acceleration needed for B-field amplification

Softening

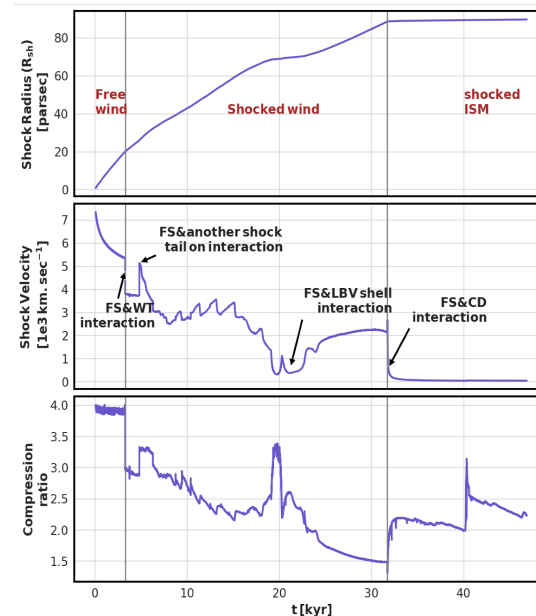
M. Pohl #987

- Turbulence generation is energy loss for ions
- steepening?
- No, precursor too small:

$$\Delta\gamma \lesssim 0.1$$

S. Das #988

- For massive stars, SN shocks expand into wind
- complex velocity evolution
- compression ratio deviates from 4

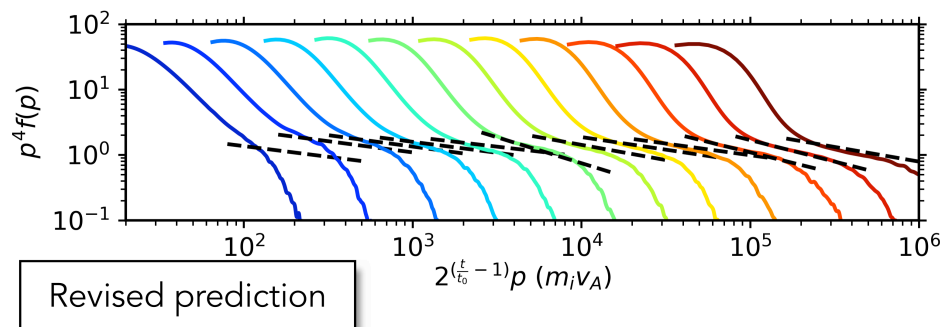


- CRs scatter on waves
- Can measure phase speed in PIC

$$r_{\text{CR}} \simeq \frac{u_-}{u_+(1+\alpha)} = \frac{r_{\text{gas}}}{1+\alpha} < r_{\text{gas}}$$

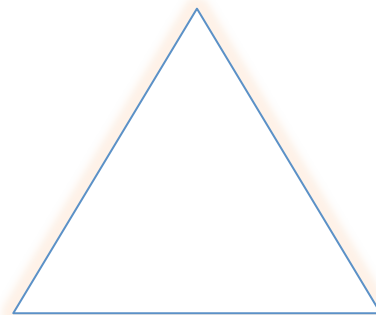
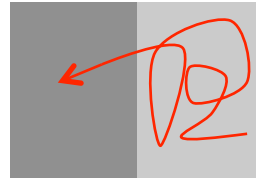
→ softer spectra

D. Caprioli #482



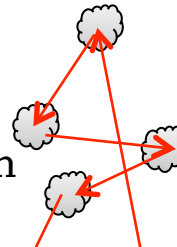
Pre-acceleration

diffusive shock
acceleration
(DSA)



shock drift
acceleration
(SDA)

stochastic
acceleration
(SA)



- Example: cluster merger shocks
- quasi-perpendicular, low Mach number
- yet: synchrotron and X-ray point to efficient e- acceleration

- DSA requires $r_g(E_{inj}) \gg d_{shock}$
- Not satisfied by thermal particles, certainly not e⁻
- Need pre-acceleration

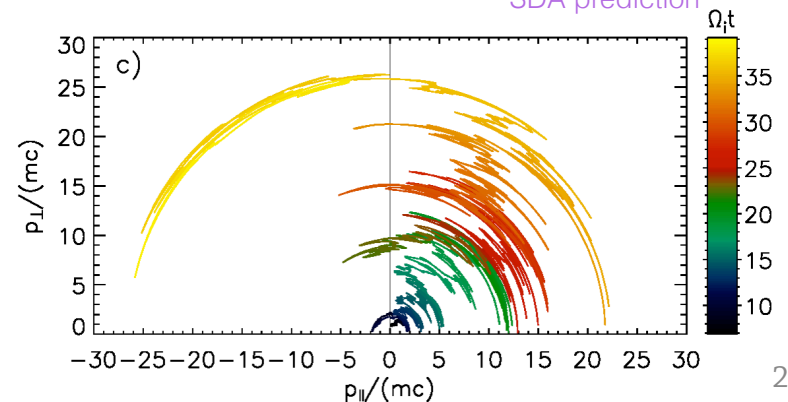
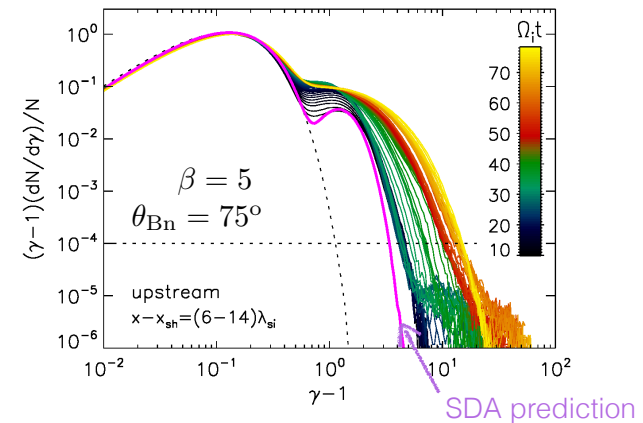
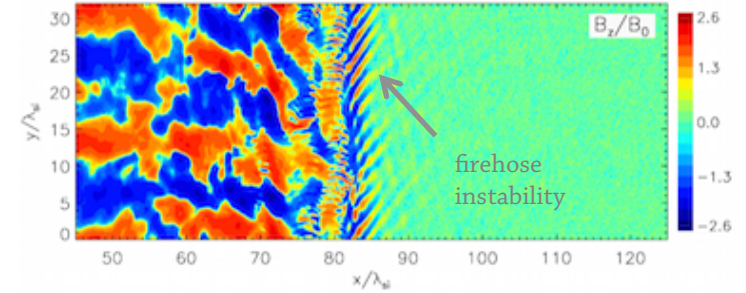
Stochastic shock drift acceleration

