

# Stochastic Acceleration of UHECRs in the early afterglows of gamma-ray bursts: concurrency of jet's dynamics and wave-particle interactions

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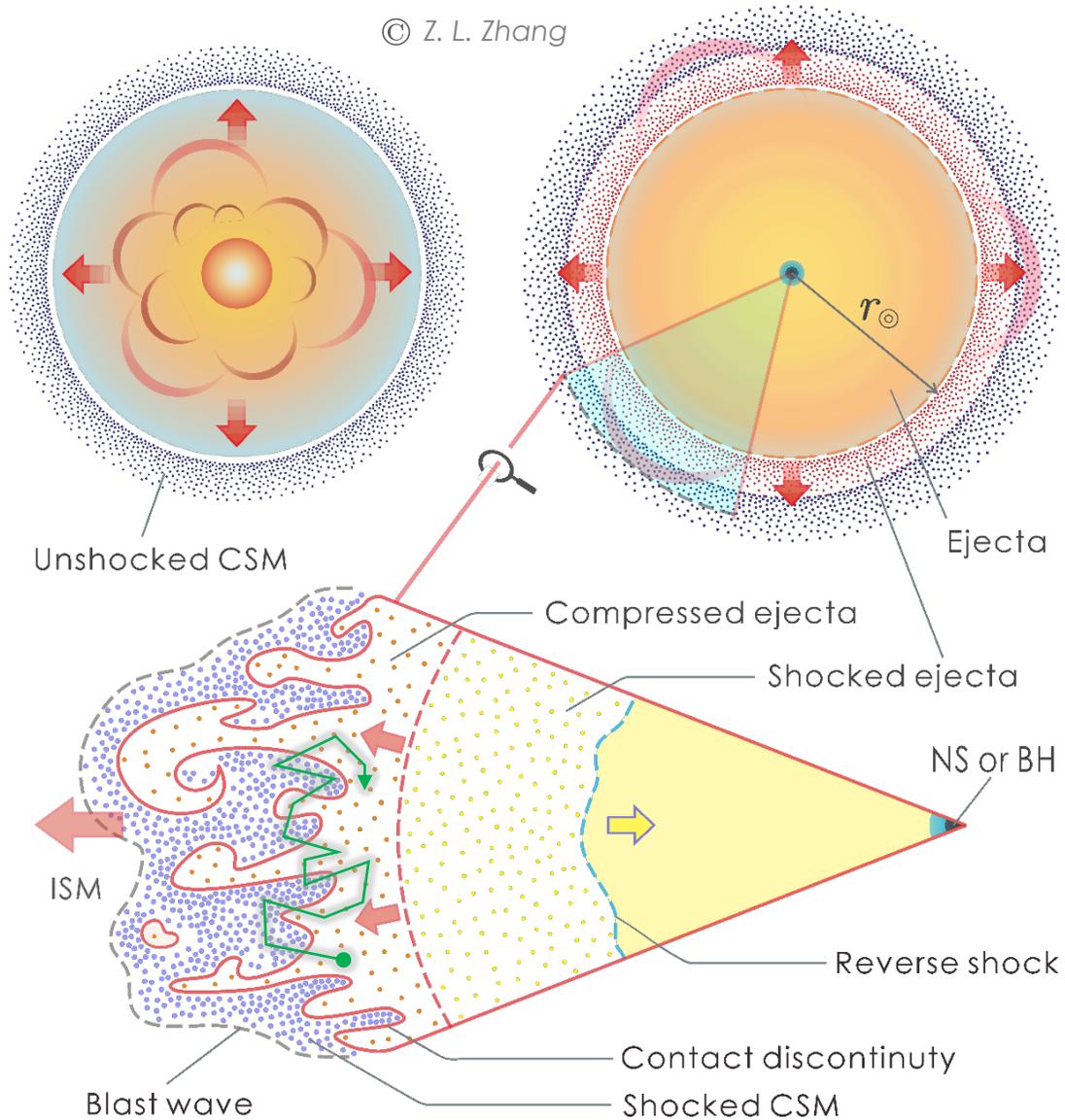
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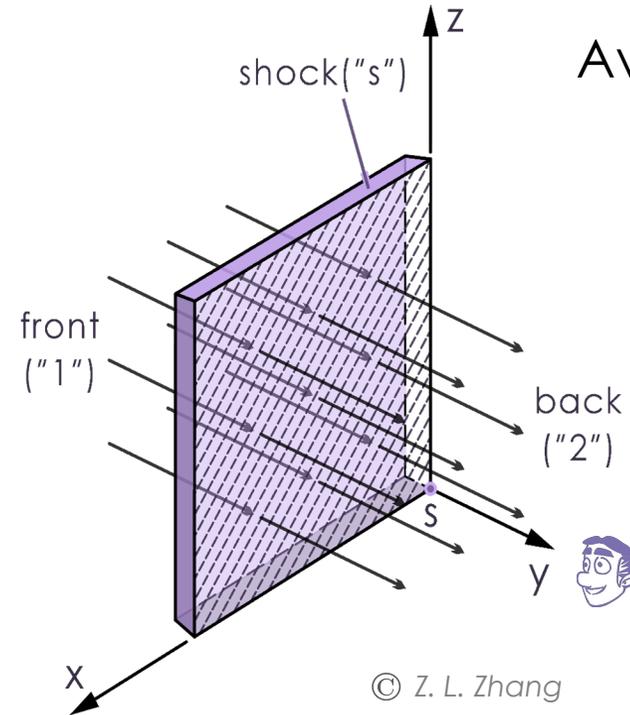
37<sup>th</sup> ICRC Berlin, Germany  
July 12-23, 2021 online



# Acceleration mechanisms



# Shock acceleration (1<sup>st</sup> Fermi)



Average energy gain/cycle

$$\left\langle \frac{\Delta E}{E} \right\rangle \sim \frac{v}{c}$$

**Symmetry** (1 and 2)  
"head-on" collisions

**Asymmetry** (1 and 2)  
advect and escape

Bell MNRAS 1978

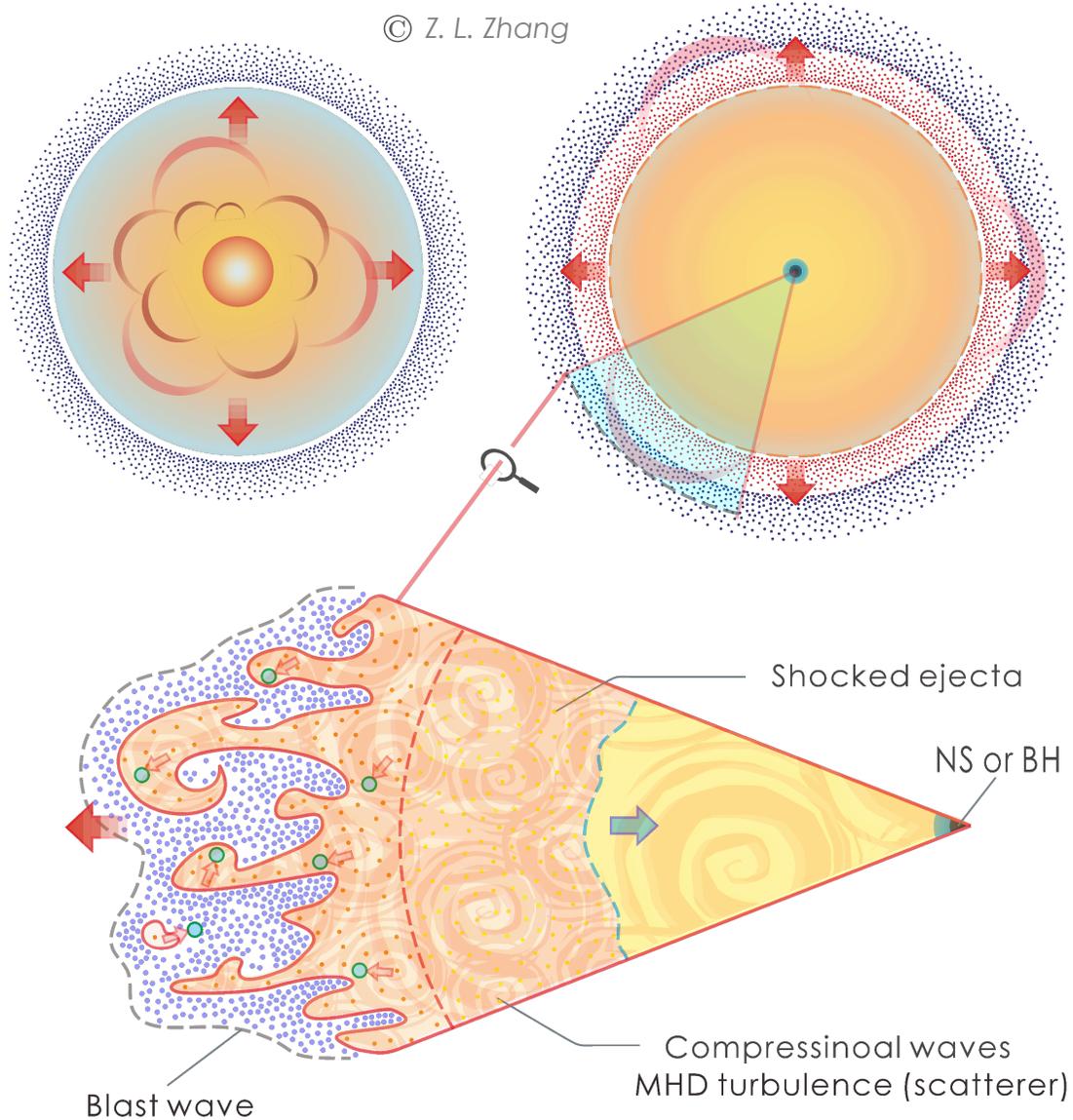
Non-relativistic shock: more effective i.e. DSA

Gallant & Achterberg MNRAS 1999

Relativistic shock: less effective

$\Gamma_s^2 \rightarrow 2$  after the 1<sup>st</sup> crossing circle

# Acceleration mechanisms



## Stochastic acceleration (2<sup>nd</sup> Fermi)

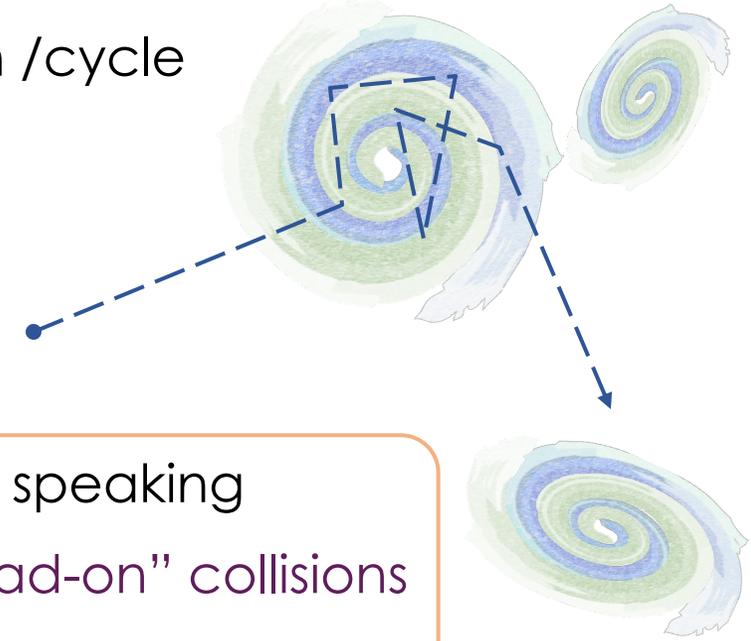
Fermi PR 1949 & ApJ 1954

Average energy gain /cycle

$$\left\langle \frac{\Delta E}{E} \right\rangle \sim \left( \frac{v}{c} \right)^2$$

Low efficiency !

MHD turbulence



Statistically speaking  
probability of “head-on” collisions  
Is larger than  
probability of “tail-on” collisions

It naturally produces a **power-law spectrum** of accelerated relativistic particles.