J. Niemiec #129

- SDA only gives boost
- But: particles reflected away from shock generate turbulence

- Scatters particle back to shock
→ more SDA
- Importance of shock front ripples

SDA
and
resonant pitch-angle scattering



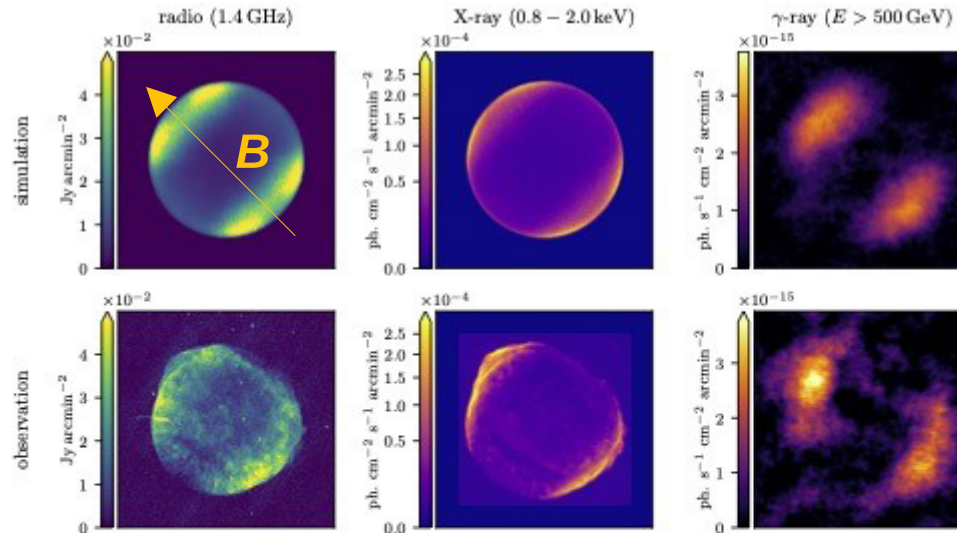
Bridging the gap

- PIC codes typically run for $O(1000)$ gyro times
- Power law spectra are observed, but textbook DSA not observed yet
- Would need to run for much longer times

PIC-informed MHD simulations

C. Pfrommer #425

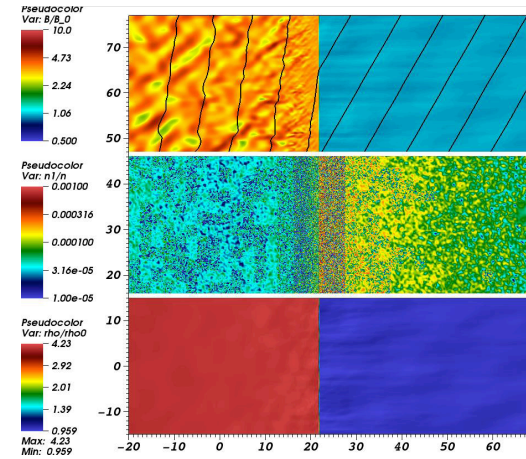
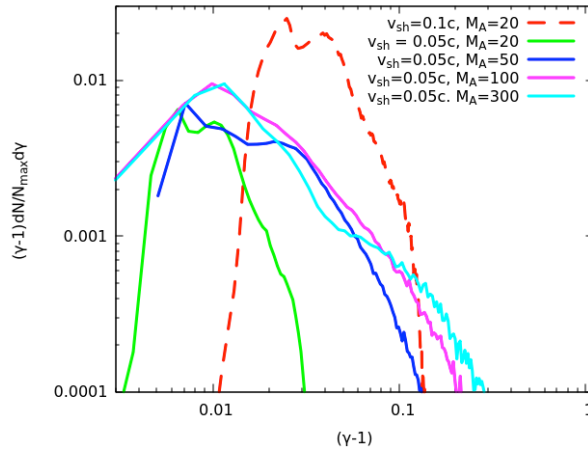
- Measure Mach number \mathcal{M} and obliquity θ_B in MHD simulation
- Apply lessons from PIC: acceleration efficiency
- Potentially strong conclusions for outer scale, e- accn. efficiency at quasi-perp. shocks



PIC-MHD

A. J. van Marle #447

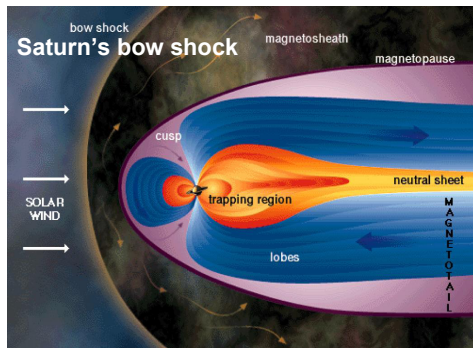
- Thermal plasma (MHD) and non-thermal particles (PIC)
- MHD-PIC can resolve long-wavelength instabilities, but needs to model injection
- Need large Alfvénic Mach number, e.g. $\mathcal{M}_A \gtrsim 50$ for $\theta_B = 60^\circ$



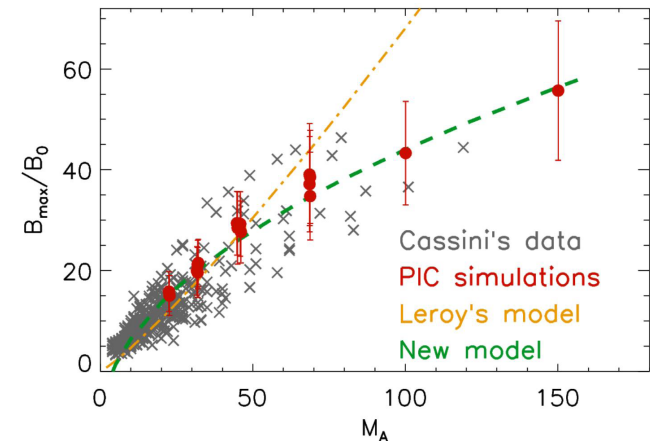
Heliospheric laboratory

A. Bohdan #443

- Saturn's high Mach number bow shock explored *in-situ* by Cassini space craft
- PIC simulations show B-field amplification due to Weibel instability
- Little dependence on shock speed, mass ratio or upstream plasma β



- × Cassini's data
- PIC simulation data
- Leroy's model*
- New model



Iron

Y. Chen #129



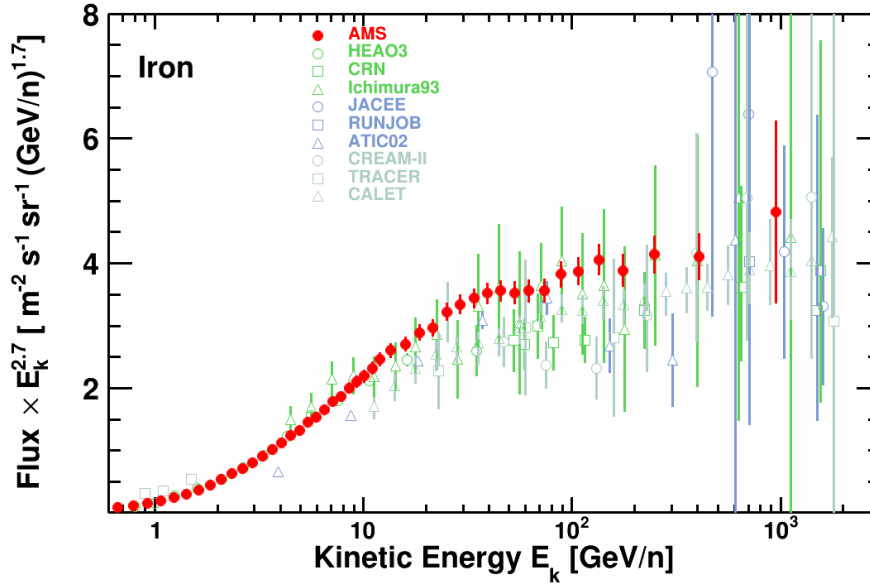
Alpha Magnetic Spectrometer

Years in orbit	~ 10
Main subsystems	5
Weight	7000 kg
Power consumption	2000 W
Fun fact	Anti-helium?

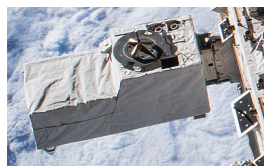
Contributions at ICRC 2021:

He, C, O vs Li, Be, B	H. Gart #1008
Ne, Mg, Si	A. Oliva #763
F	Q. Yan #707
Na	C. Zhang #743
Fe	Y. Chen #1145
Li, Be isotopes	L. Derome #992
deuterons	E. F. Bueno #113

Please turn...



Normalisations different, but shapes compatible



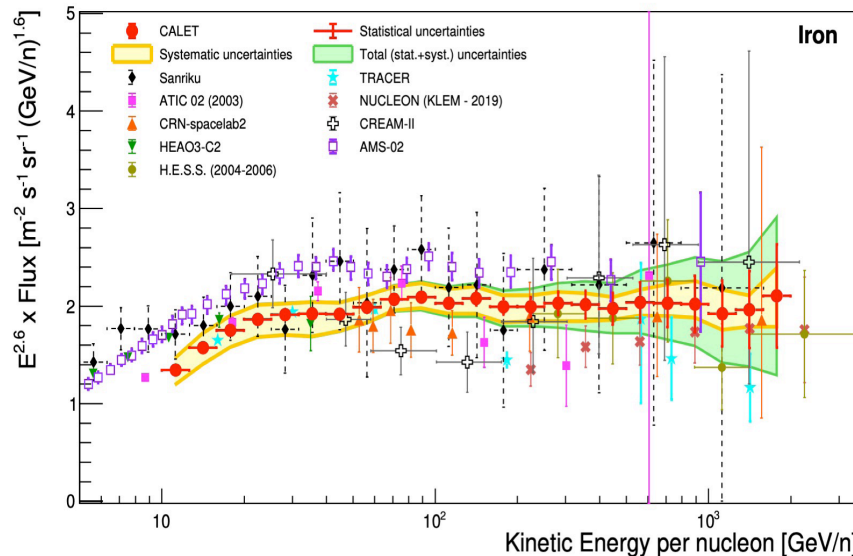
CALorimetric Electron Telescope

Years in orbit	~ 6
Main subsystems	3
Weight	650 kg
Power consumption	600 W
Fun fact	No e ⁻ line!

Contributions at ICRC 2021:

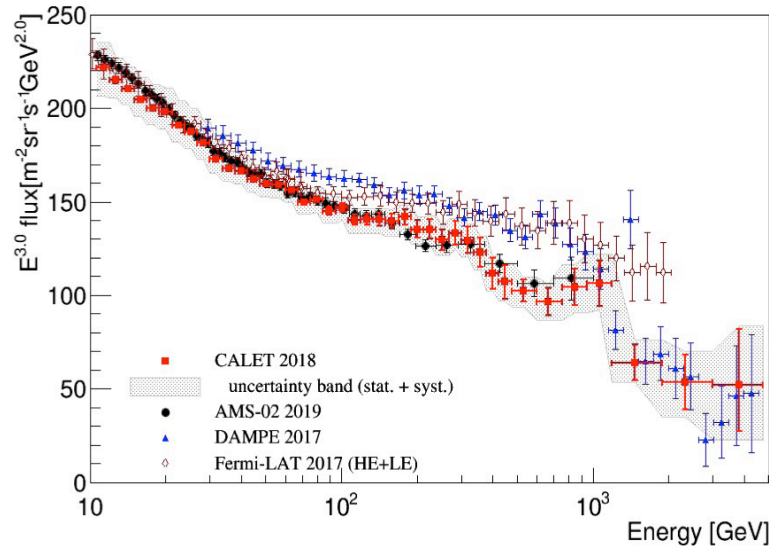
Overview	P. S. Marrocchesi #19
p	K. Kobayashi #98
He	P. Brogi #101
C, O	P. Maestro #93
B, B/C	Y. Akaiki #112
e ⁺ e ⁻	S. Tori #105
Fe	F. Stolzi #109

and various posters...