# Acceleration mechanisms within GRBs

Katz et al. JCAP 2009

Aartsen et al. ApJ 2017

Gallant & Achterberg MNRAS 1999

Lemoine & Pelletier ApJ 2003

Alves Batista et al. JCAP 2019

## 1. Baryon loading factor (prompt emission)

CRs  $\sim 10^{44}$  erg Mpc $^{-3}$  yr $^{-1}$

$\gamma$ -ray  $\sim 10^{43}$  erg Mpc $^{-3}$  yr $^{-1}$

local GRB rate  $\sim 1$  Gpc $^{-3}$  yr $^{-1}$

$L_\gamma \sim 10^{52}$  erg s $^{-1}$

For relativistic shock acceleration,  $p \equiv \alpha \gtrsim 2$

Only  $\sim 10\%$  of total CR energy beyond ankle  $\varepsilon > 10^{18.5}$  eV

$\Rightarrow$  It requires a baryon loading factor:  $\eta \equiv \frac{E_{\text{tot}}(\text{CRs})}{E_\gamma(\text{CRs} \rightsquigarrow \gamma)} \sim 100$

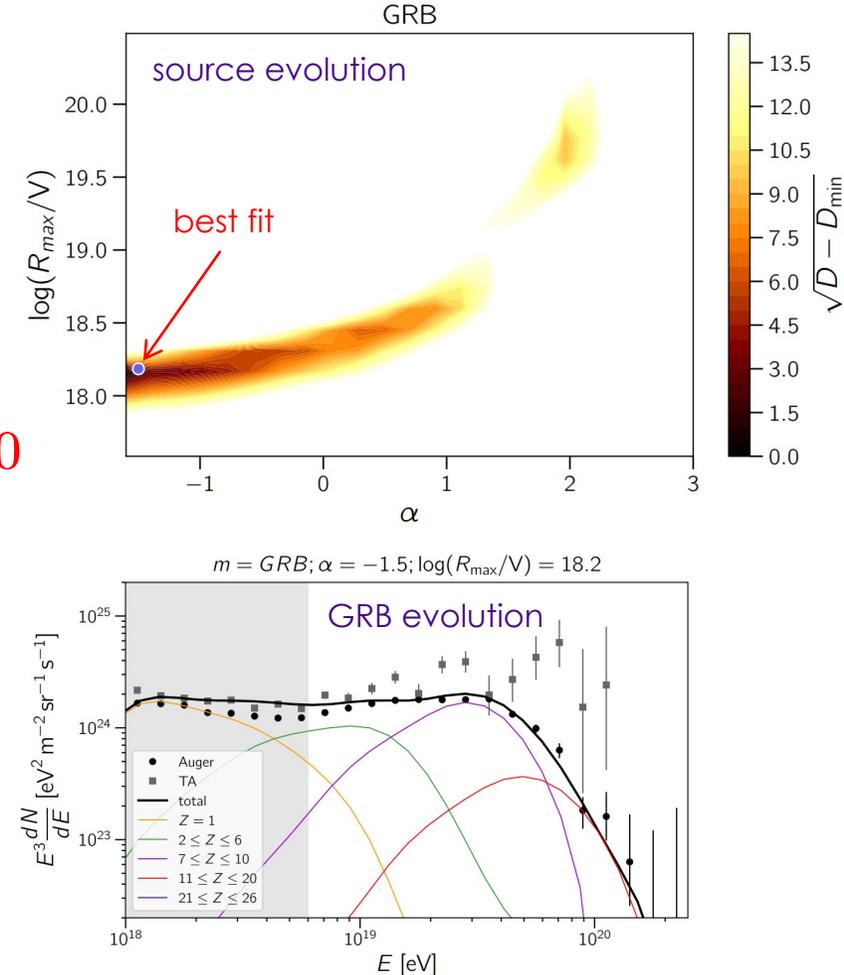
Intension with IceCube's result!  $\sim 10$

## 2. Acceleration rate (afterglow)

For ultra-relativistic shock,  $\Gamma_s^2 \rightarrow 2$  after the 1<sup>st</sup> crossing circle

## 3. Hard-spectrum problem

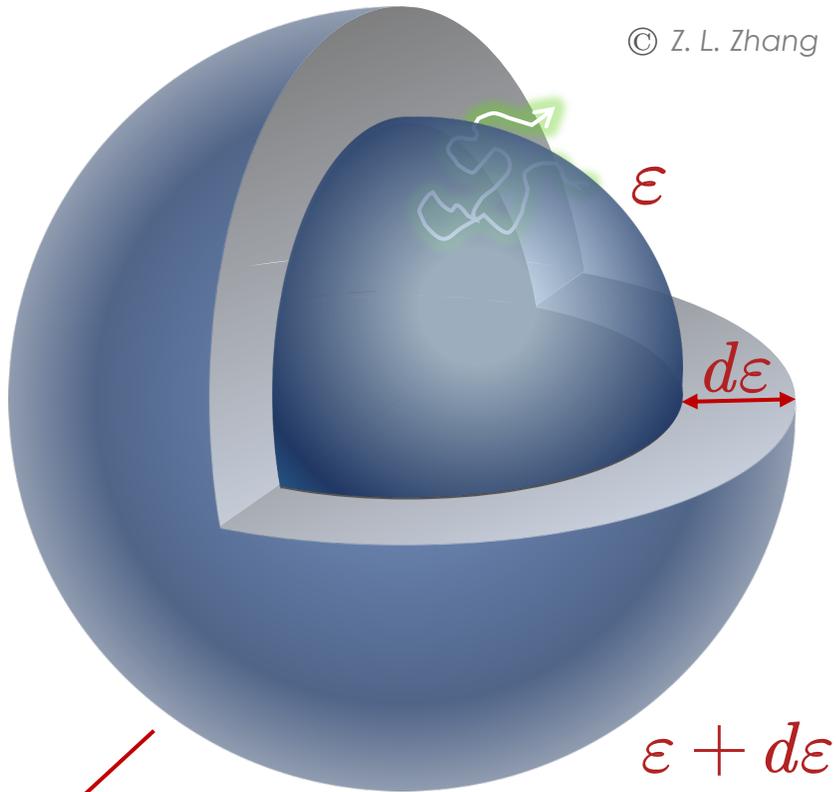
The results of the Auger fit indicate a hard injection spectrum with  $p \lesssim 1$



# Acceleration mechanisms within GRBs

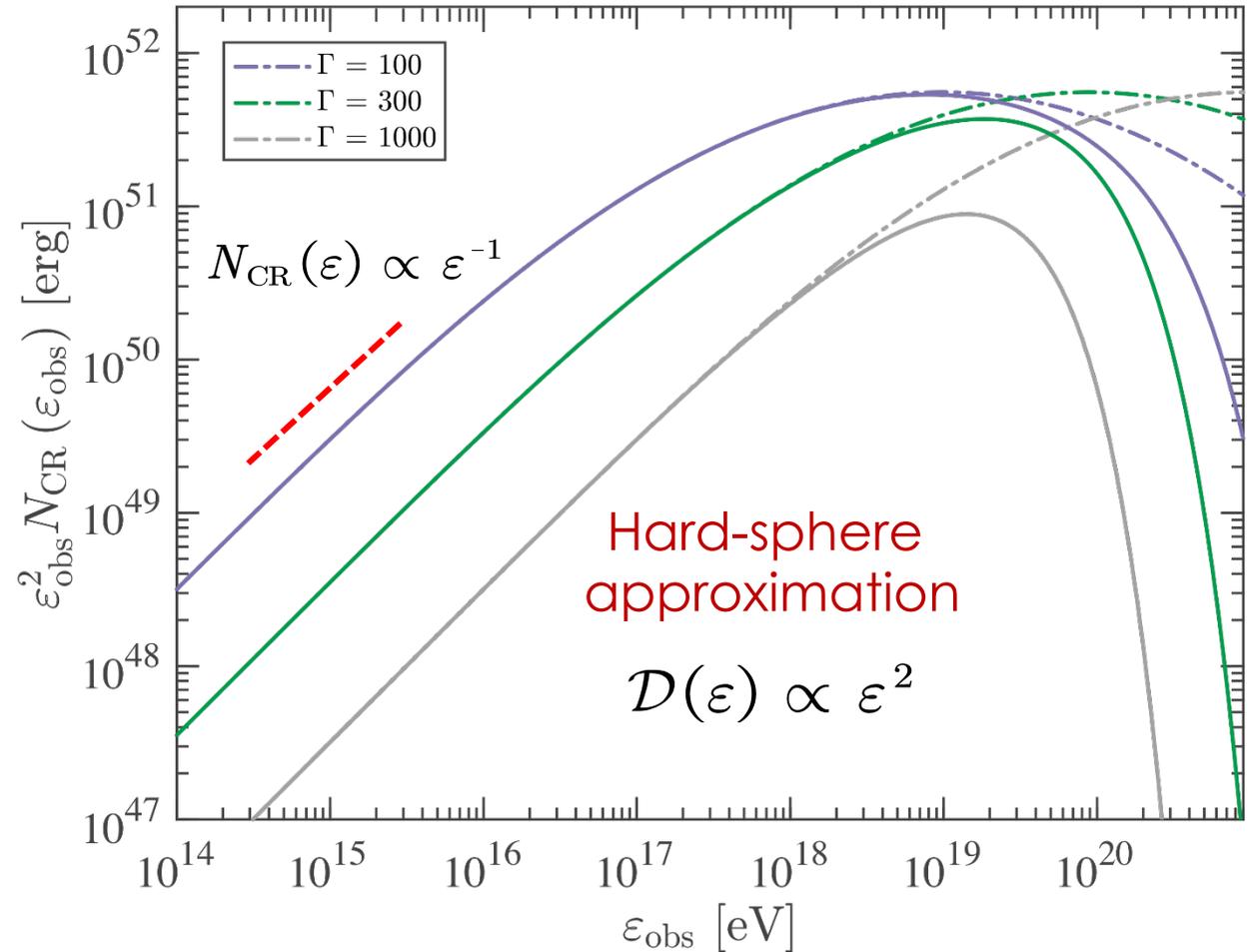
Asano & Mészáros PRD 2016

## Diffusion in energy space



Energy = const.

Larger volume means  
higher acceleration!

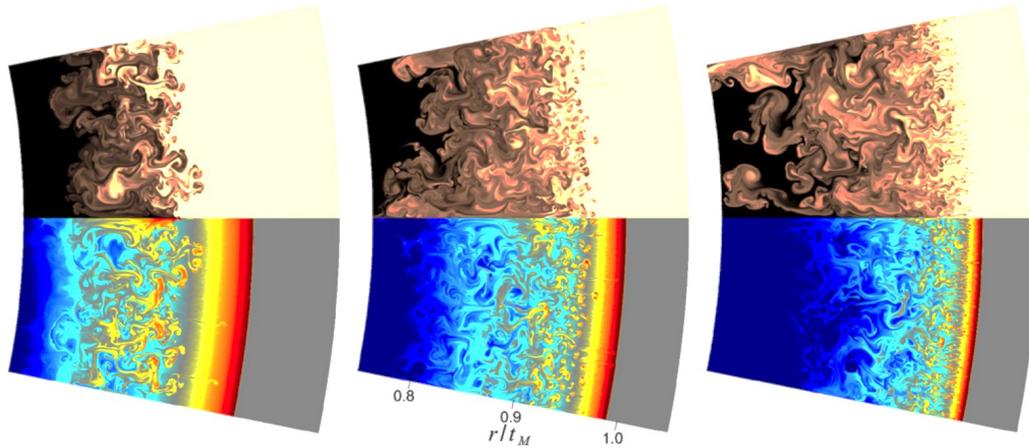


$$\frac{\partial N(\epsilon, t)}{\partial t} = \frac{\partial}{\partial \epsilon} \left[ D(\epsilon) \frac{\partial N(\epsilon, t)}{\partial \epsilon} \right] - \frac{\partial}{\partial \epsilon} \left[ \frac{2D(\epsilon)}{\epsilon} N(\epsilon, t) \right] + \dot{N}_{\text{inj}}(\epsilon, t)$$