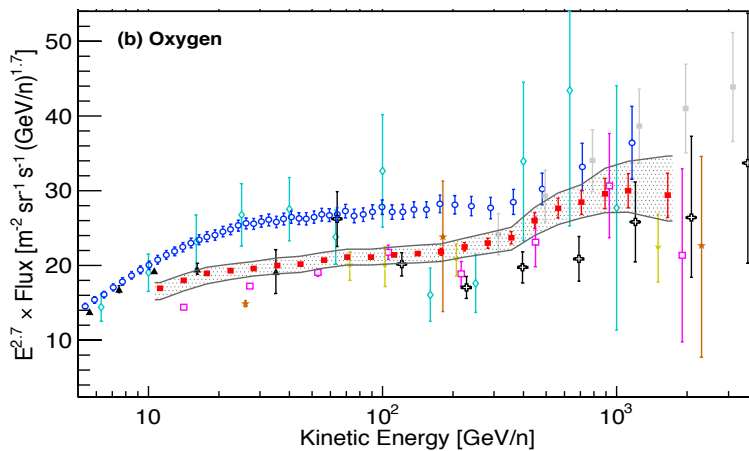


F. Stolzi #109

CALET – AMS agreement



$e^+ + e^-$
agreement in shape **and** normalisation



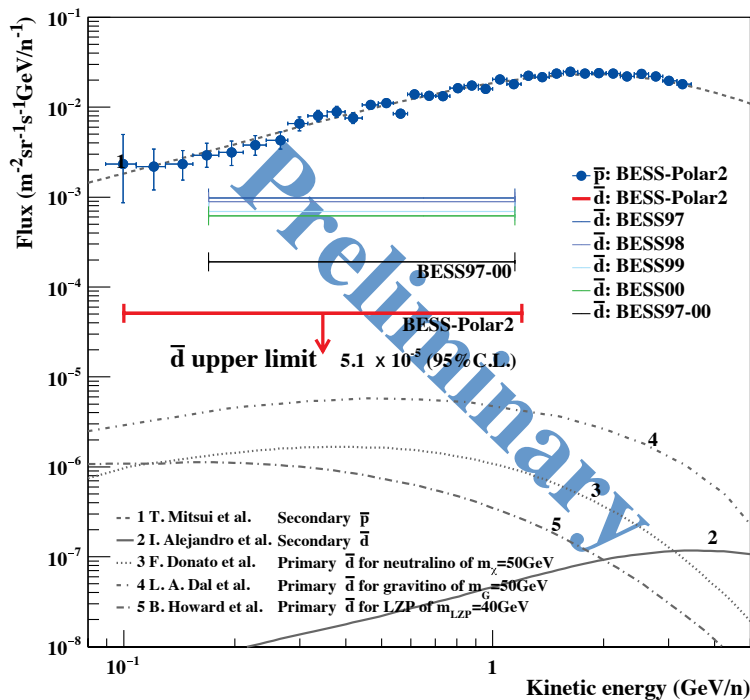
nuclei
agreement in shape **but not**
normalisation

Anti-nuclei

BESS

K. Sakai #123

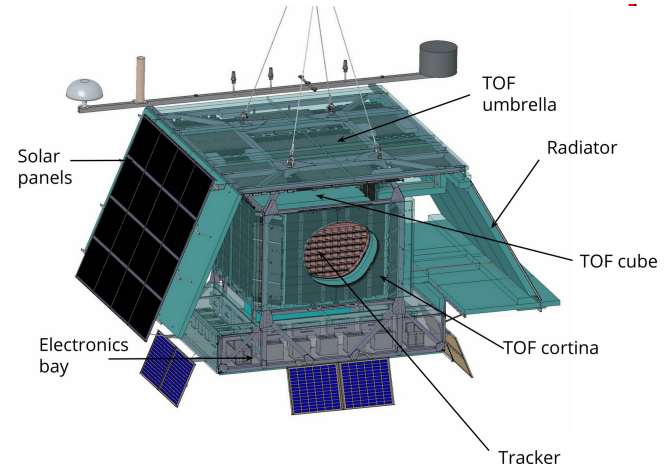
- new \bar{p} , \bar{d}
- Getting close to models



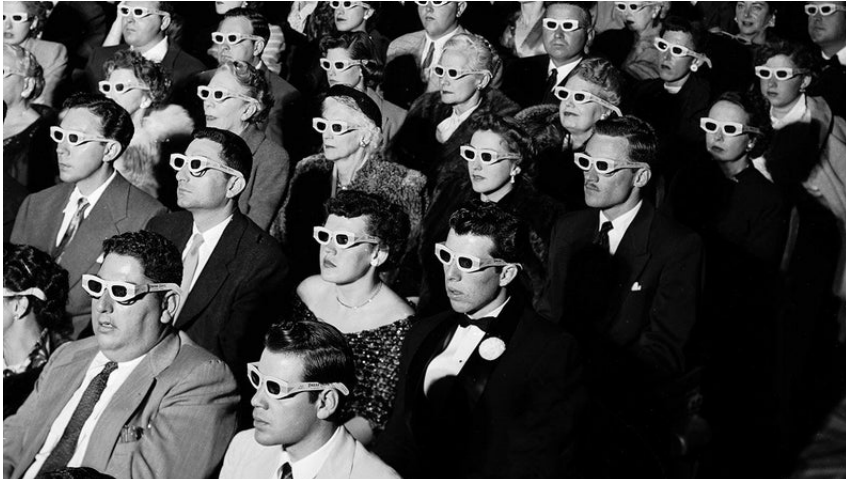
GAPS

P. v. Doentichem

- targets \bar{p} , \bar{d} , \overline{He}
- Formation and decay of exotic atoms
- Antarctic balloon flight in late 2022



Why bother?



CRs as spectators

- What are their sources?
- Can we find DM in CRs?
- Is there primordial anti-matter in CRs?



CRs as actors

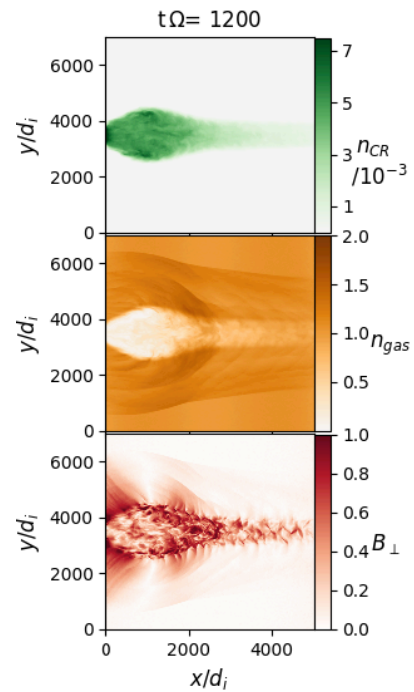
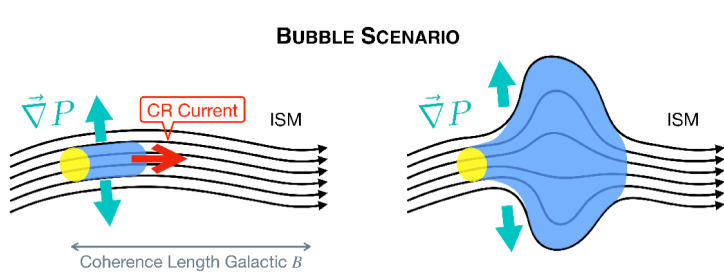
- CRs produce diffuse emission
- CRs contribute to ionisation, heating
- CRs provide gravitational support
- CRs drive winds
- CRs generate turbulence

Very different demands on models!