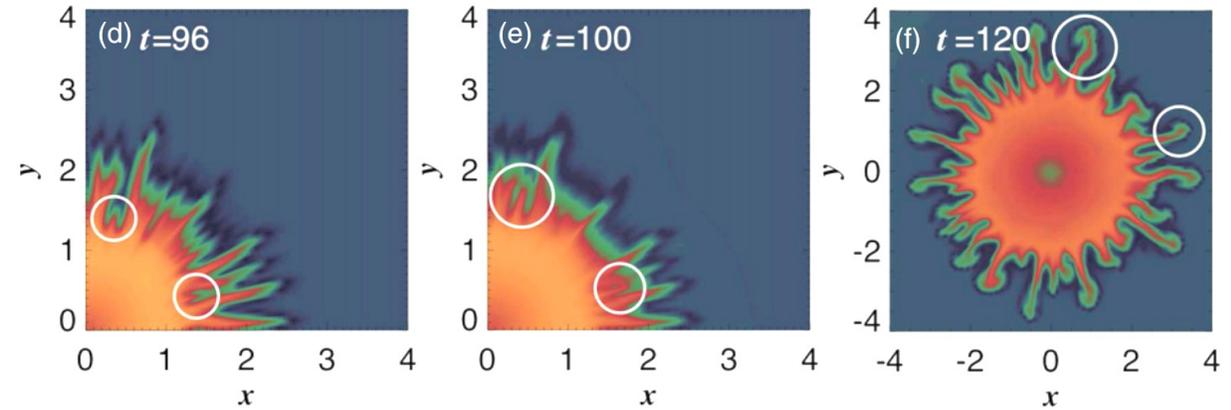
# Excitation of turbulence

# Instabilities and turbulence injection

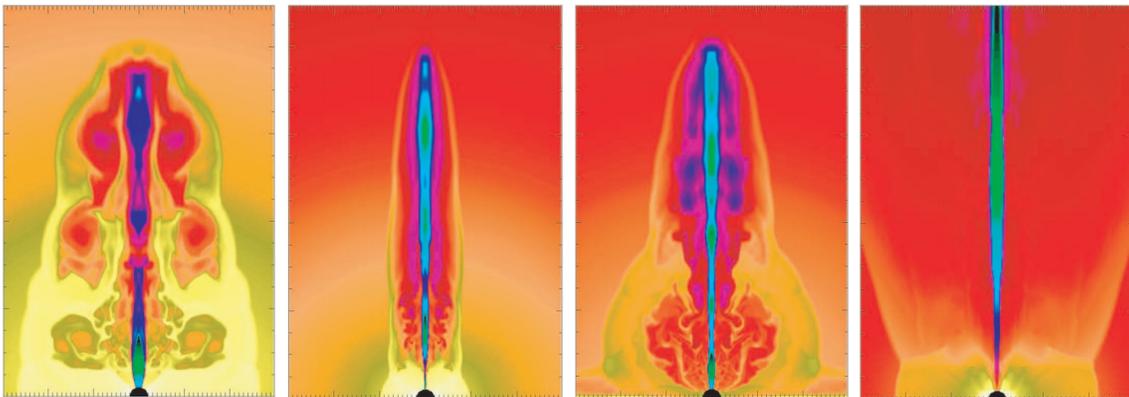
Rayleigh-Taylor (Duffell *et al.* ApJ 2013)



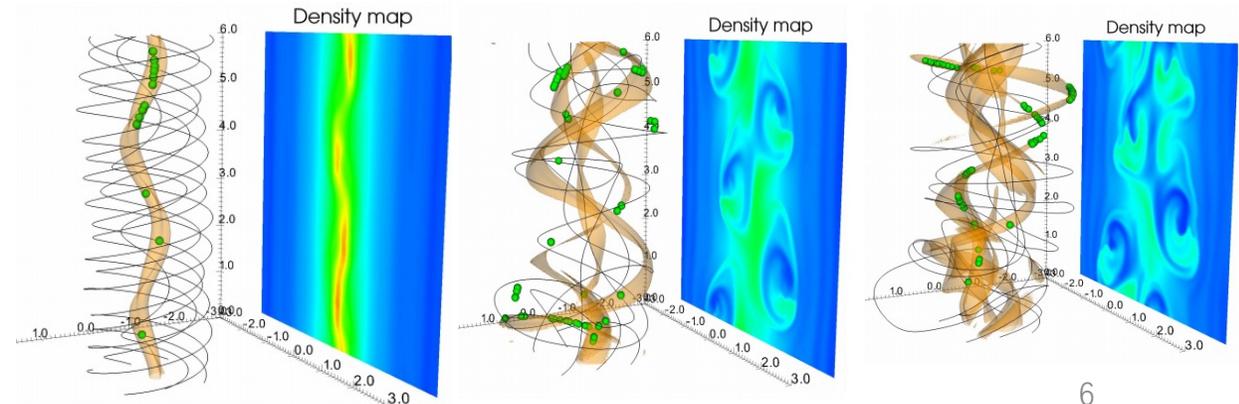
Richtmyer-Meshkov (Matsumoto *et al.* ApJ 2013)



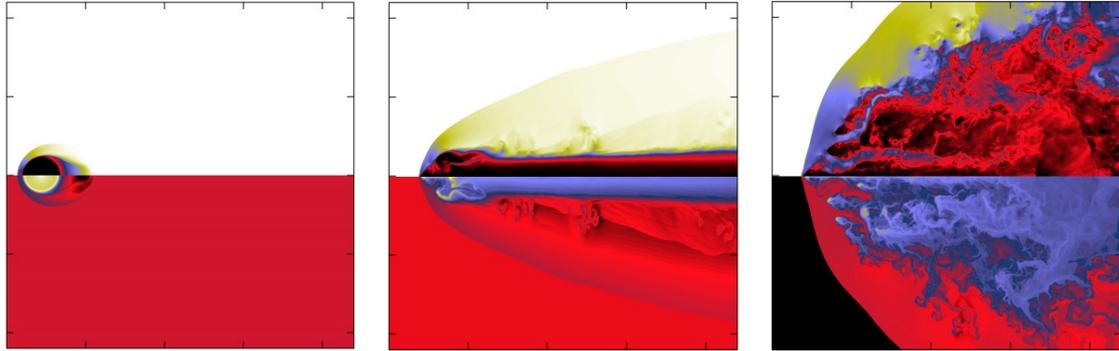
Kelvin-Helmholtz (Zhang *et al.* ApJ 2003)



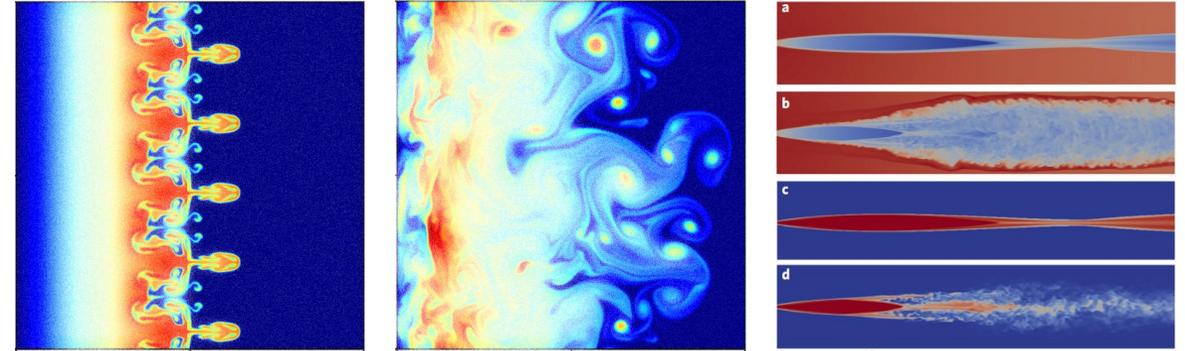
Kink instability (Medina-Torrejón *et al.* ApJ 2021)



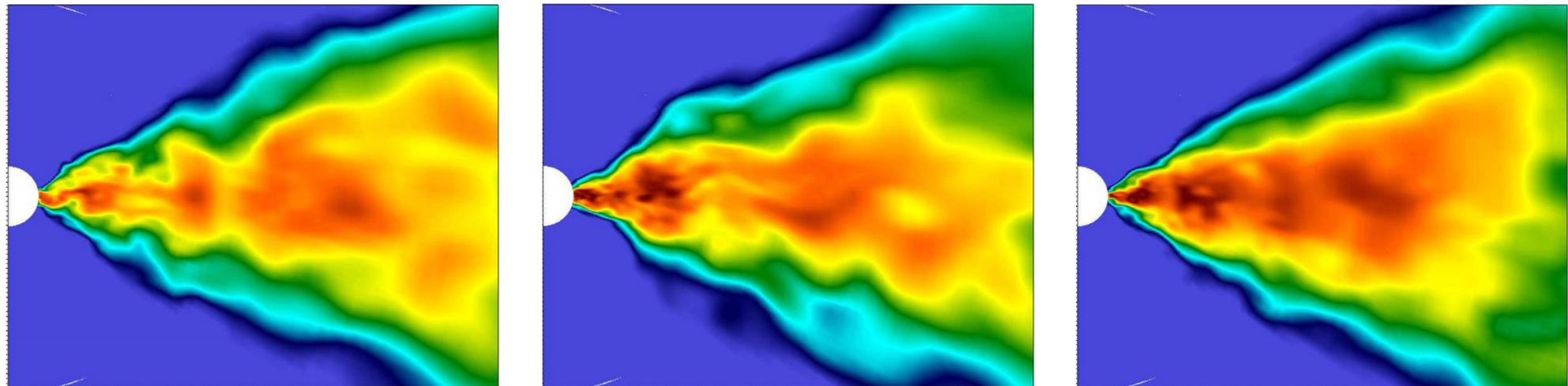
Star-jet interaction (Perucho et al. A&A 2017)



Centrifugal instability (Gourgouliatos et al. MNRAS, NA 2018)



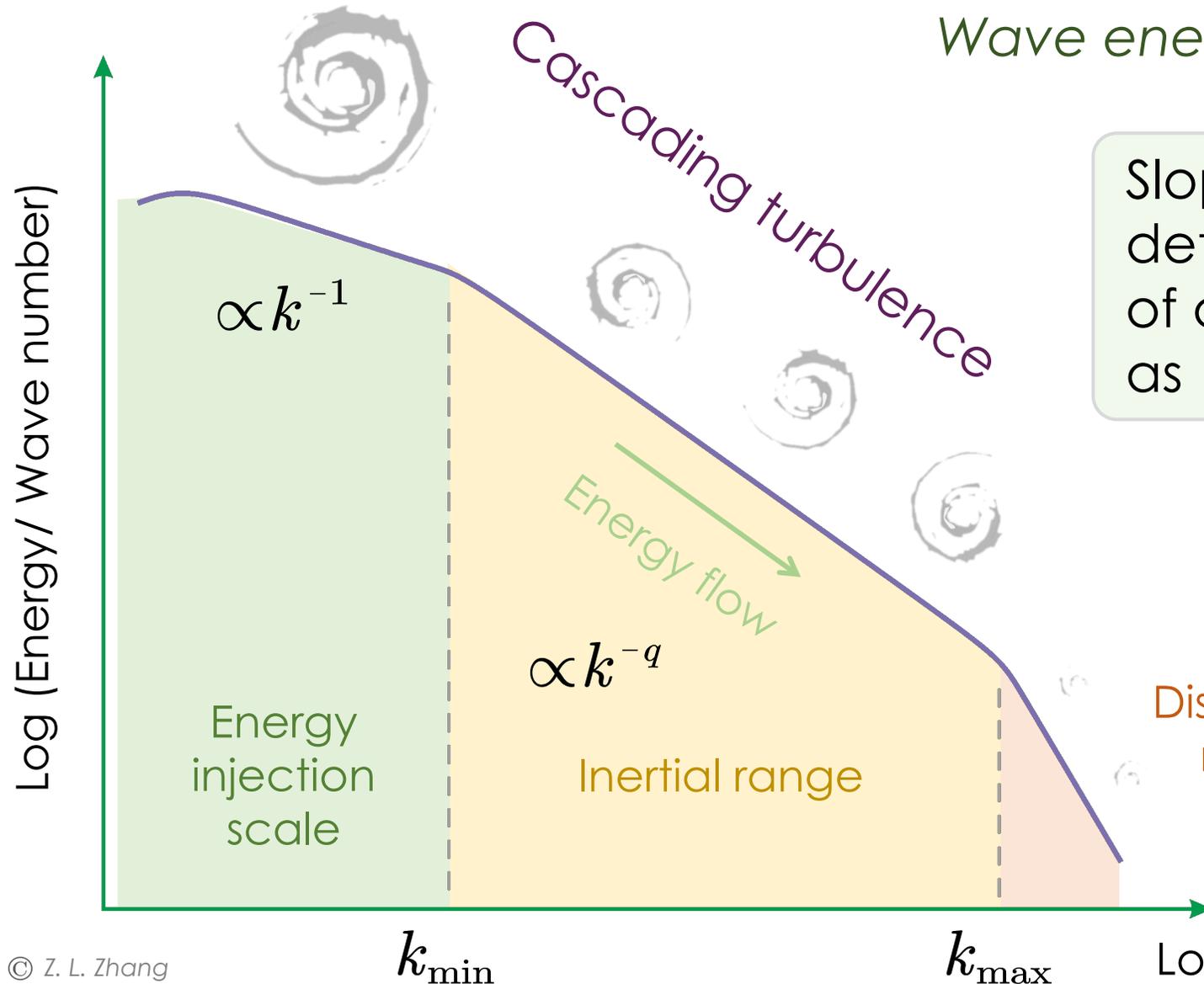
Magnetorotational instability (Pimentel Diaz et al. MNRAS 2021)



# Injection of turbulence

## Instabilities and turbulence injection

Wave energy distribution:  $W(k) \propto k^{-q}$

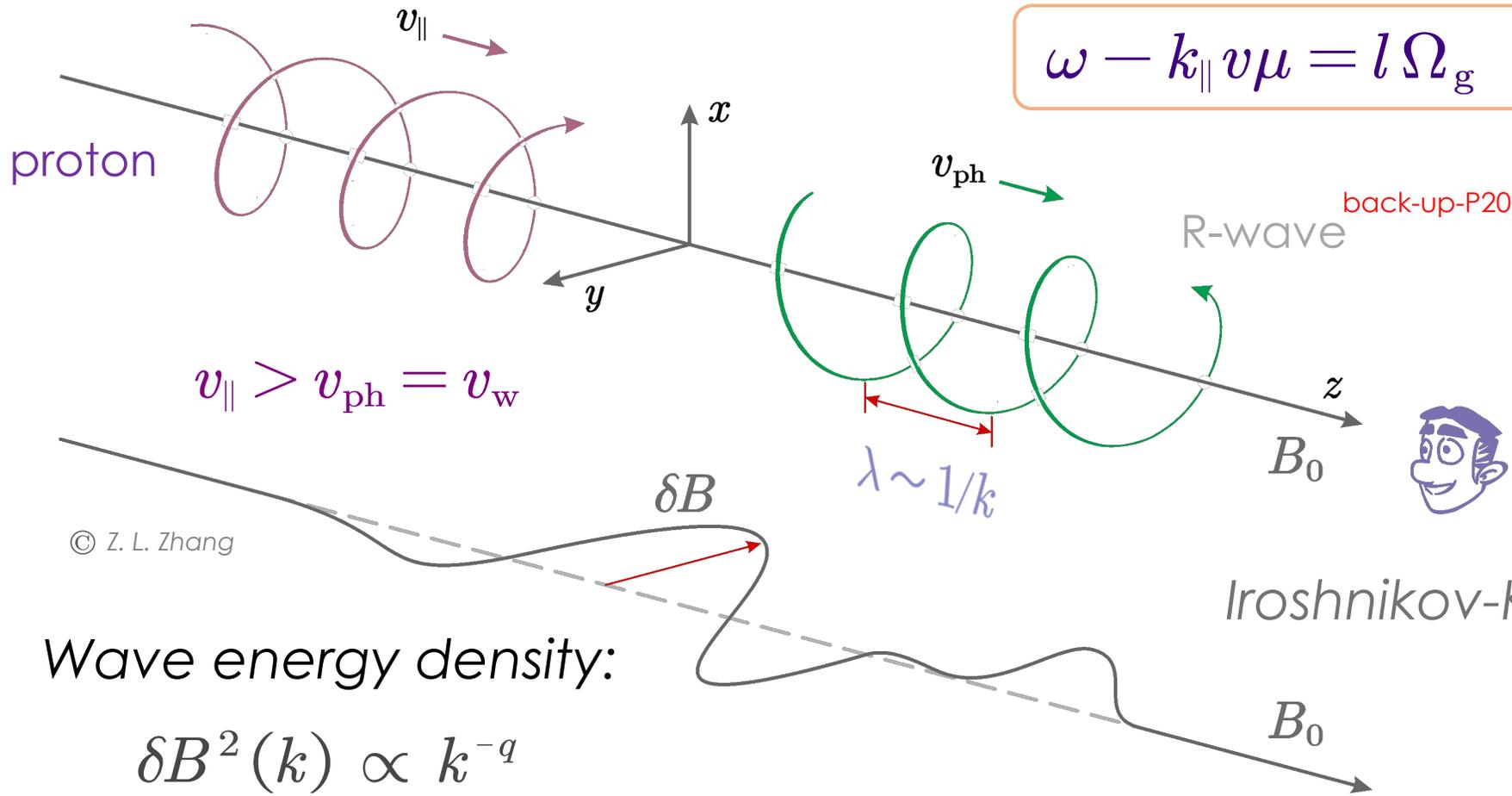


Slope of power spectrum  $\propto k^{-q}$  determines energy dependence of diffusion coefficient  $\mathcal{D}(E) \propto E^s$  as  $s = 2 - q$ .

$$q = \begin{cases} 1: & \text{Bohm} \\ \frac{3}{2}: & \text{Kraichnan} \\ \frac{5}{3}: & \text{Kolmogorov} \\ 2: & \text{hard sphere} \end{cases}$$

# Interactions between turbulence and particles

*Anomalous resonance:* right (left)-handed waves interact with left (right)-handed protons



$$\omega - k_{\parallel} v \mu = l \Omega_g \quad (l = 0, \pm 1, \pm 2 \dots)$$

$$l = -1$$

Important !

Cho & Lazarian 2003  
Makwana & Yan 2020

Iroshnikov-Kraichnan-like (IK-like):

$$W(k) \propto k^{-3/2}$$

Fast mode waves

Wave energy density:

$$\delta B^2(k) \propto k^{-q}$$

The kinetic energy and magnetic energy in fluctuation are comparable. 9

# Stochastic acceleration by turbulence

Zhou & Matthaeus 1990

Jun Kakuwa 2016

Petrosian & Liu 2004

Stawarz & Petrosian 2008

Fokker-Planck equation (fast mode waves):

back-up--P21,23,24,26

$$\frac{\partial W}{\partial t} = \frac{\partial}{\partial k} \left[ \mathcal{D}_{kk}(k, t) \frac{\partial W}{\partial k} \right] - \frac{\partial}{\partial k} \left[ \frac{2\mathcal{D}_{kk}(k, t)}{k} W \right] + \frac{k}{3} (\nabla \cdot \mathbf{v}) \frac{\partial W}{\partial k} + \Gamma_w(k, t) W + \mathcal{Q}_{w, inj}(k, t)$$

Cooling of waves

Injection of waves

Diffusion and Convection: Cascading of waves

Damping of waves

Fokker-Planck equation of protons:

back-up--P25

$$\frac{\partial N}{\partial t} = \frac{\partial}{\partial E} \left[ \mathcal{D}_{EE}(E, t) \frac{\partial N}{\partial E} \right] - \frac{\partial}{\partial E} \left[ \left( \frac{2\mathcal{D}_{EE}(E, t)}{E} + \langle \dot{E} \rangle \right) N \right] - \frac{N}{t_{esc}} + \mathcal{Q}_{inj}(E, t)$$

Cooling

Source injection

Diffusion

Acceleration  
(Convection)

Escaping

Energy dissipation rate of turbulent waves = Energy gain rate of protons

back-up-P24

### The expansion of GRB remnants

$$\frac{d\Gamma_s}{dm} = - \frac{\Gamma_s^2 - 1}{M_{ej} + \epsilon m + 2(1 - \epsilon)\Gamma_s m}$$

$$\simeq - \frac{\Gamma_s^2 - 1}{M_{ej} + 2\Gamma_s m} \quad (\text{adiabatic case } \epsilon = 0)$$

$M_{ej}$ : mass ejected from GRB central engine

$m$ : rest mass of the swept-up medium

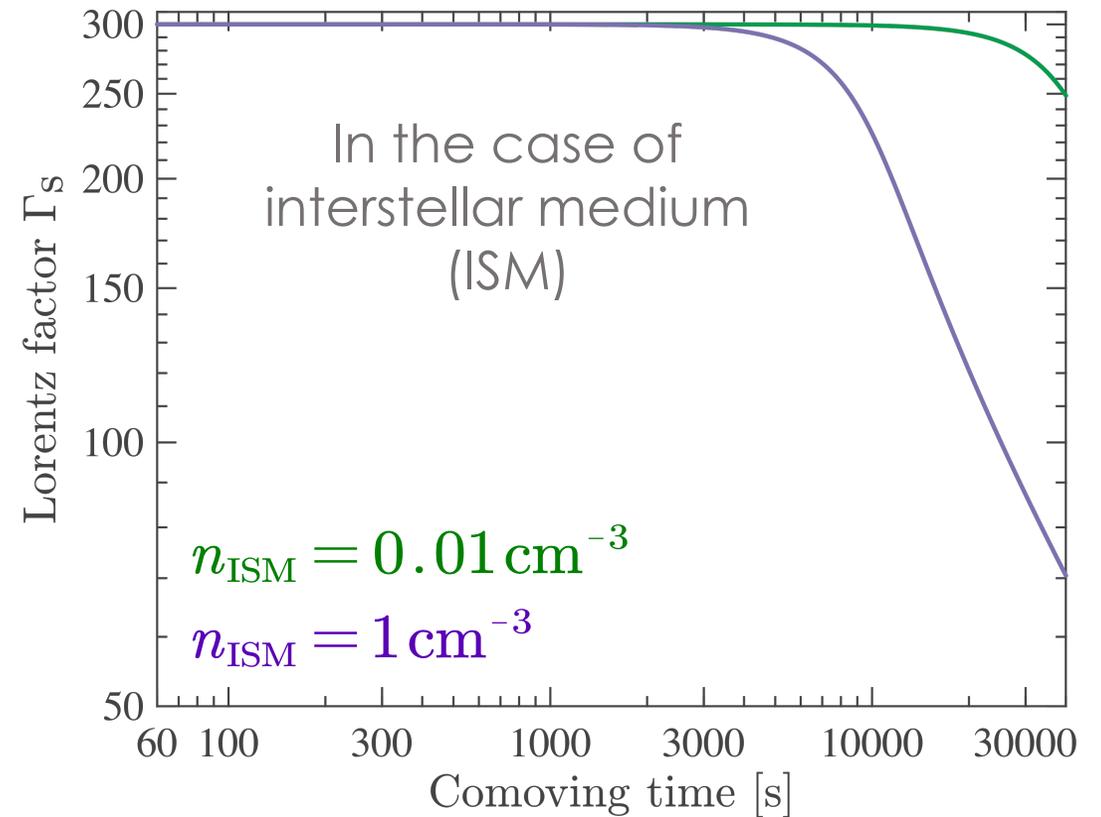
$$dm = 4\pi R^2 n_{ISM} m_p dR$$

$R$ : radius of the blast wave

$$dR = \beta_{sh} c \Gamma_s (\Gamma_s + \sqrt{\Gamma_s^2 - 1}) dt$$

$\beta_w$ : bulk velocity of the material

Y. F. Huang et al. MNRAS 1999



### Timescales

$$\mathcal{D}_{RR} \mathcal{D}_{EE} \approx \beta_w^2 E^2$$

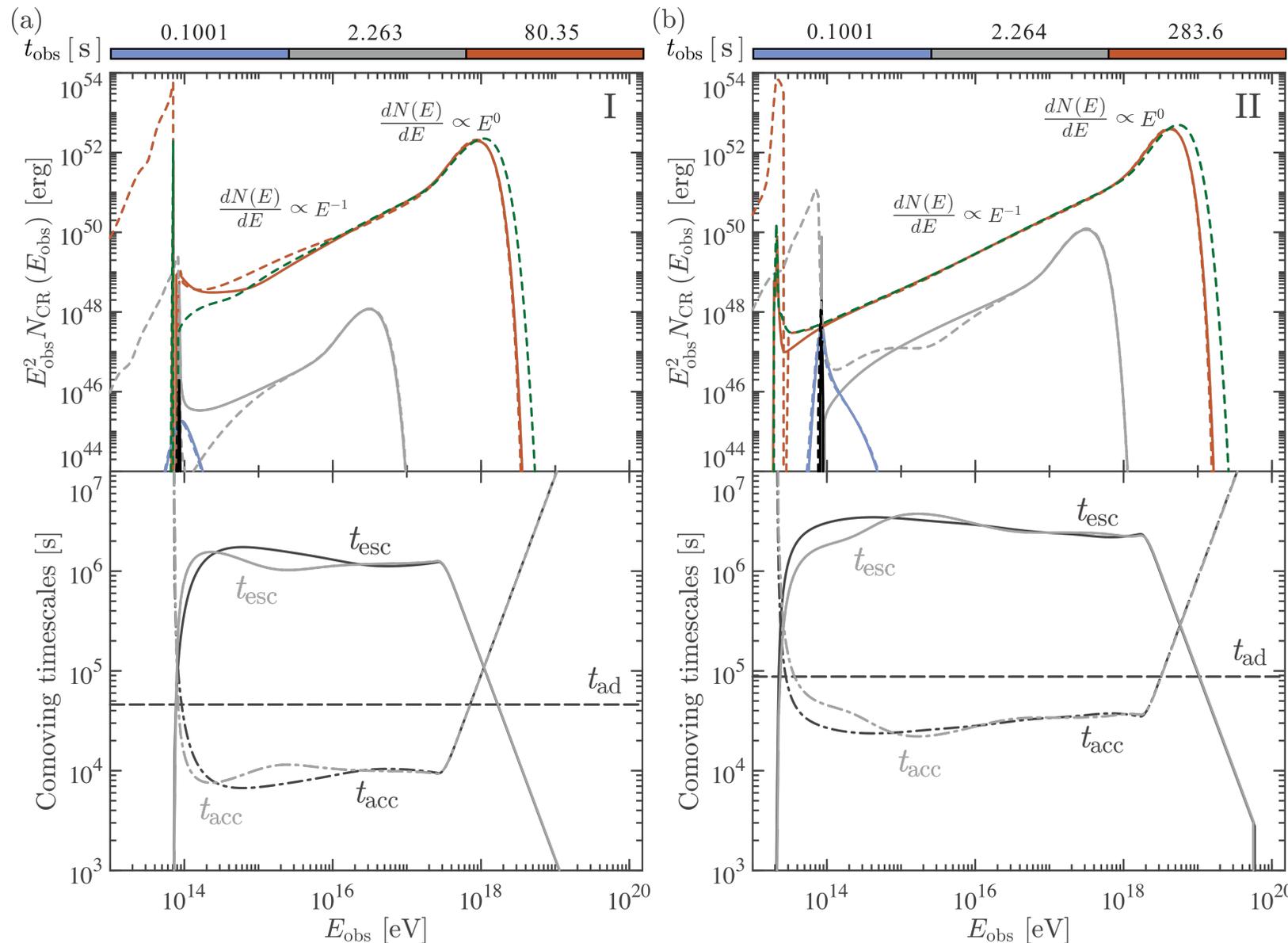
$$t_{esc} \approx R^2 / \mathcal{D}_{RR} \approx R^2 / (\Gamma^2 v_w^2 t_{acc})$$