CRs blow bubbles

B. Schroer #163

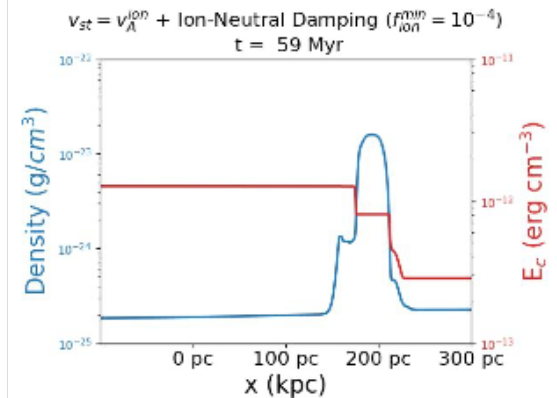
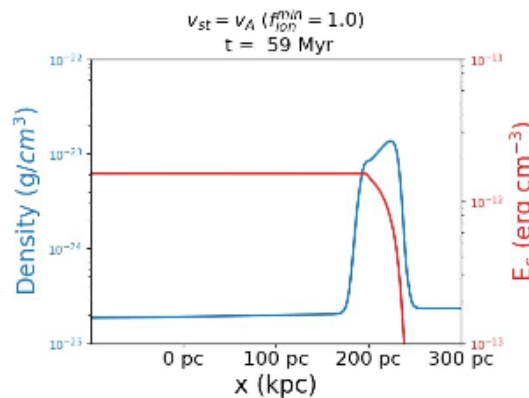
- Non-resonant streaming instability for TeV CRs escaping from source
- subsequent cascading to larger scales
- CR pressure excavates bubble



CRs push clouds

C. Bustard #170

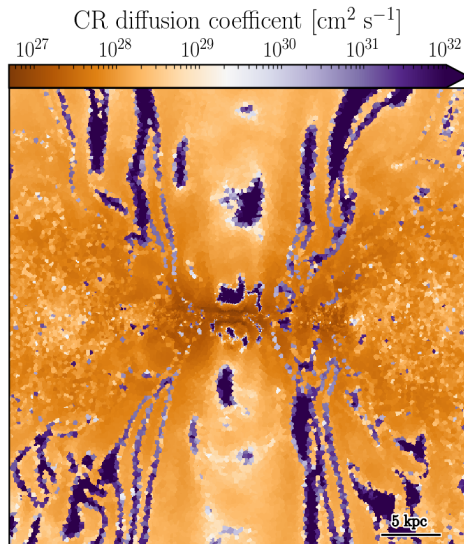
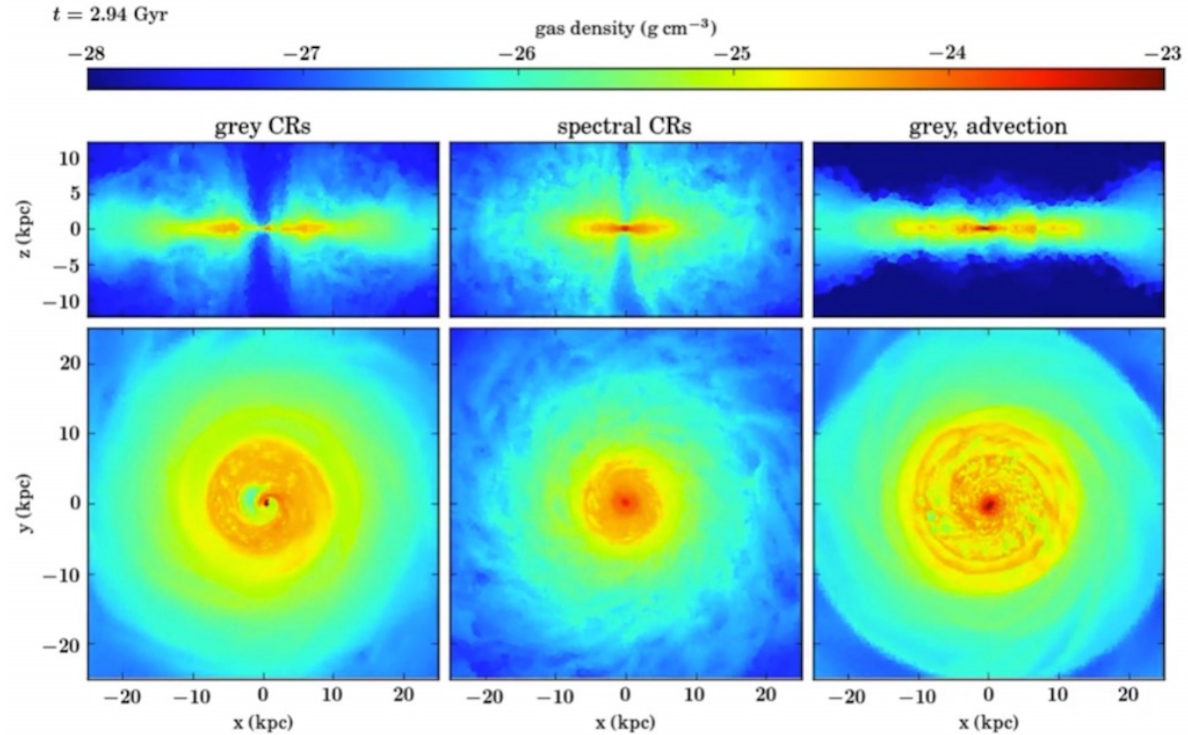
- MHD code with streaming CRs
- bottle neck effect: CR pressure gradient drives clouds
- with ion-neutral damping: volume effect \rightarrow surface effect



P. Girichidis #180

CRs drive outflows

- traditionally, CRs treated as fluid in MHD simulation
- importance of diffusion
- NEW: spectral treatment, piece-wise power law spectrum
- SF efficiency significantly suppressed!



T. Thomas #145

CRs determine their own transport

- two-moment treatment: CR energy density and flux
- can estimate diffusion coefficient κ from energy density ϵ_A available for gyro-resonant scattering:

$$\kappa \propto \Omega \left(\frac{\epsilon_A}{\epsilon_A} \right)^{-1}$$

- very large diffusivities!