$$t_{acc} \approx E^2 / \mathcal{D}_{EE} \quad t_{ad} = R / \Gamma c$$

# Results and discussions

$$t_{\text{cmv}} = 40000\text{s}$$

## Particle spectra



case I  $\lambda_{\text{inj}} = \frac{1}{k_{\text{inj}}} = \frac{\xi R}{\Gamma} \lesssim \frac{R}{\Gamma}$

$\xi = 0.1, n_{\text{ISM}} = 0.01 \text{ cm}^{-3}$

case II

$\xi = 0.1, n_{\text{ISM}} = 1 \text{ cm}^{-3}$

*Adiabatic cooling* softens the spectrum at the cutoff regime

$$t_{\text{acc}} \simeq t_{\text{ad}} \text{ or } E \simeq E_{\text{eq}}$$

*Diffusive escape* only play an important role in shaping the spectrum around

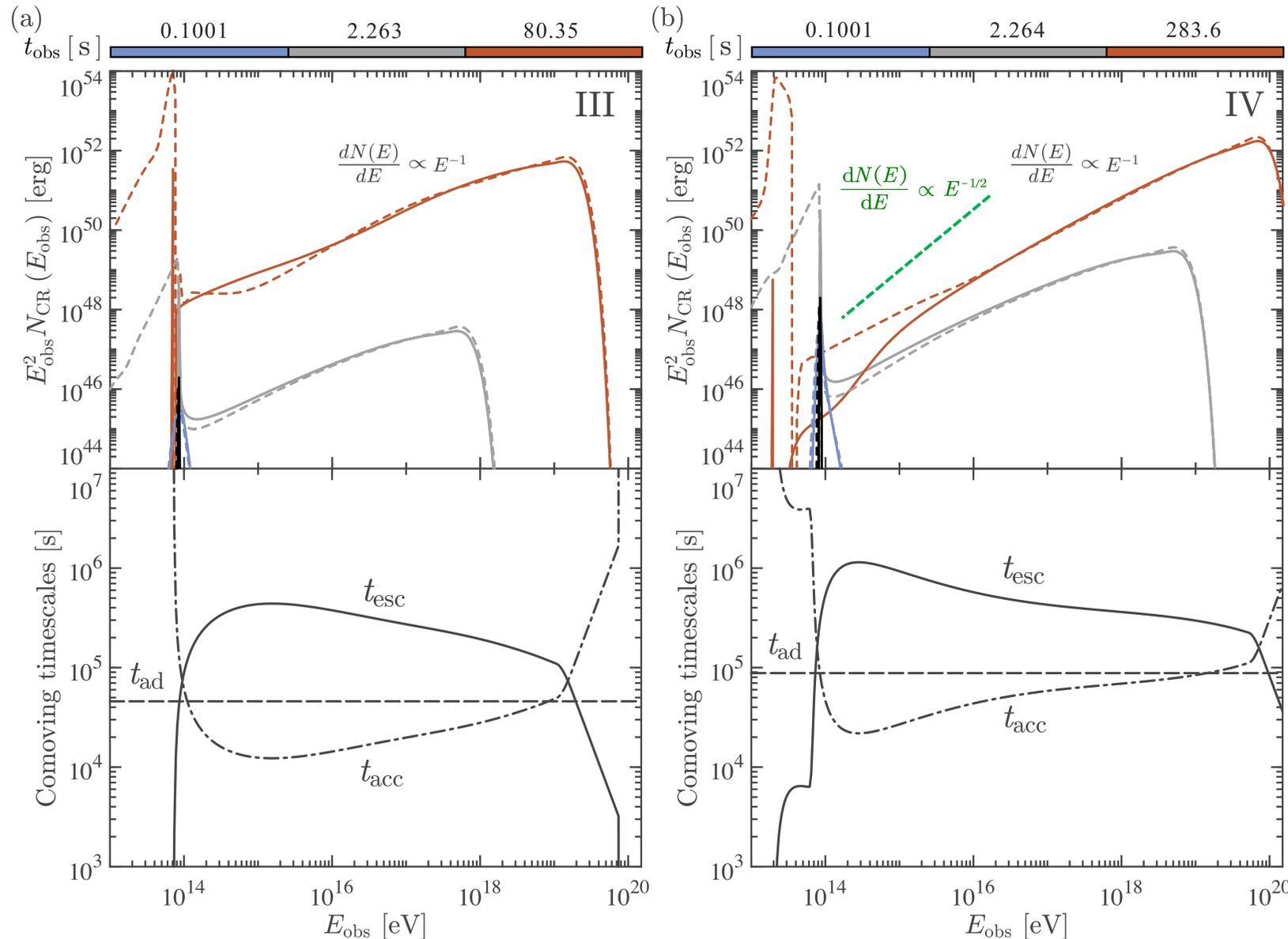
$$E \simeq E_{\text{inj}}$$

Unearned *baryon loading factor*

# Results and discussions

$$t_{\text{cmv}} = 40000 \text{ s}$$

## Particle spectra



case III  $\lambda_{\text{inj}} = \frac{1}{k_{\text{inj}}} = \frac{\xi R}{\Gamma} \lesssim \frac{R}{\Gamma}$

$\xi = 1, n_{\text{ISM}} = 0.01 \text{ cm}^{-3}$

case IV

$\xi = 1, n_{\text{ISM}} = 1 \text{ cm}^{-3}$

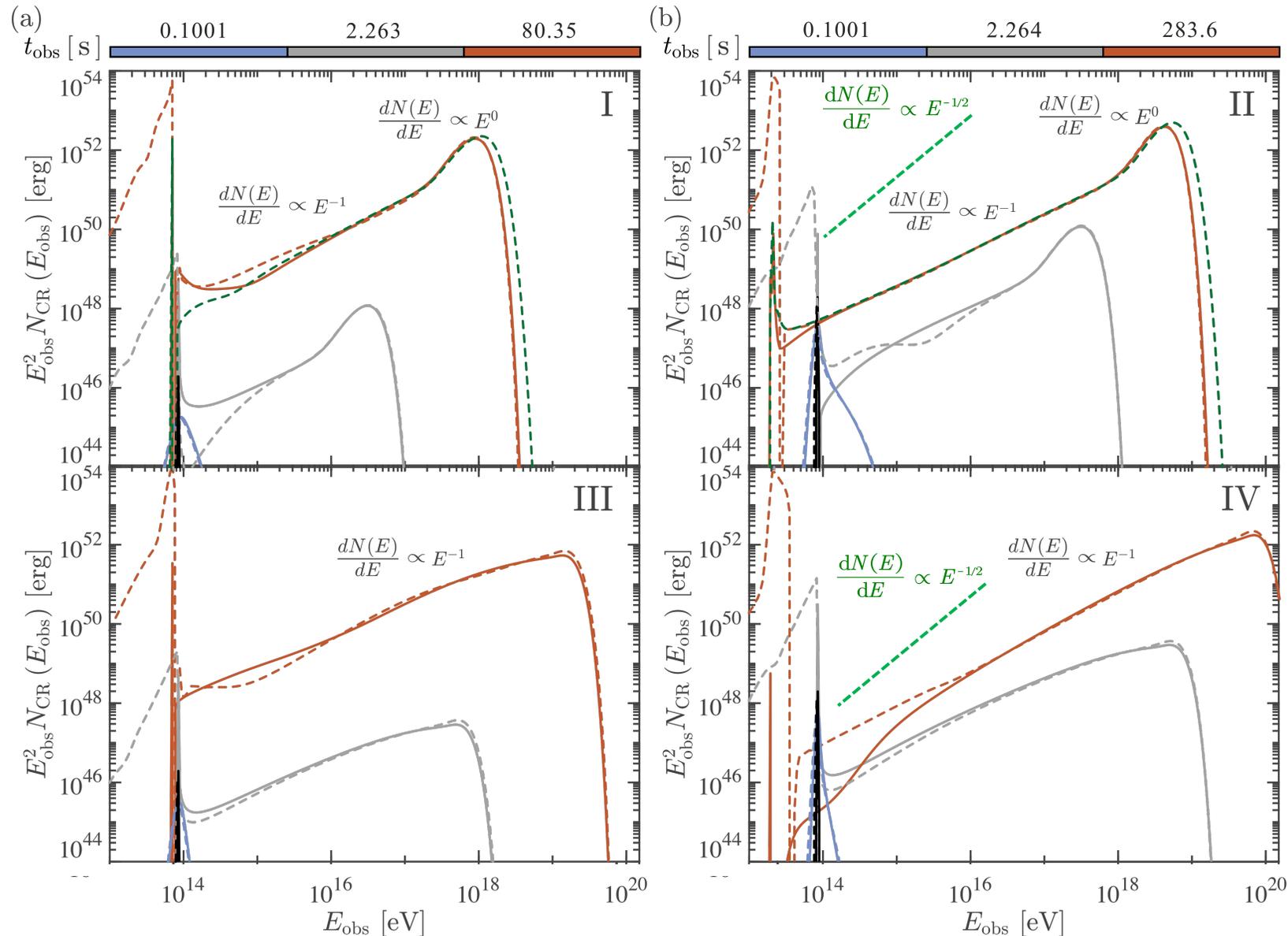
If  $q = \frac{3}{2}$ , the steady state

$$\frac{dN}{dE} \propto E^{1-q}, E \in (E_{\text{inj}}, E_{\text{eq}})$$

$$E^2 \frac{dN}{dE} \propto E^{3/2}, (t_{\text{esc}} \gg t_{\text{acc}}, t_{\text{ad}})$$