**Observational
confirmation**

CR THEORY

**S
P
E
C
T
R
U
M**

**C
O
M
P
O
S
I
T
I
O
N**

**A
N
I
S
O
T
R
O
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**D
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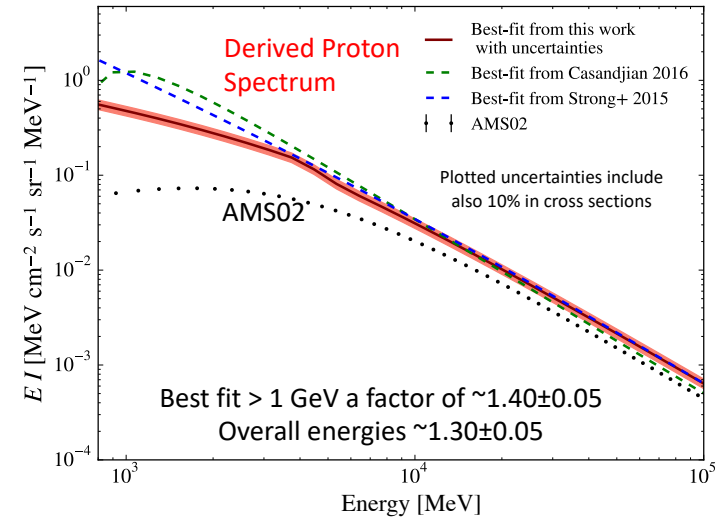
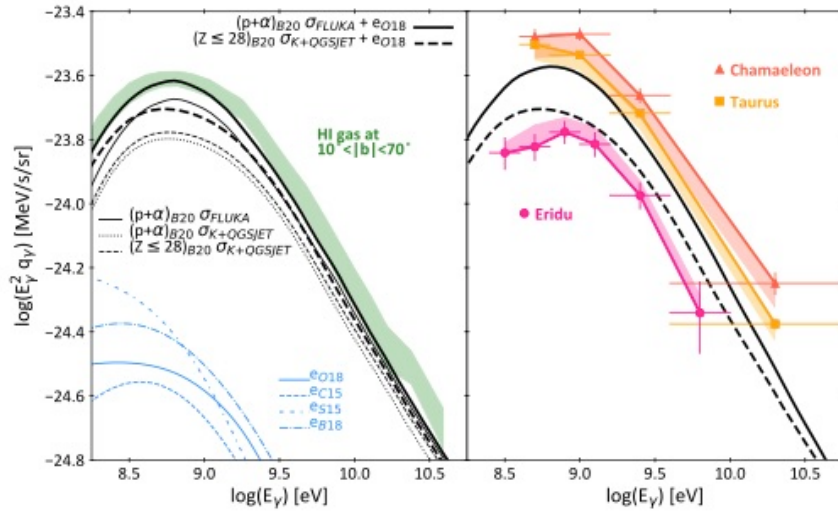
Where do cosmic rays come from?

The very local ISM

Are local fluxes representative of the Galaxy?

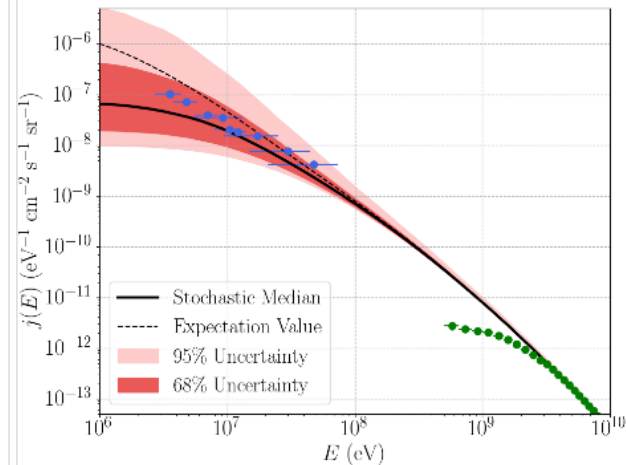
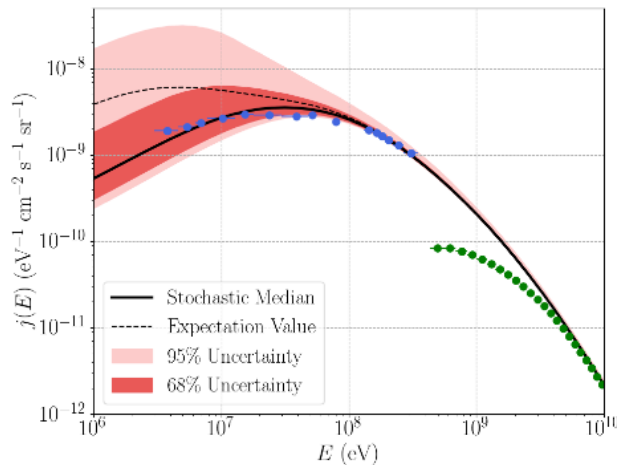
I. Grenier #616,

E. Orlando #141



Stochasticity can explain spectral turnover without breaks

M. Phan #165



Anisotropies



Alpha Magnetic Spectrometer

Years in orbit ~ 10

Main subsystems 5

Weight 7000 kg

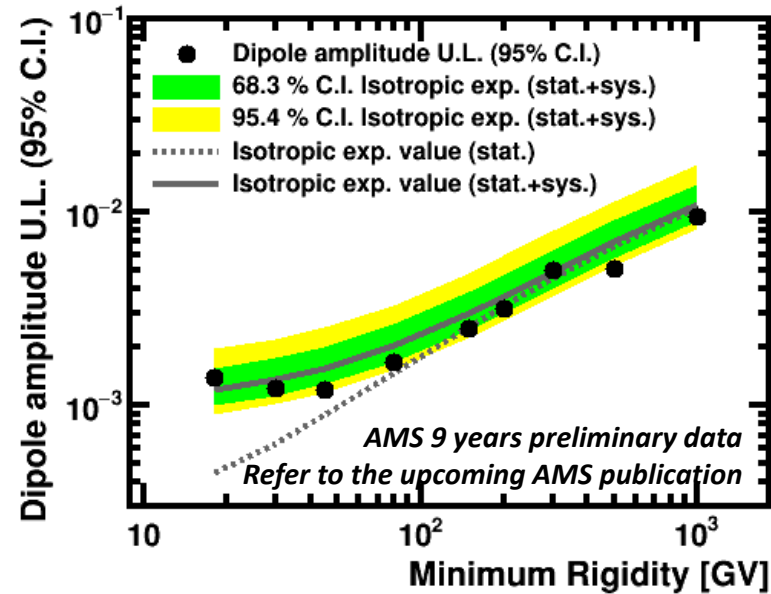
Power consumption 2000 W

Fun fact Anti-helium?

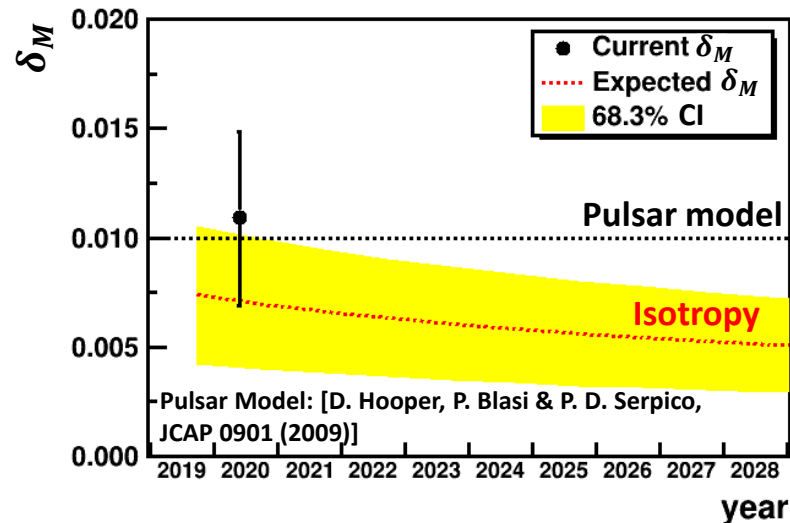
Contributions at ICRC 2021:

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Na	C. Zhang	#743
Fe	Y. Chen	#1145
Li, Be isotopes	L. Derome	#992
deuterons	E. F. Bueno	#113

Please turn...



protons
M. A. Velasco #108



electrons + positrons
M. Molero #120

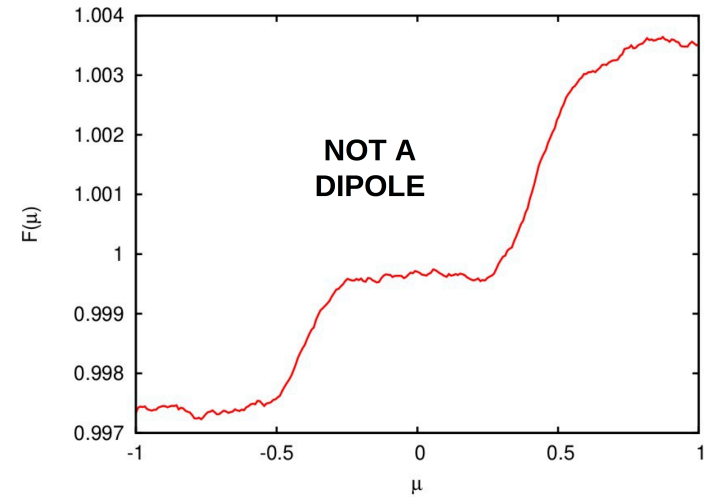
Anisotropies

Combination of simulations
and analytical work

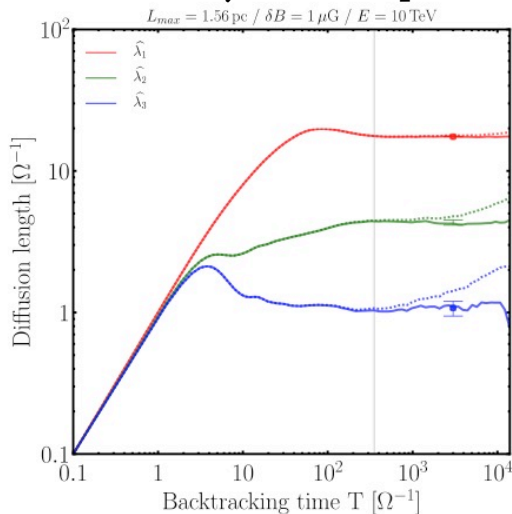
But: more realistic
turbulence needed
H. Yan #38, S. Xu #41

Large-scale anisotropy
→ non-dipolar

G. Giacinti #455



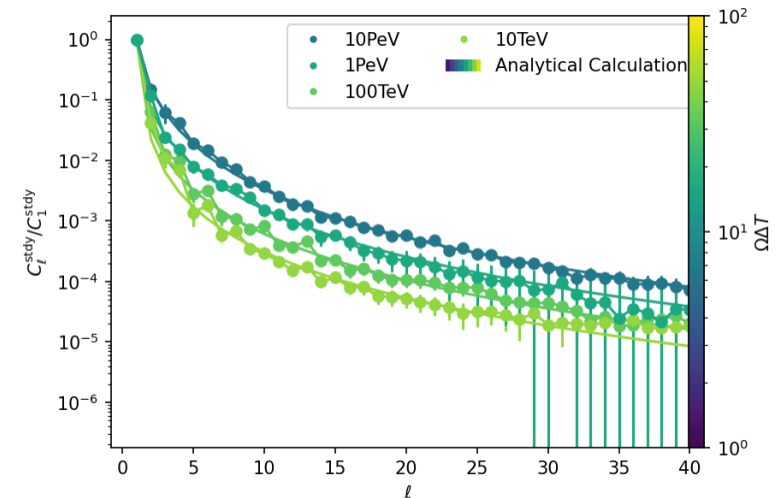
Local vs global diffusion Y. Genolini #164
locally, diffusion very anisotropic



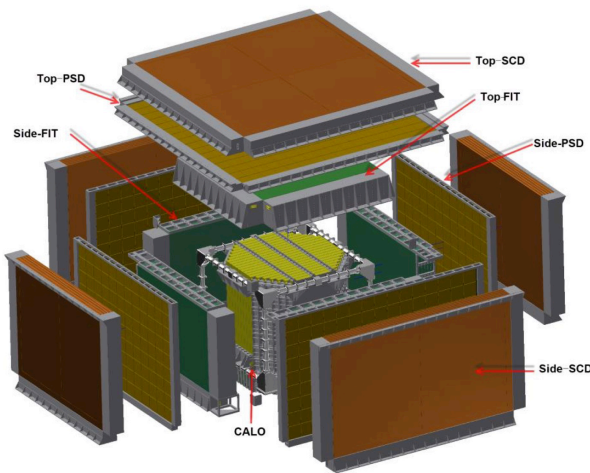
Small-scale anisotropies

M. Kuhlen #164

→ new handle on turbulence

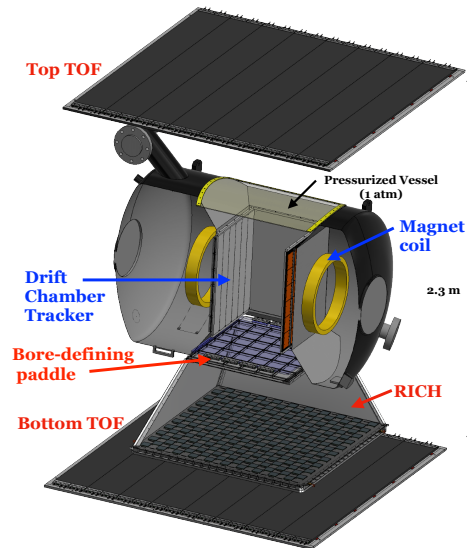


Near-term future



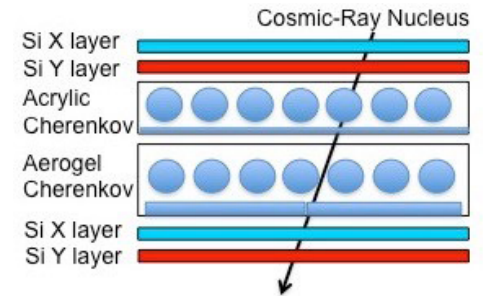
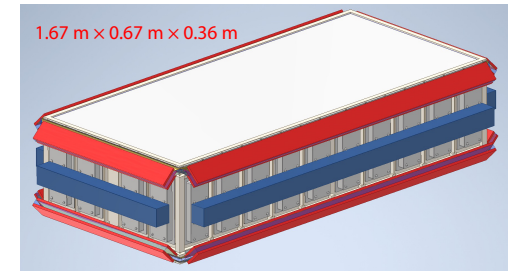
HERD S.-N. Zhang