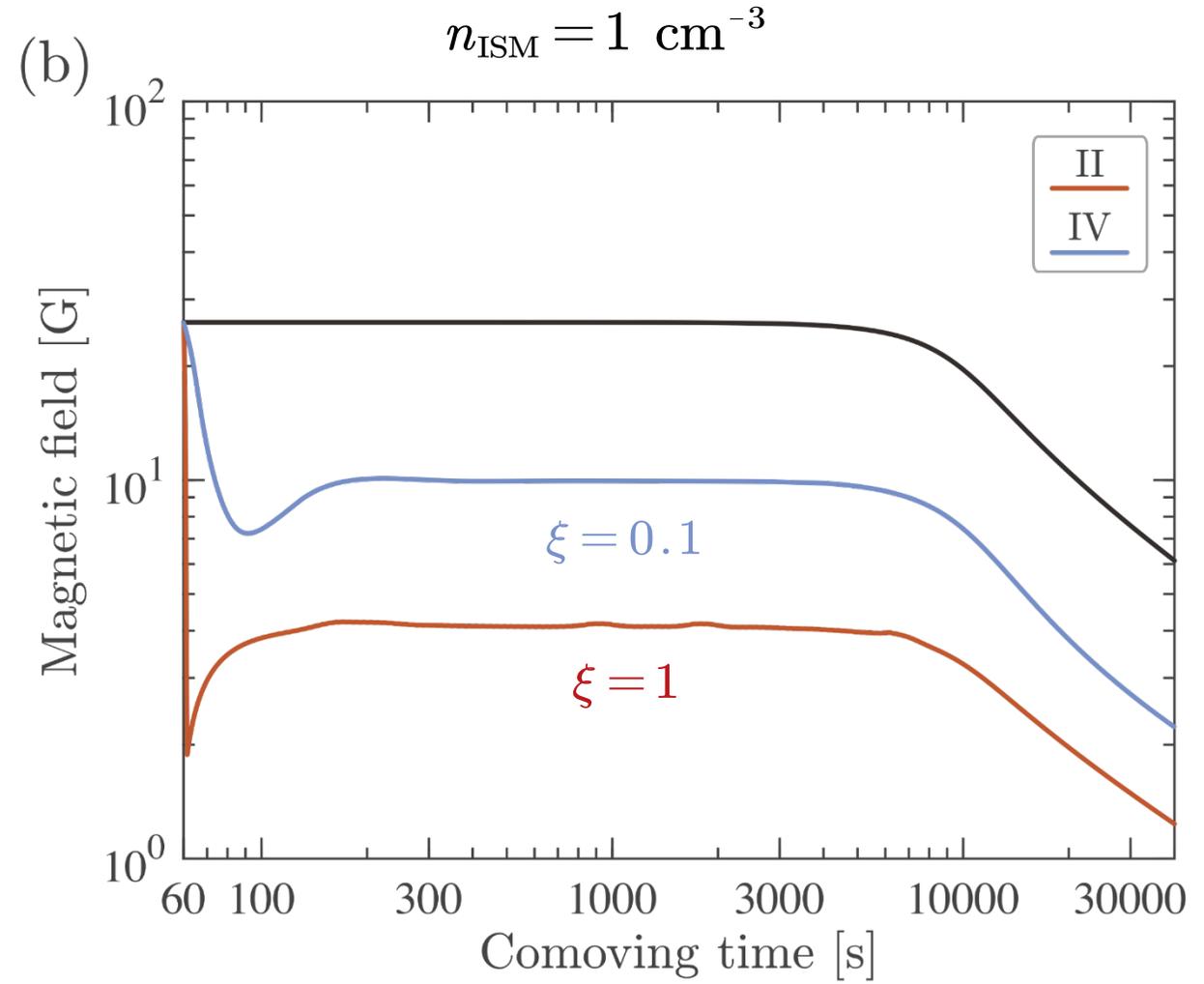
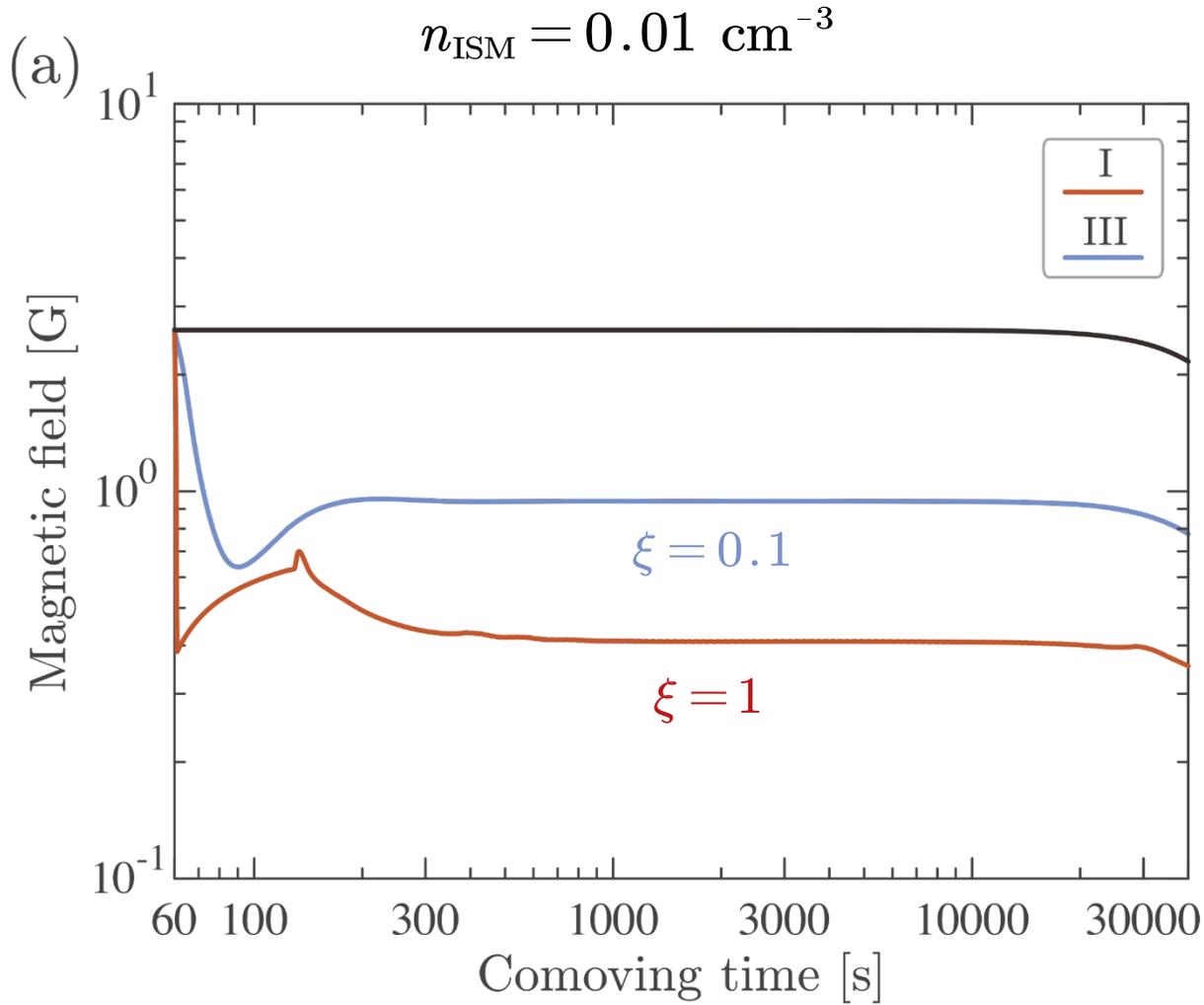
Stawarz & Petrosian 2008

$$t_{\text{cmv}} = 40000\text{s}$$



1. A smaller  $\xi$  leads to a hardening or a pile-up spectral feature at the high-energy end

2. A higher ISM density can convert more kinetic energy into the magnetic fields



$$\delta B \simeq \sqrt{8\pi k W_B}, \quad B = \sqrt{32\pi \epsilon_B \Gamma^2 n_{\text{ISM}} m_p c^2}$$

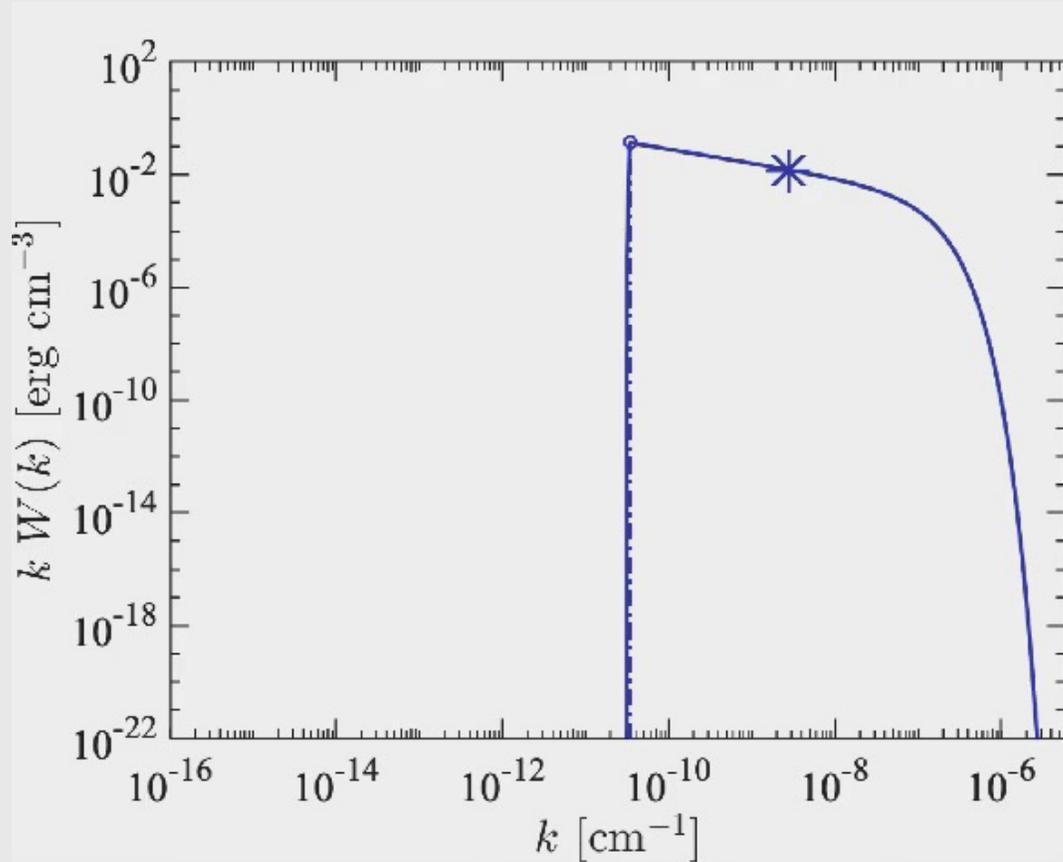
# Results and discussions

Magnetic field

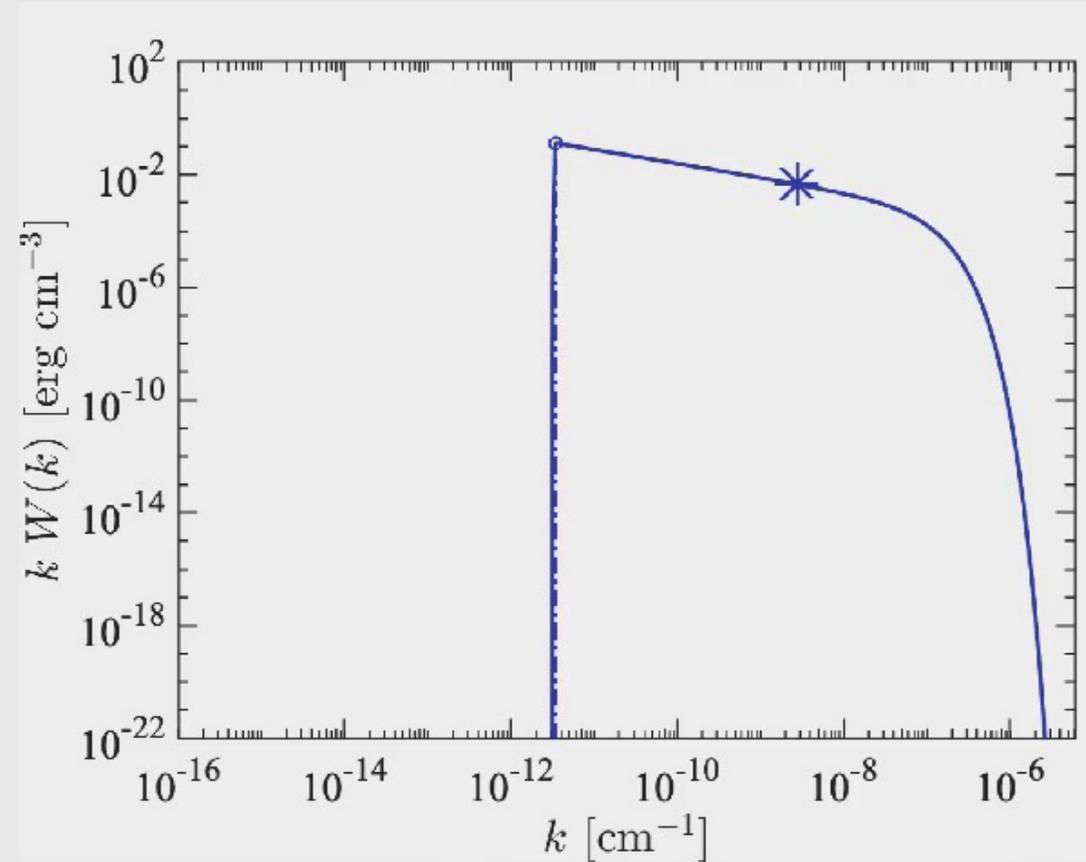
$$t_{\text{cmv}} = 4500\text{s}$$

$$t_{\text{obs}} \in [0.1001\text{s}, 0.2697\text{s}]$$

$$t_{\text{obs}} \in [0.1001\text{s}, 0.2550\text{s}]$$



case I



case III

Spectrum of X-rays in early afterglows:  $F_\nu \sim \nu^{-1}$

Photodisintegration rate

fast cooling

$$t_{\text{dis}}^{-1} = \frac{4}{3} c \sigma_0 \frac{\Delta_{\text{GDR}}}{\epsilon'_0} \frac{\Gamma_A U_X}{\kappa \epsilon'_0}$$

X. Y. Wang et al. 2008

$\sim 68 - 100 \text{ s}$

$$U_X = \kappa n_b(\epsilon_b) \epsilon_b^2$$

i.e. GRB 190114C

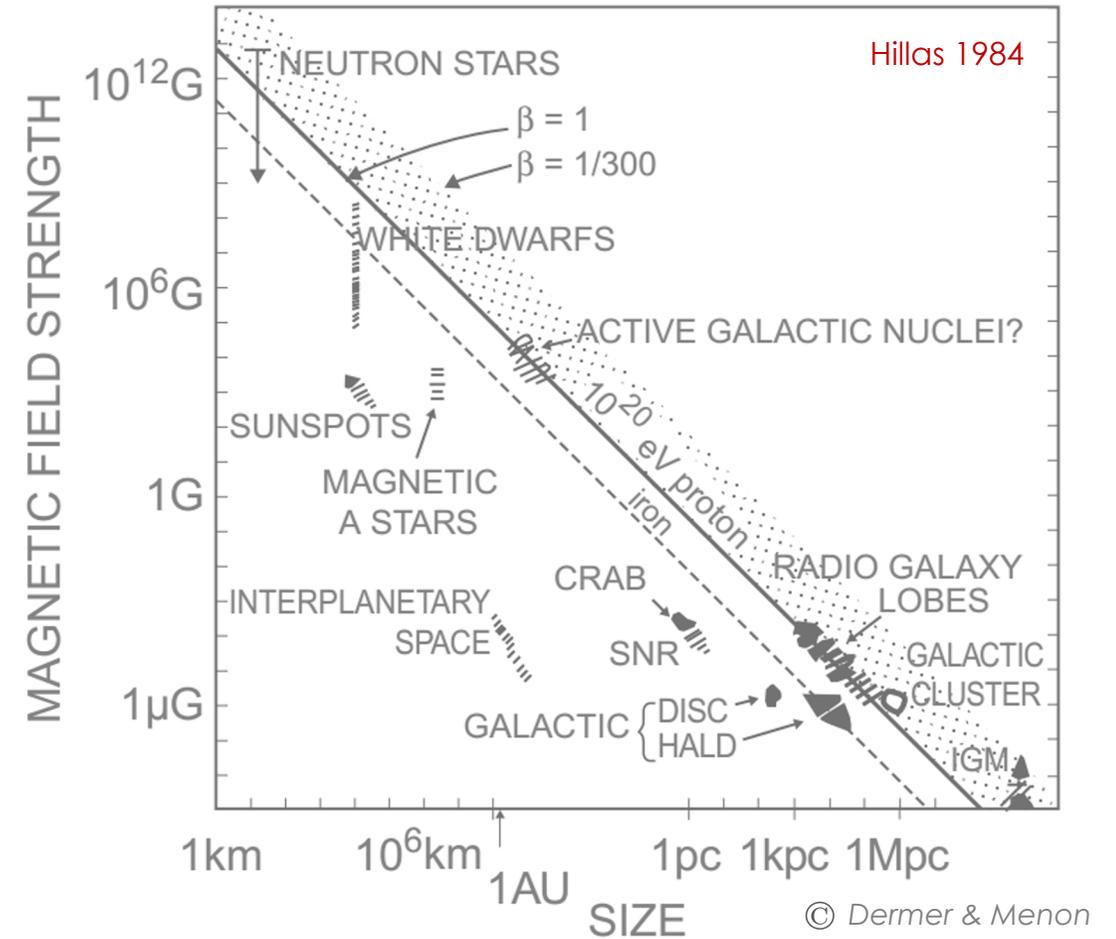
$$L_X = 4\pi R_{\text{ex}}^2 \Gamma^2 c U_X \simeq 10^{48.5} \text{ erg s}^{-1}$$

Effective optical depth

$t_{\text{obs}} \simeq 80 \text{ s}$

$$\tau = t_{\text{dyn}}/t_{\text{dis}} \ll 1$$

$$= \begin{cases} 6.5 \times 10^{-5} L_{X,48.5} E_{\text{obs},18} R_{\text{ex},17.5}^{-1} \Gamma_{249}^{-4} (A/56)^{0.42} & \text{I} \\ 6.5 \times 10^{-4} L_{X,48.5} E_{\text{obs},19} R_{\text{ex},17.5}^{-1} \Gamma_{249}^{-4} (A/56)^{0.42} & \text{III} \\ 9.1 \times 10^{-3} L_{X,48.5} E_{\text{obs},18.5} R_{\text{ex},17.1}^{-1} \Gamma_{123}^{-4} (A/56)^{0.42} & \text{II} \\ 9.1 \times 10^{-2} L_{X,48.5} E_{\text{obs},19.5} R_{\text{ex},17.1}^{-1} \Gamma_{123}^{-4} (A/56)^{0.42} & \text{IV} \end{cases}$$



Hillas criterion

$$\epsilon_p \sim Ze\beta BR$$

back-up-27

$$\epsilon_p \sim 10^{19} \text{ eV} \Rightarrow \epsilon_{\text{CRs}} \sim 10^{20} \text{ eV}$$

## Summary

- ★ Including the evolution of jet's dynamics can weaken the capacity of the acceleration of the SA mechanism;
- ★ It also results in a particle spectrum softer than that predicted in the test-particle limit;
- ★ Protons can nevertheless be accelerated up to  $10^{19}$ eV with a spectrum  $dN/dE \propto E^{-1}$  for some choices of system's parameters;
- ★ UHE nuclei can survive photodisintegration in early afterglows of GRBs then intermediate-mass nuclei can achieve  $10^{20}$ eV in GRB jets.

**Thank you!**  
**Comments are welcome!**

Back-up

# ICRC 2021



# Interactions between turbulence and particles

Two types of resonant interactions:

- ①.  $l = 0$ :
  - a. Landau (Cherenkov) damping — interact with oscillating  $E_{\parallel}$
  - b. Transient time damping (TTD) — moving magnetic mirrors
- ②.  $l \neq 0$ : gyroresonance — interact with  $E_{\perp}$

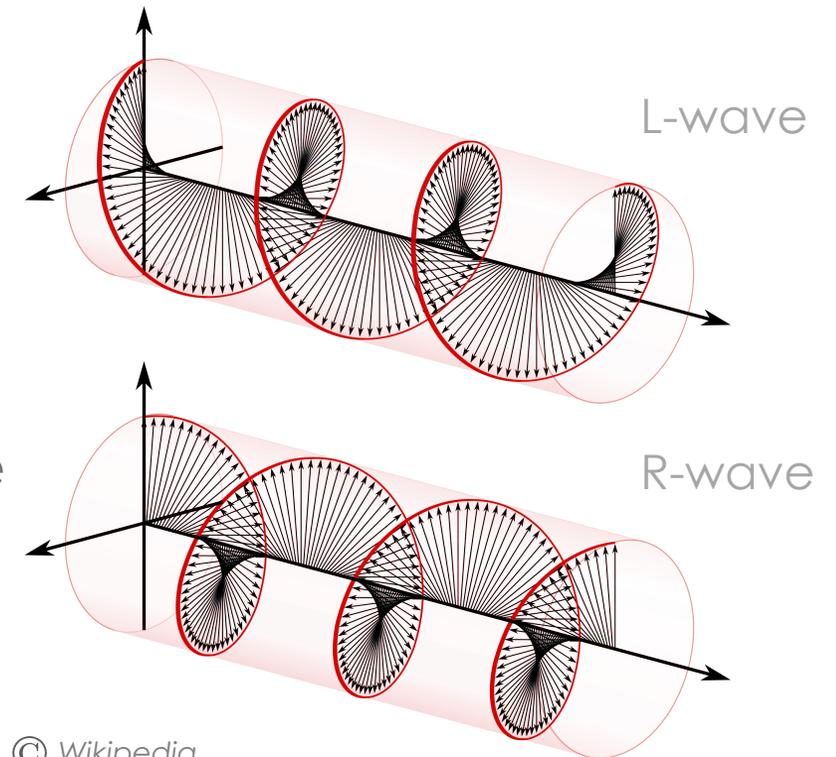
Resonant condition

$$\omega - k_{\parallel} v \mu = l \Omega_g \quad (l = 0, \pm 1, \pm 2 \dots)$$

$\Omega_g = \Omega_0 / \gamma$ : gyrofrequency of relativistic particle

$\mu = v_{\parallel} / v$ : pitch angle cosine

$\omega$ : wave frequency



1. For isotropic *fast mode waves*

$$\frac{\partial W_{\text{TFM}}}{\partial t} = \frac{\partial}{\partial k} \left[ k^2 \mathcal{D}_{\text{FM}} \frac{\partial}{\partial k} (k^{-2} W_{\text{TFM}}) \right] - \gamma_{\text{FM}} W_{\text{TFM}} + \mathcal{Q}_{\text{FM}}$$

$W_{\text{TFM}}$ : total (field + plasma motion) wave energy density per unit wavenumber  $k$

$\mathcal{D}_{\text{FM}}$ : diffusion coefficient which describes the turbulent cascading

$\gamma_{\text{FM}}$ : damping rate, due to electrons and protons

2. For *Alfvén waves (propagating only parallel and antiparallel to  $B_0$ )*

$$\frac{\partial W_{\text{TA}}}{\partial t} = \frac{\partial}{\partial k_{\parallel}} \left( \mathcal{D}_{\parallel\parallel} \frac{\partial W_{\text{TA}}}{\partial k_{\parallel}} \right) - \gamma_{\text{A}} W_{\text{TA}} + \mathcal{Q}_{\text{A}}$$

$W_{\text{TA}}$ : total Alfvén wave energy density per unit wavenumber  $k$

$\mathcal{D}_{\parallel\parallel}$ : diffusion coefficient describing the cascade of energy of waves in  $k_{\parallel}$ -space

$\gamma_{\text{A}}$ : damping rate, due to only the protons

# Statistical description: plasmon

Wave Damping / Particle Acceleration

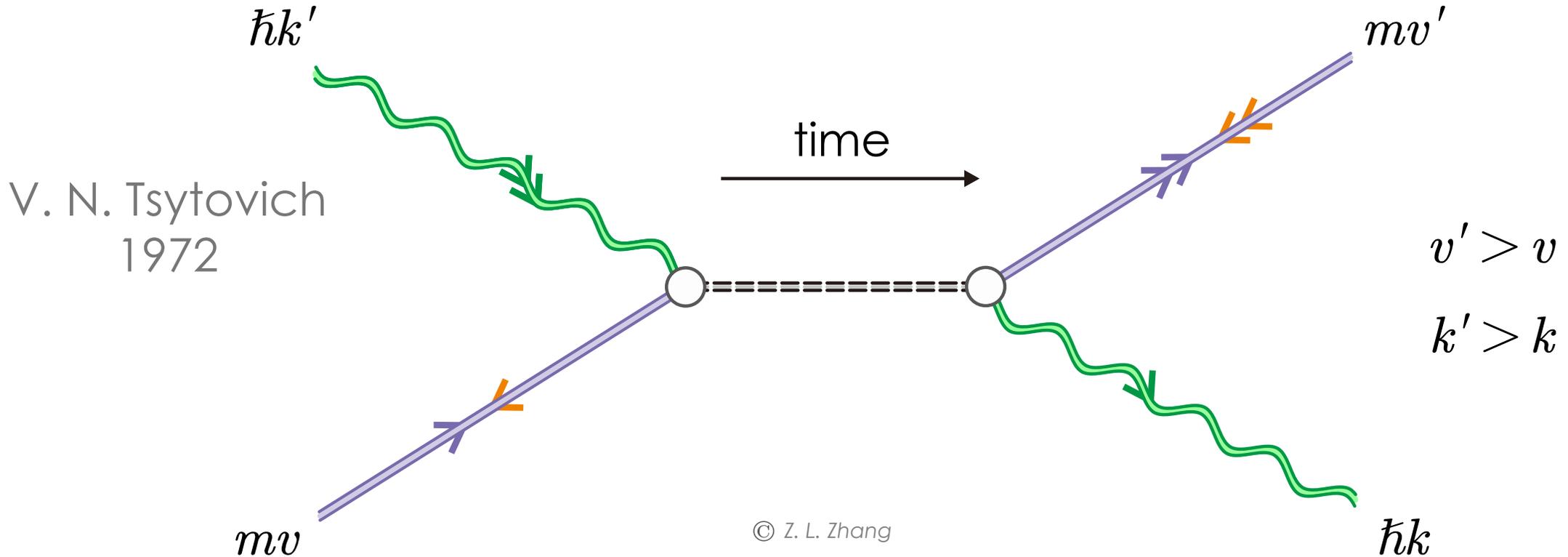
plasmon: 

electrons or ions: 