- expected ~2027
- nuclei (30 GeV ... 3 PeV)
- e^- (10 GeV ... 100 TeV)



HELIX N. Park # 91

- balloon spectrometer
- drift chamber tracker, TOF, RICH
- flight in 2022



TIGERISS J. Mitchell #86

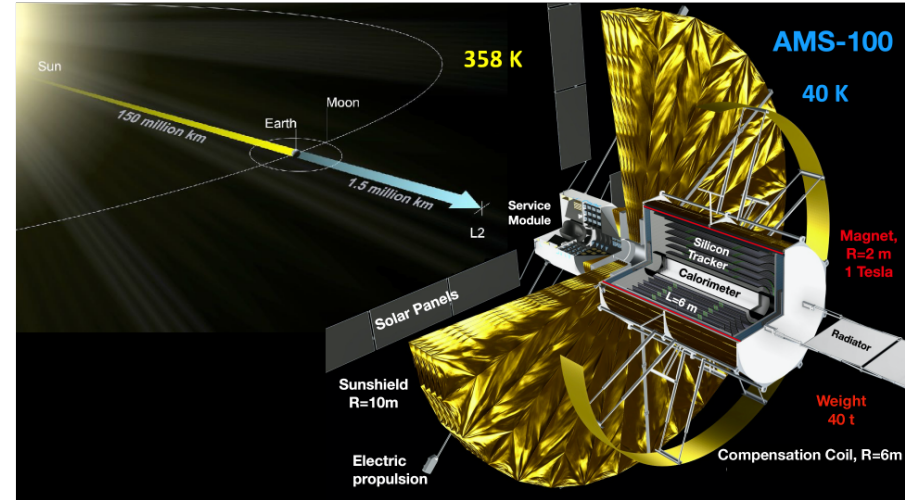
- ultra-heavies $Z=5$ to 86
- would deploy to JEM on ISS

Longer term projects

AMS-100

S. Schael

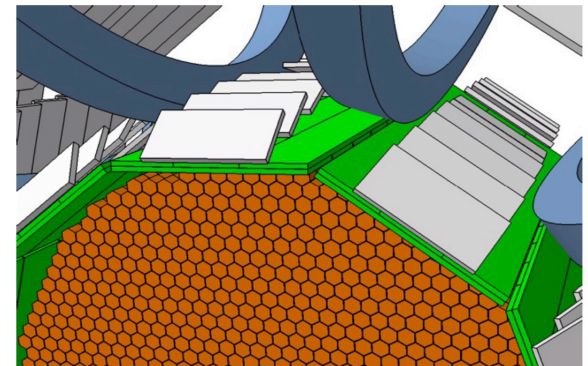
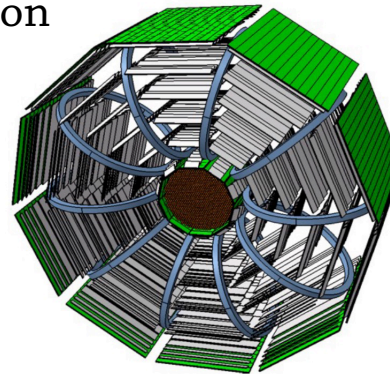
- Lagrange point 2
- 1 Tesla magnetic, (6 × 2) m
- Tracker, MDR = 100 TV
- Central calorimeter
- Targets e^+ , e^- , nuclei (beyond the knee), antinuclei



ALADInO

R. Battiston

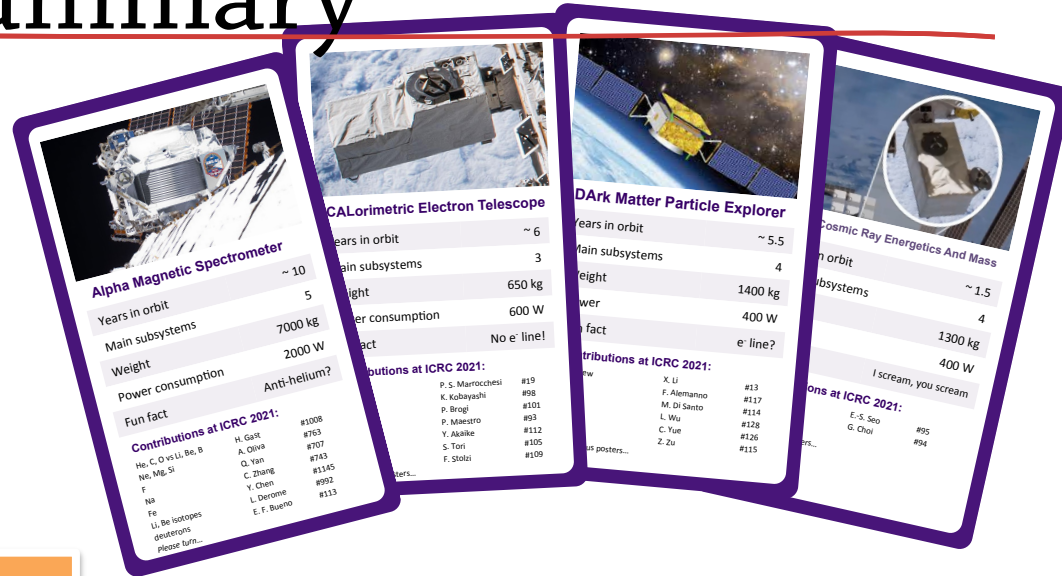
- Also Lagrange point 2
- Spectrometer: MDR = 20 TV
- Calorimeter
- Targets e^+ , e^- , nuclei, antinuclei
- pathfinder in 2030?



Summary

Observations

- Great new results, more to come
- Yet, systematic differences
- Ambitious projects in future



Phenomenology

- Bumps, breaks everywhere!
- Source hunting, but beware of statistics!
- Keep an open mind!

Modelling

- Microscopic picture is complex
- Bridging the gap
- Cosmic rays as actors