Feynman-like diagram of resonant wave-particle interaction

interaction (gyro-resonance): =====

scattering nodes: ○



# MHD turbulent cascading

Zhou & Matthaeus JGR 1990

Jun Kakuwa ApJ 2016

Fokker-Planck equation (fast mode waves):

$$\frac{\partial W}{\partial t} = \frac{\partial}{\partial k} \left[ \mathcal{D}_{kk}(k, t) \frac{\partial W}{\partial k} \right] - \frac{\partial}{\partial k} \left[ \frac{2\mathcal{D}_{kk}(k, t)}{k} W \right] + \frac{k}{3} (\nabla \cdot \mathbf{v}) \frac{\partial W}{\partial k} + \Gamma_w(k, t) W + \mathcal{Q}_{w, \text{inj}}(k, t)$$

$\Gamma_w = -\gamma_{\text{FM}}$ : damping rate, due to protons

$\mathcal{Q}_{w, \text{inj}}$ : continuous injection of waves at a mono-scale  $\lambda_{\text{inj}} = 1/k_{\text{inj}}$

$\mathcal{D}_{kk} = C^2 k^4 v_w \left[ \frac{W(k)}{2u_B} \right]$ : diffusion coefficient  $C^2$ : Kolmogorov constant  $\sim 1$

$$W_B(k) = \frac{W(k)}{2}$$

The wave spectrum (initial condition):

$$W(k, t=0) \equiv \kappa_0 u_B \left( \frac{k}{k_{\text{inj}}} \right)^{-q} \exp\left(-\frac{k}{k_{\text{max}}}\right)$$

$$\kappa_0 \approx -2k_{\text{inj}}^q (k_{\text{max}}^{-q+1} - k_{\text{inj}}^{-q+1}), \quad q = \frac{3}{2}$$

The comoving mean magnetic field:

$$u_B = \frac{B^2}{8\pi} = \int_{k_{\text{min}}}^{k_{\text{max}}} W_B(k) dk$$

Initial ambient magnetic field:

$$B_0 \approx \sqrt{32\pi \epsilon_B \Gamma_0^2 n_{\text{ISM}} m_p c^2}$$

Fokker-Planck equation (fast mode waves):

$$\frac{\partial W}{\partial t} = \frac{\partial}{\partial k} \left[ \mathcal{D}_{kk}(k, t) \frac{\partial W}{\partial k} \right] - \frac{\partial}{\partial k} \left[ \frac{2\mathcal{D}_{kk}(k, t)}{k} W \right] + \frac{k}{3} (\nabla \cdot \mathbf{v}) \frac{\partial W}{\partial k} + \Gamma_w(k, t) W + \mathcal{Q}_{w, \text{inj}}(k, t)$$

Energy dissipation rate of turbulent waves = Energy gain rate of protons

$$\int dk \Gamma_w(k) W(k) = - \int dE E \frac{\partial F_p(E)}{\partial E},$$

$$F_p(E) = E^2 \mathcal{D}_{EE}(E) \frac{\partial}{\partial E} \left[ \frac{N(E)}{E^2} \right]$$

Integrating by parts twice, we get

$$\Gamma_w(k) = - \frac{4\pi e^2 \beta_w^2 c}{k} \left[ N(E_{\text{res}}(k)) + \int_{E_{\text{res}}(k)}^{E_{\text{max}}} \frac{2N(E)}{E} dE \right], \quad E_{\text{res}}(k) = \frac{eB}{k}$$

The turbulence at  $k$  is damped by protons with energy  $E > E_{\text{res}}(k)$ .

# Diffusion approximation

Diffusion coefficient in energy space:

In numerical calculation, assuming  $v \gg v_w$

$$\begin{aligned} \mathcal{D}_{EE}(E) &\approx \pi E^2 \left(\frac{v_w}{v}\right)^2 \frac{|\Omega_g|}{r_g B^2} \int_{1/r_g}^{k_{\max}} \frac{dk_{\parallel}}{k_{\parallel}} \left[ 1 - \frac{1}{(r_g k_{\parallel})^2} \right] B^2(k_{\parallel}) \\ &\sim \frac{E^2 \beta_w^2 k_{\text{res}} c}{r_g u_B} \int_{k_{\min}}^{k_{\max}} k^{-1} W_B(k) dk \quad \sim 1 \\ &\sim \frac{E^2 \beta_w^2 c}{r_g(E)} \zeta(k_{\text{res}}) \quad \zeta \equiv \frac{k W_B(k)}{u_B} \sim 1 \end{aligned}$$

The lower end of the integration is more important than the upper end, so wavenumber

$$k_{\parallel} \sim 1/r_g(E) = k_{\text{res}}$$

Mainly contributes to  $\mathcal{D}_P^L$  or  $\mathcal{D}_{EE}$ .

$$\mathcal{D}_{ij} = \mathcal{D}_P^L \frac{p_i p_j}{p^2} + \mathcal{D}_P^T \left( \delta_{ij} - \frac{p_i p_j}{p^2} \right)$$

$\mathcal{D}_P^L$ : Longitudinal diffusion coefficient

$\mathcal{D}_P^T$ : Transverse diffusion coefficient

anisotropy  $\xrightarrow{\mathcal{D}_P^T \gg \mathcal{D}_P^L}$  isotropy

$$\mu = \frac{p_{\parallel}}{p} = \frac{m \Omega / k_{\parallel}}{m \Omega / k} = \frac{k}{k_{\parallel}}$$

For large pitch-angle,  $\mu \rightarrow 0$

The particles keep isotropy by pitch-angle scattering on a much shorter timescale than the momentum diffusion.

$$k_{\min} = \frac{2\pi}{\lambda_{\text{inj}}}, \quad k_{\max} = \frac{2\pi}{\lambda_{\min}}, \quad \lambda_{\text{inj}} = \frac{1}{k_{\text{inj}}} = \frac{\xi R}{\Gamma} \lesssim \frac{R}{\Gamma}$$

$0 < \xi \leq 1$ : Dimensionless parameter

# Turbulent wave spectra

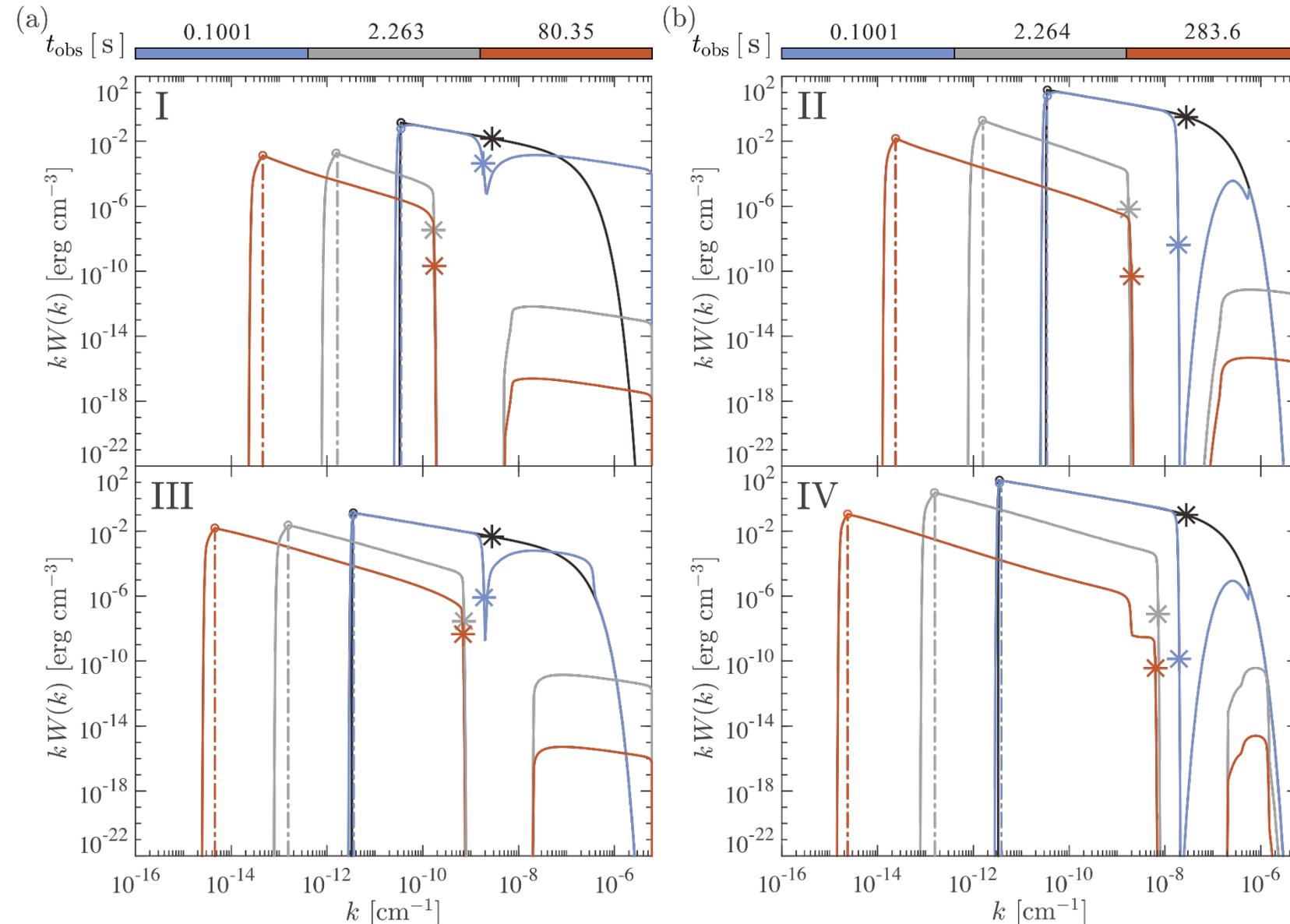
$$t_{\text{cmv}} = 40000 \text{ s}$$

$$k_{\text{inj}} = \frac{1}{\lambda_{\text{inj}}}$$

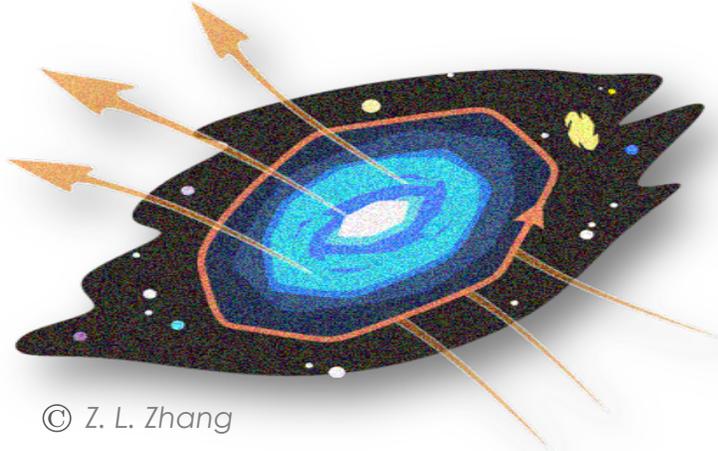
$$k_{\text{res, inj}} = \frac{eB}{E_{\text{res}}} = \frac{eB}{E_{\text{inj}}}$$

The larger  $k$  associated eddies have already been damped by the corresponding lower  $E$  particles.

Then  $E$  transport in  $k$ -space will cause more remarkable deviation from IK-type spectrum in lower  $k$ .



# Hillas criterion



## Faraday's law of induction

$$\oint_l \vec{E} d\vec{l} = -\frac{1}{c} \frac{\partial}{\partial t} \iint_s \vec{B} \cdot d\vec{S}$$

$$U = \frac{1}{c} \frac{d\Phi}{dt} \sim \frac{1}{c} \frac{BR^2}{R/v} = \beta BR$$

potential difference

$$\varepsilon_p \sim Ze\beta BR$$

